

Release Date:	February 11, 2013
Report Date(s):	February, 2002 – July 24, 2012
Type:	Freedom of Information Act [FOIA]
Objective Content:	Transport Violations

Summary:

This is the response from the USDA to our FOIA. It is apparent the horrors of transport continue within the borders of our country. This report contains vet reports, complaint information/questionnaires, records of horses transported and images from Santa Teresa, NM.

The violations committed by Dennis Chavez resulted in a \$3,750 fine.

There are several images of injuries from transport but particularly disturbing are several images (beginning on page 10) of a mare with a dead foal hanging from her uterus.

The following documentation provided is the USDA response in its entirety so there is no question as to the validity of the report.

We will continue to report violations as investigations are completed.

Information Contained in this Document:

USDA Response **CAUTION:** Contains graphic images and content

APHIS Form 7153 - REQUEST FOR ADDITIONAL INFORMATION ON APPARENT VIOLATION (7 and 9; CFR)

1. To: Type the name and title of the person you are sending the request to here: <div style="border: 1px solid black; padding: 2px; display: inline-block;">(b)(6), (b)(7)(C)</div>	2. Case Number: (Refer to in reply) NM 10031-VS
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3. Subject: Briefly describe the violation scenario, including violators involved and the facts relevant to the request:
 On 04/08/10, 04/14/10, and 04/21/10 Dennis Chavez transported late term pregnancy mares to slaughter through the Santa Teresa port. These mares foaled in the holding pens at the port prior to entering Mexico.

"X"	Requested Information:
X	a. Copies of: Type the items you will need copies of here. Checks showing payment received from Slaughter Plant in Mexico Payment made to trucking company for shipments
	b. Any record of a previous violation of <i>Enter regulations violated here</i> by these persons. Any indication that they should have been familiar with the regulations. Furnish any related documents issued to them.
X	c. Full names and complete addresses of all persons and firms involved in the investigation. If the shipper or trucker operates as a partnership, furnish the names and addresses of the partners; if a corporation, name of the State of incorporation.
X	d. An affidavit or written statement from the following named, who may have knowledge pertaining to the alleged violation. If an affidavit or statement is declined, state the reason and complete an Interview Log or Memorandum of Interview. List the names and addresses of people you want Affidavits or Statements from here. Dennis Chavez 24 Dalies Rd Los Lunas, NM 87031 (505) 865-4600 J&J Trucking Company ?????
	e. Other: List other information needed and or specific questions you want answers to. <u>Dennis Chavez</u> Address his knowledge of the mares being pregnant and his knowledge of the regulation regarding transporting late term pregnancy mares to slaughter. Verify trucking company and obtain contact information Who paid and arranged for the trucking company to ship the horses? <u>J&J Trucking</u> Verify they did transport horses to the Santa Teresa Port for Chavez Address their knowledge of the regulations regarding transporting late term pregnancy mares to slaughter. Obtain copies of payments made for trucking

5. Enclosures: Please return the enclosures with your reply.
 Explain the documents that accompany your request here.

 Memorandums from Dr. Howe regarding the violations dated 04-09-10, 04-15-10, and 04-22-10.

Additional information requests should be completed within 30 days. If the request will take longer than 30 days, notify your immediate supervisor and the primary investigator

Jose
 11/6/10
 269-1259

APHIS Form 7153 - REQUEST FOR ADDITIONAL INFORMATION ON APPARENT VIOLATION (7 and 9; CFR)

International CVI's numbers 10-NM-014, 10-NM-015, and NM 10-NM-017. VS Forms 10-13 dated 04-08-10, 04-14-10, and 04-21-10 Photographs of the mares and foals	
<p>6. If while gathering the information requested above, you learn additional contacts need to be made in your assigned work area to obtain documents and or statements not requested, obtain these documents and statements and send them with the requested information. This will prevent a second request having to be sent to obtain this information.</p> <p>Please list and explain information obtained:</p> <p>If you are unable to obtain all the information requested be sure to explain why you could not:</p>	
<p>7. Name: (b)(6),(b)(7)(C)</p> <p>Title: Investigator</p> <p>Date Requested: 10/12/10</p>	<p>8. Signature: (investigator requesting additional info)</p> <p>(b)(6),(b)(7)(C)</p>
<p>9. Returned by: (b)(6),(b)(7)(C)</p> <p>Title: Investigator</p> <p>Date Returned: 01/25/11</p>	<p>10. Signature: (investigator obtaining additional info)</p> <p>(b)(6),(b)(7)(C)</p>

Additional information requests should be completed within 30 days. If the request will take longer than 30 days, notify your immediate supervisor and the primary investigator



UNITED STATES DEPARTMENT
OF
AGRICULTURE

USDA, APHIS, IES
WESTERN REGION
2150 CENTRE AVENUE
BLDG. B-3W10
FORT COLLINS, CO 80526-8117
(970) 494-7485

January 25, 2011

Request For Information #NM10031-VS

Exhibit 1- Affidavit of Dennis Chavez.

Exhibit 2 – Interview log of J&J trucking (b)(6),(b)(7)(C)

Exhibit 3 – Letter outlining the requests made and attempts to obtain the requested records form Dennis Chavez.

If there is anything else you need (b)(6) call and I will get it as quickly as possible.

(b)(6),(b)(7)(C)

USDA, APHIS, IES Investigator
New Mexico and West Texas
(505) 235-9552

TS 10/15
NM10031-VS
DENNIS CHAVEZ
LOS LUNAS

Walter F
Howe/NM/APHIS/USDA
04/15/2010 11:50 AM

To Paul Sciglibaglio/NM/APHIS/USDA@USDA
cc
bcc
Subject Fw: preg mare 041510

I haven't looked at these, Daniel did the best he could, I'm sure, to try and take the time to download them would be prohibitive and I have other things to do I'm attaching the letter for this mare, I'll also send you a

signed original with a copy of all the documents.



Form 10-13violation.doc

Walter F. Howe

----- Forwarded by Walter F Howe/NM/APHIS/USDA on 04/15/2010 11:41 AM -----



(b) (6), (b) (7) (C) @aol.com I

04/15/2010 10:39 AM

To walter.f.howe@aphis.usda.gov
cc

Subject preg mare 041510

I pulled the foal Doc.....The mare is weak.....



PICT0001.JPG



PICT0002.JPG



PICT0003.JPG



PICT0005.JPG



PICT0006.JPG



PICT0007.JPG

Date: 4-15-10

To: Dr. Sciglibaglio

From: Walter F. Howe

Subject: Report of Apparent Violation in the Movement of Slaughter Horses

Today as I was pen inspecting slaughter horses at the Union Ganadera Stockyards at Santa Teresa, NM, I observed a bay mare with the green back tag number 1582 with a dead foal hanging out of her vulva area. This mare was described on page 3 of the VS Form 10-13 that accompanied the International Health Certificate number 10-NM015. A copy of the 10-13 is attached as well as a copy of the International Health Certificate described above. The time that I found this mare with the partially delivered foal was 0700 on the date of this letter. I have emailed you the photographs that Daniel Manzanares took today and I asked him and he said that he would try and take the profile shots of the left and right side and a face shot. Especially important would be one with the back tag on it and he said he would do his best. As soon as I have those I'll forward them to you.

I'll remain vigilant and ready to respond to your direction.

Respectfully,

Walter F. Howe DVM
Santa Teresa Port Veterinarian

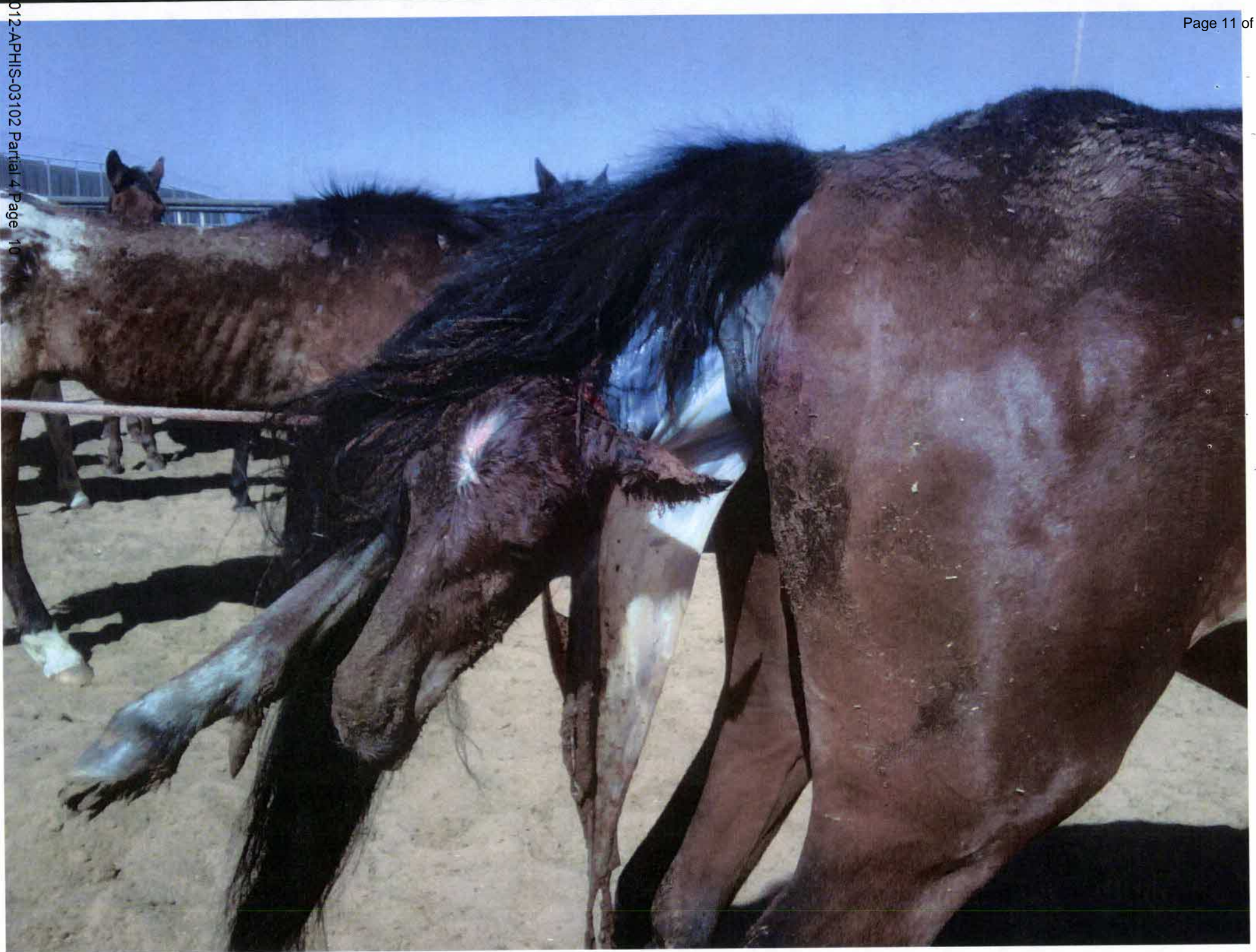


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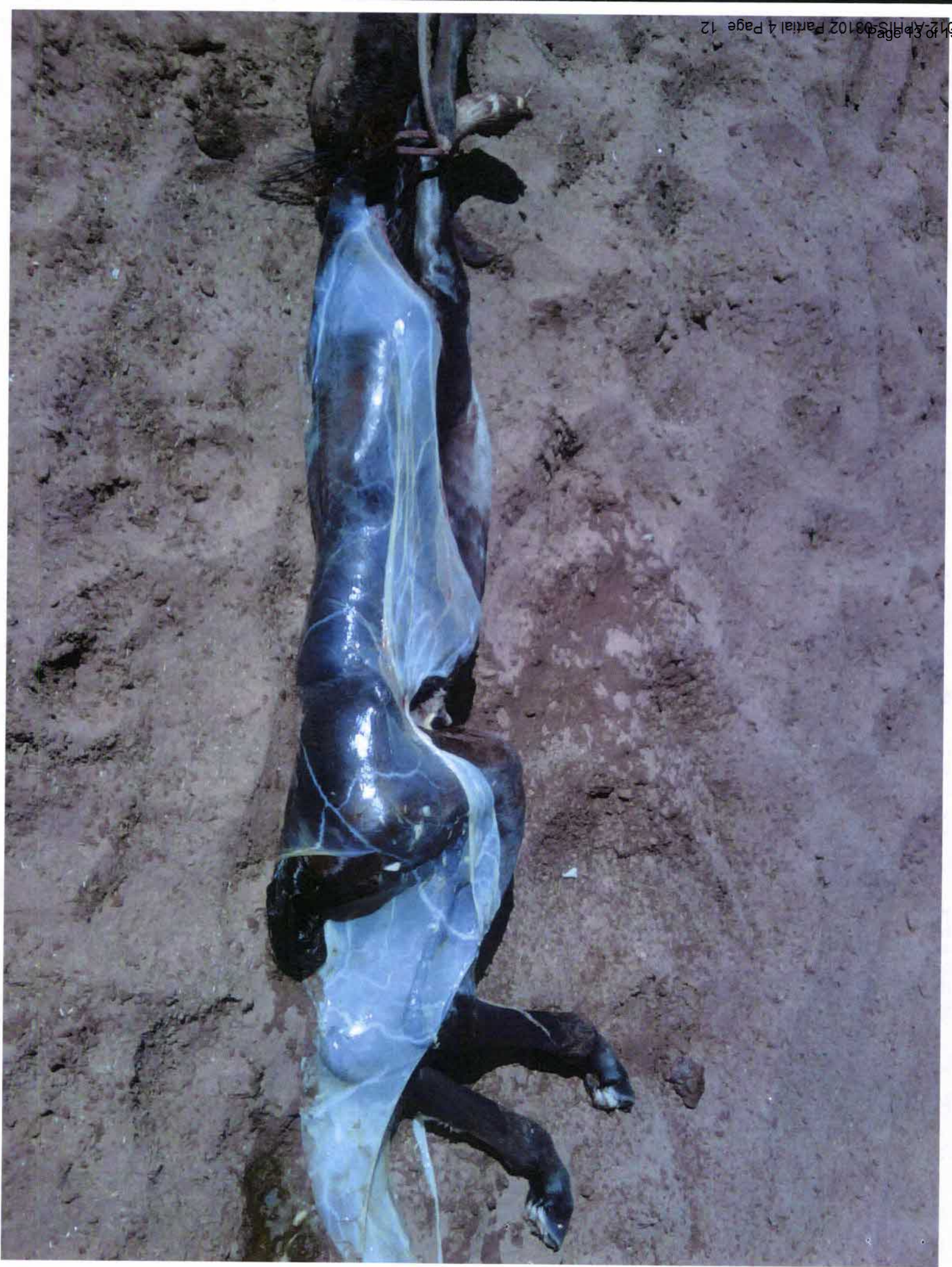


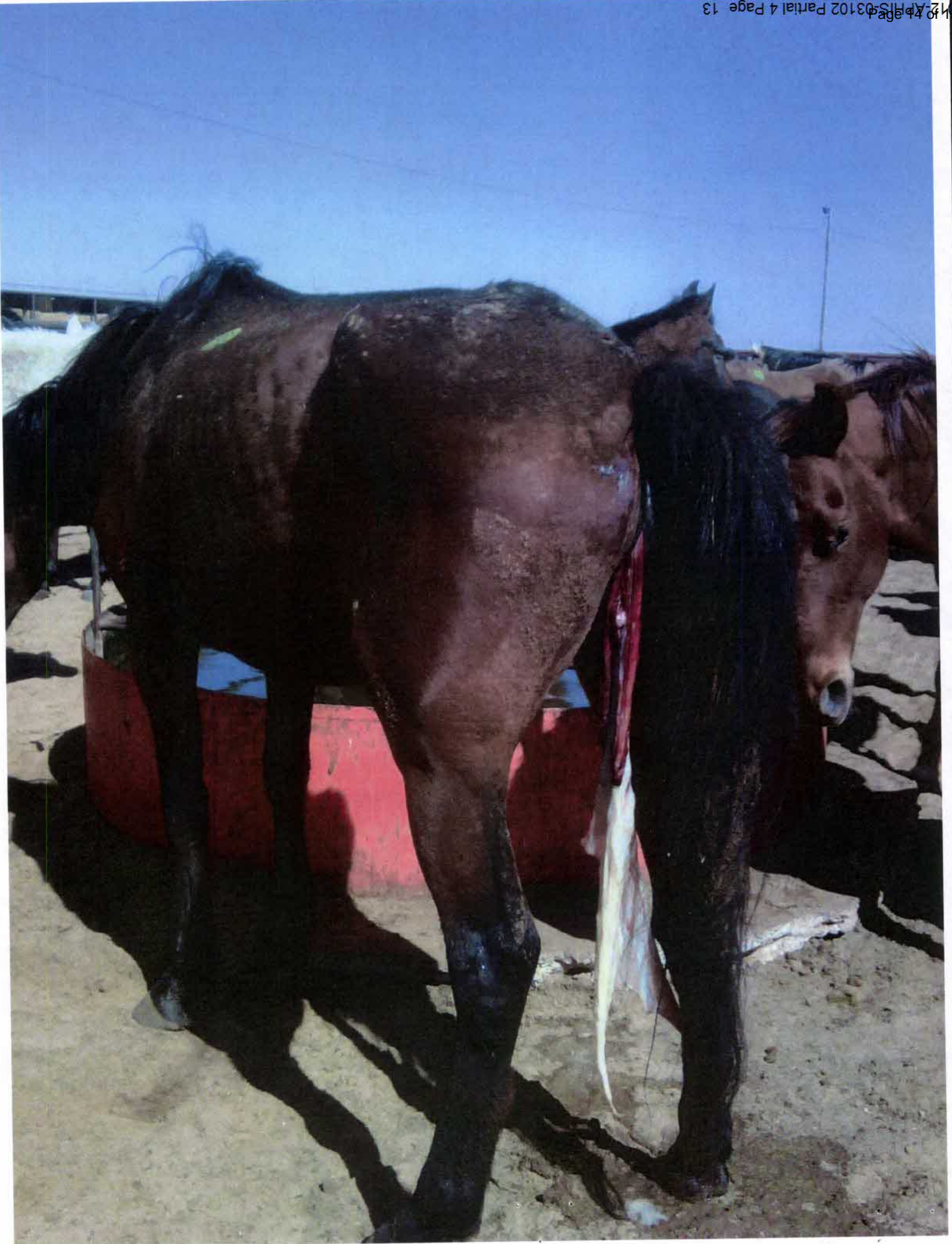


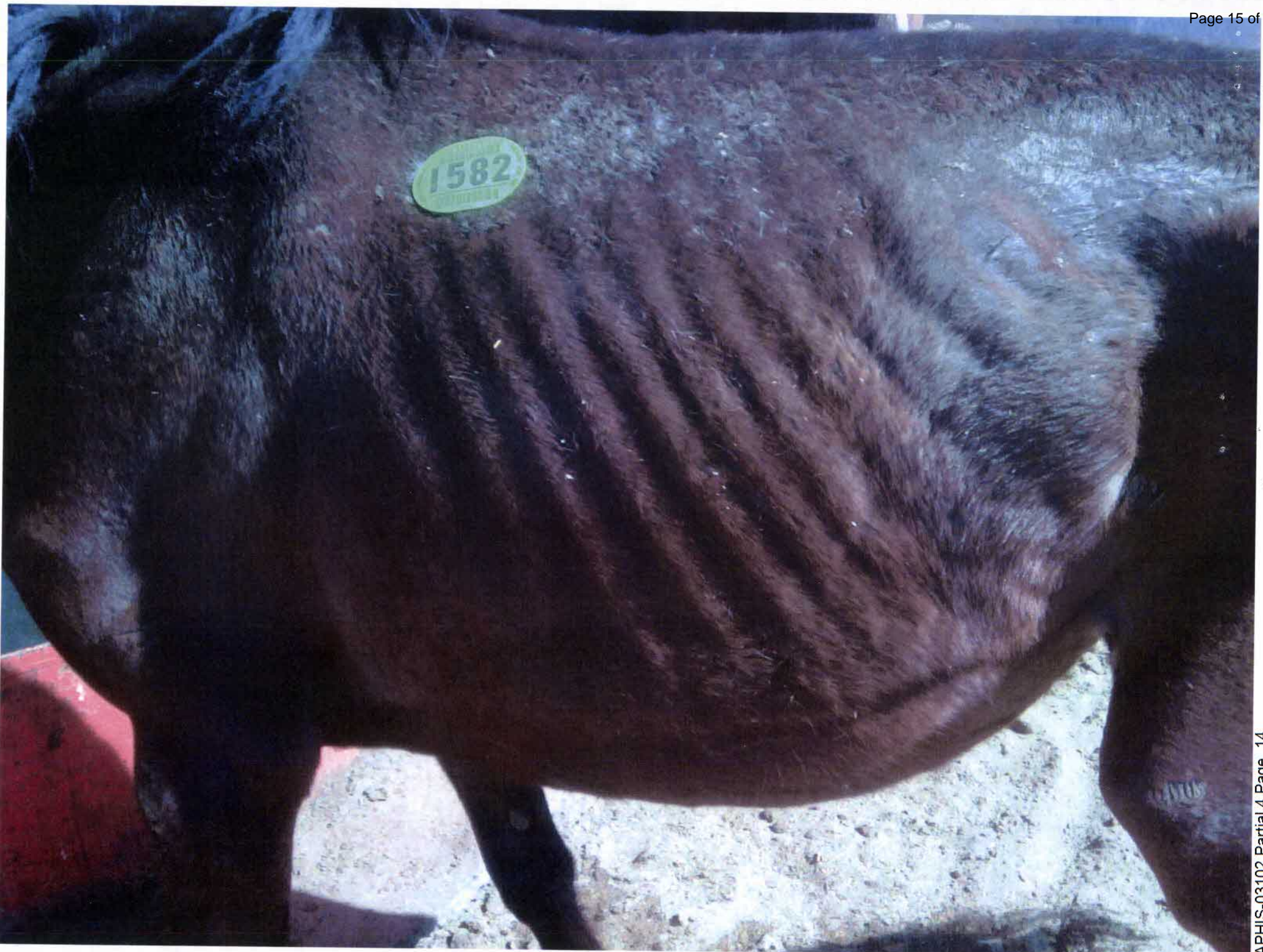


012-APHIS-03102 Partial 4 Page 10

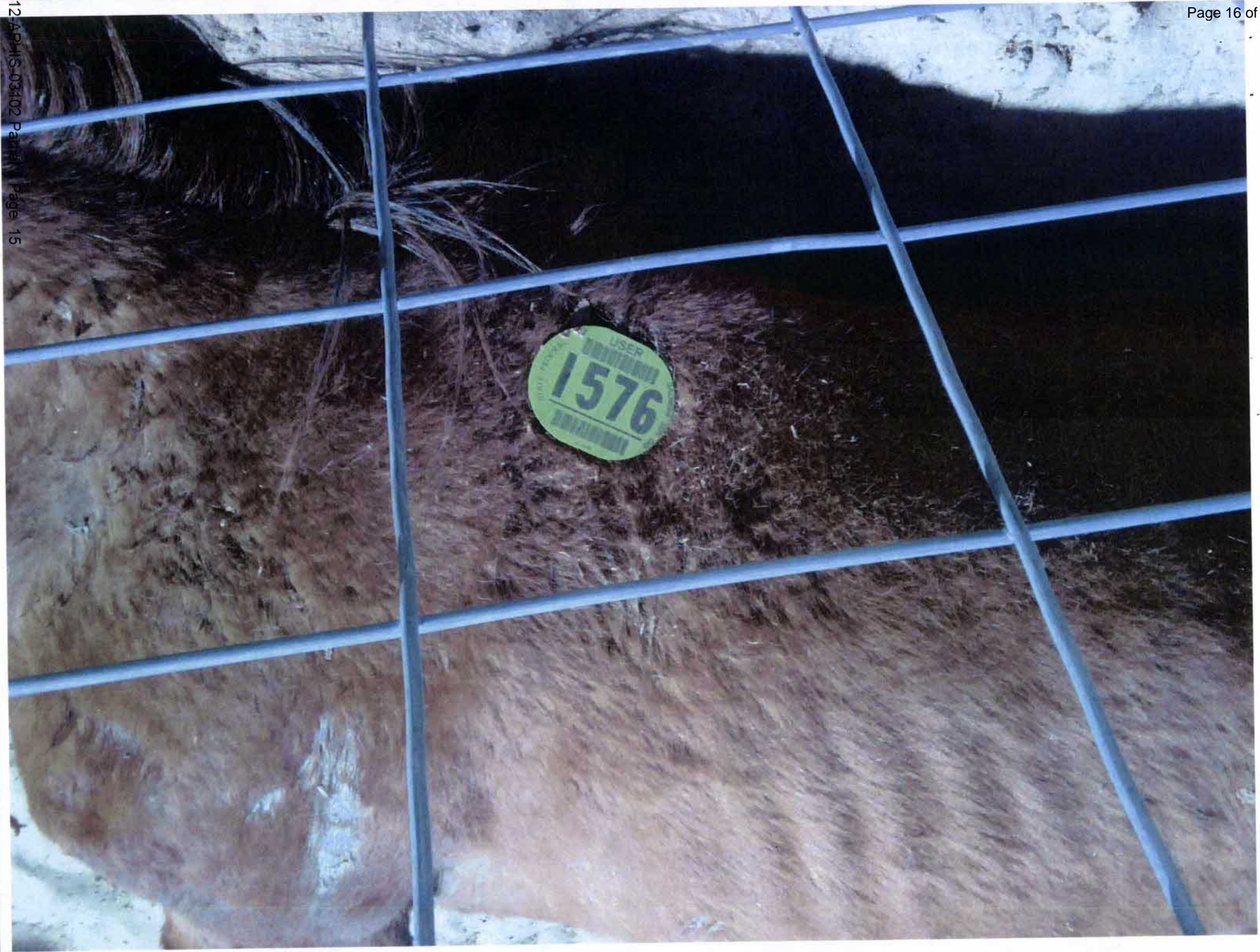


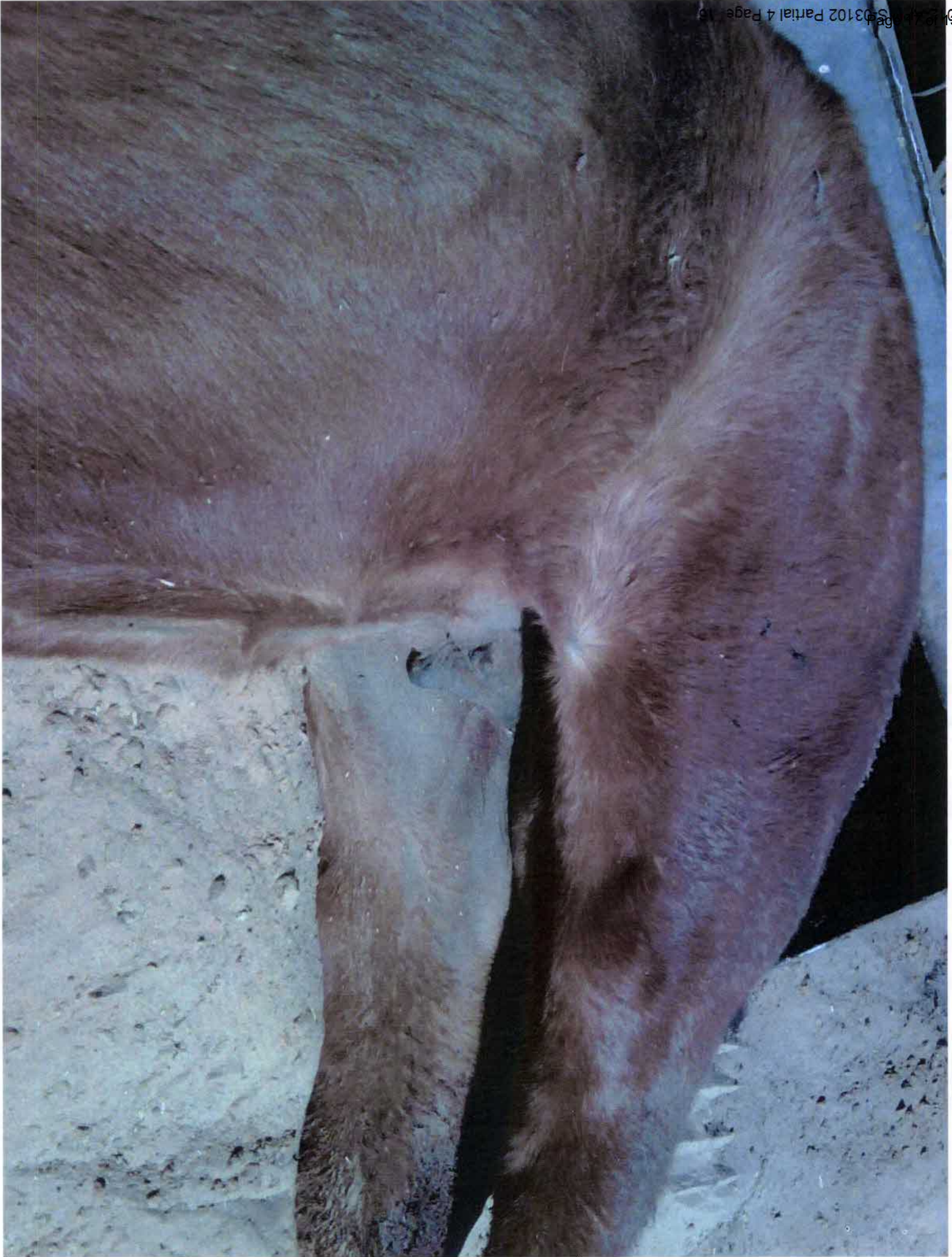




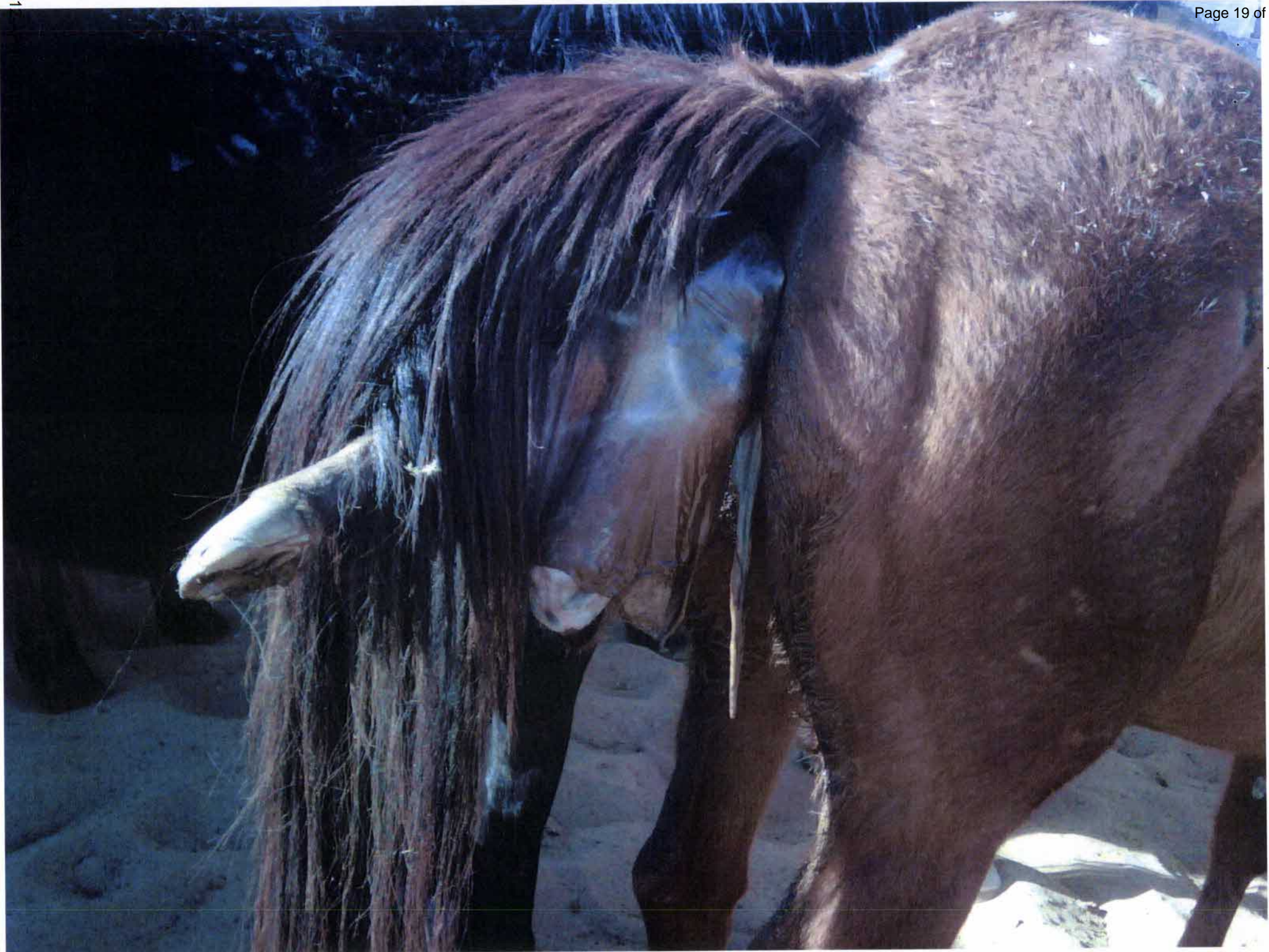


012-APP-HIS-03102 Page 15



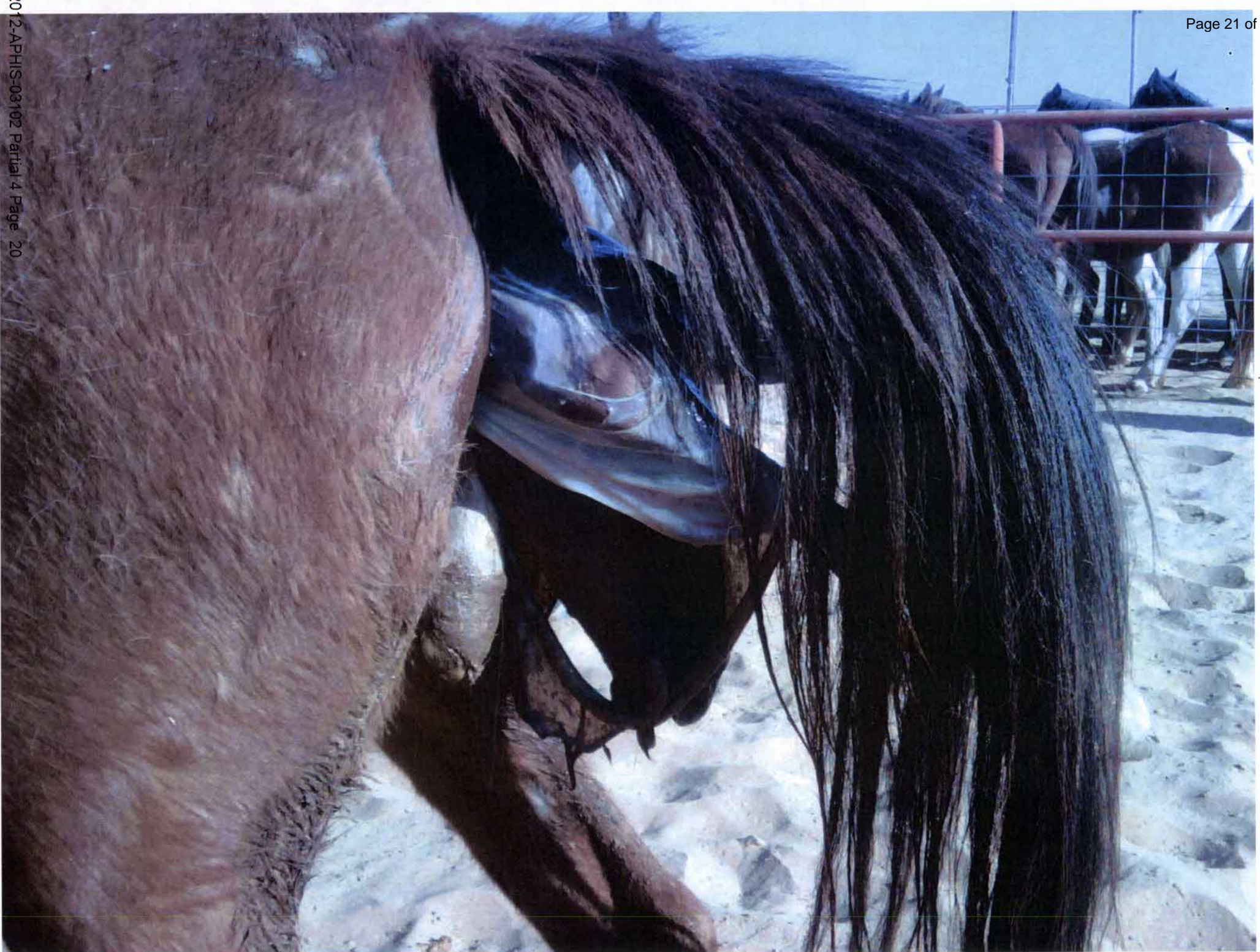




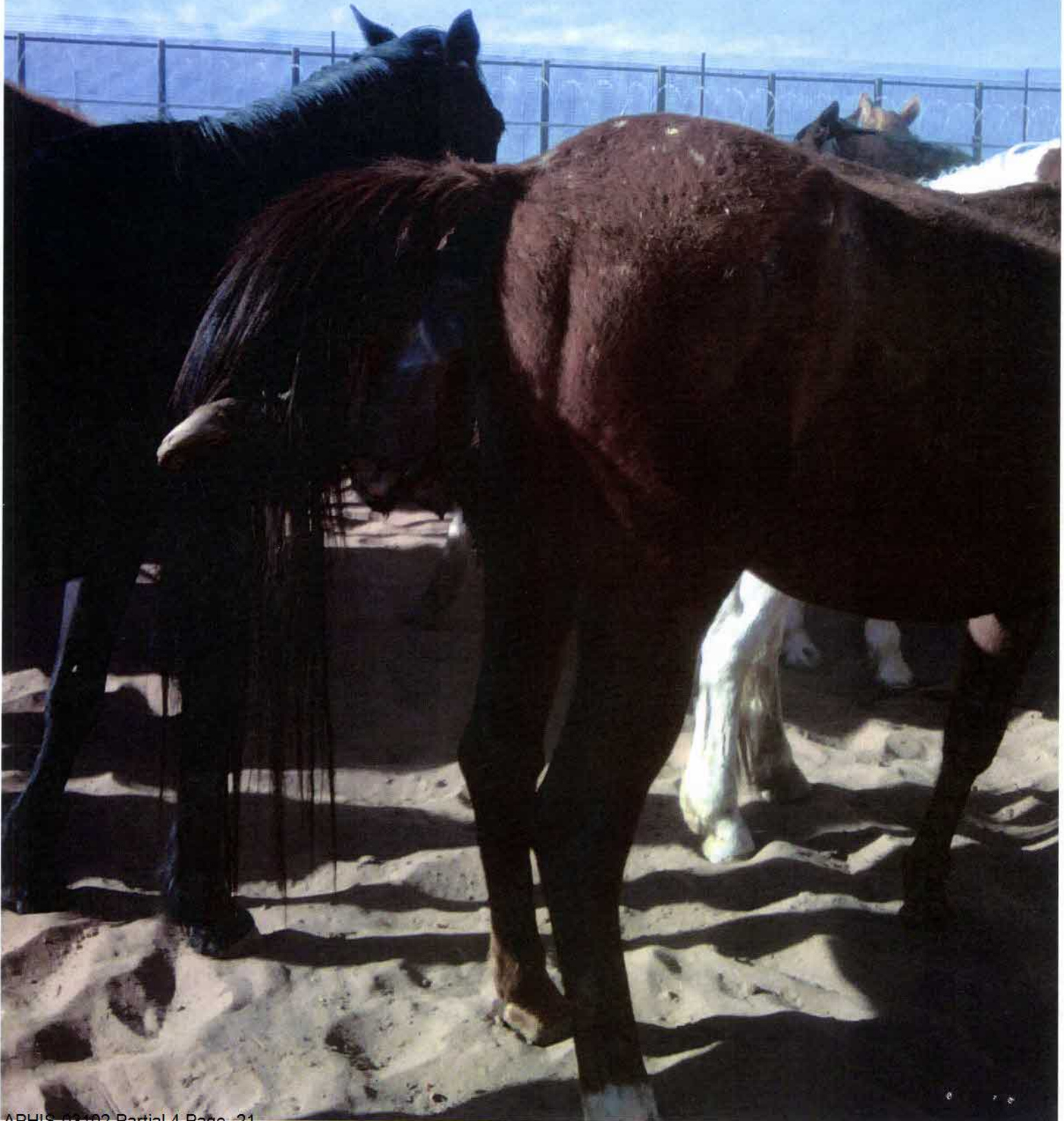




012-APHIS-03102 Partial 4 Page 19



2012-APHIS-03102 Partial 4 Page 20





Ruth A
McDermott/MD/APHIS/USDA
06/01/2010 07:43 AM

To Elizabeth D Kelpis/CO/APHIS/USDA@USDA
cc Timothy R Fordahl/CO/APHIS/USDA@USDA, Eric S
Nickerson/CO/APHIS/USDA@USDA, Crystal
Brock/MD/APHIS/USDA@USDA
bcc

Subject Fw: Request for Investigation - Int'l Health Certificates for
Slaughter Horses WR-170

History: This message has been replied to.

Liz,

Please see below for a request for investigation of horses to slaughter, Dennis Chavez, Los Lunas, NM. All information submitted to IES is attached. Please ask investigator assigned to case to send the case number to Crystal Brock.

Any questions, please contact Keith Miller.

Thank you.
Ruth Ann

Ruth Ann McDermott
Chief, Document Control Branch
Investigative and Enforcement Services
Riverdale, MD
301-734-0575
e-mail: Ruth.A.McDermott@aphis.usda.gov

----- Forwarded by Ruth A McDermott/MD/APHIS/USDA on 06/01/2010 08:58 AM -----



Keith G
Miller/MD/APHIS/USDA
06/01/2010 08:42 AM

To Ruth.A.McDermott@aphis.usda.gov
cc
Subject Request for Investigation - Int'l Health Certificates for
Slaughter Horses

Ruth Ann,

Can you have this forwarded out to the Western Region please?

Thank you

Keith

Keith G. Miller

TDY/NTE 06/19/2010
Acting Branch Chief
Animal Health and Welfare Enforcement Branch
Investigative and Enforcement Services
USDA APHIS MRPBS
(301)734-0886

----- Forwarded by Keith G Miller/MD/APHIS/USDA on 06/01/2010 08:10 AM -----



Paul G
Egrie/MD/APHIS/USDA
05/28/2010 04:22 PM

To Sarah King/MD/APHIS/USDA@USDA
cc Joseph T Astling/TX/APHIS/USDA@USDA, Keith G Miller/MD/APHIS/USDA@USDA
Subject Fw: Int'l Health Certificates for Slaughter Horses

Hi Sarah
I would like to please open up 2 investigations.

In document 1 below, page 9, you will not in the right hand column the CFIA inspector wrote "this mare had aborted foal hanging out of her vulva." Clearly a violation!

Document 2, bottom of last page says "Today I saw a paint mare with a new born foal beside her....."

Thanks
Gary

P. Gary Egrie, VMD
Farm Animal Welfare Coordinator

USDA APHIS Veterinary Services
4700 River Road, Unit 46
Riverdale, MD 20737

(301) 734-0695 (Office)
(240) 460-5986 (Cell)

----- Forwarded by Paul G Egrie/MD/APHIS/USDA on 05/28/2010 04:14 PM -----



Linda L
Ingram/MD/APHIS/USDA
05/28/2010 03:43 PM

To Paul G Egrie/MD/APHIS/USDA@USDA
cc
Subject Int'l Health Certificates for Slaughter Horses

Please see the attached scans:



Intl Health Cert 1.pdf



Intl Health Cert 2.pdf

Linda Ingram
Program Assistant
National Center for Animal Health Programs
Veterinary Services
4700 River Road, Unit 43
Riverdale, MD 20737
Phone: 301-734-6954

WFH

**INTERNATIONAL HEALTH CERTIFICATE FOR SLAUGHTER HORSES EXPORTED
 FROM THE UNITED STATES OF AMERICA TO MEXICO
 CERTIFICADO INTERNACIONAL ZOOSANITARIO PARA EXPORTAR CABALLOS PARA
 SACRIFICIO DE LOS ESTADOS UNIDOS A MEXICO**

Note: Mexico will only accept this shipment if VS Form 10-13 and affidavit for residue are completed and presented at the border with this Health Certificate (HC). VS Form 10-13 must have HC number written in the right upper corner. Mexico will not accept sexually intact males and monorchid animals.

Nota: México aceptará este envío de caballos solamente si la forma VS FORM 10-13 y la declaración jurada están completadas y se presentan en la frontera con este Certificado Zoosanitario (CZ). El número de este CZ debe estar escrito en la parte superior derecha de la forma VS FORM 10-13. México no aceptará machos sin castrar ni monorchideos.

1. Name and Address of Exporter: Dennis Chavez
 Nombre y Dirección del Exportador: 24 Dalies Rd
 Los Lunas, NM 87031
2. Name and Address of Importer: Bertha Ruiz Pacheco
 Nombre y Dirección del Importador: Elisa Grlesen 7741 Col. Independencia 2
 Juarez, Chihuahua MX
3. Identification of the animals to be exported / Identificación de los animales a ser exportados.

Microchip number / Número de microchip	Sex/Sexo	Approximate age/Edad aproximada	Microchip Number / Número de microchip	Sex / Sexo	Approximate age/Edad aproximada
646758	Gelding	7	560022	Gelding	7
586833	Gelding	5	656982	Gelding	6
593232	Gelding	8	610703	Gelding	9
650933	Gelding	5	637438	Gelding	7
561239	Gelding	5	646494	Gelding	5
588031	Gelding	5	577516	Mare	6
645927	Gelding	7	649448	Gelding	9
656483	Mare	9	596499	Mare	5
561697	Mare	8	667312	Gelding	7
646647	Gelding	6	612370	Gelding	6
612634	Gelding	5	667097	Mare	8
614935	Mare	7	647793	Gelding	9
637502	Gelding	5	667610	Mare	7
656622	Mare	5	664700	Gelding	6
643287	Gelding	7	648986	Gelding	6
610591	Mare	5	651041	Mare	9

Mexico, Slaughter horse HC





VS
 Veterinary Services
 National Center for
 Import and Export

Health Certificate No. 10 - Page 26 of 136
 (Valid only if the USDA Veterinary Seal
 Appears over the Certificate Number)

WFH

Microchip number / Número de microchip	Sex/Sexo	Approximate age/Edad aproximada	Microchip Number / Número de microchip	Sex / Sexo	Approximate age/ Edad aproximada
610504	Gelding	5	548883	Gelding	6
551135	Gelding	8	584301	Mare	8
586257	Mare	6	664491	Gelding	9
647077	Gelding	5	594734	Gelding	7
611509	Gelding	5	561786	Gelding	6
664655	Gelding	4	587699	Gelding	9
637143	Gelding	4	647453	Gelding	5
561129	Gelding	7	666196	Gelding	9
654789	Gelding	6	665043	Gelding	7
561419	Gelding	7	593144	Gelding	6
647411	Gelding	5	664960	Gelding	9
611222	Gelding	4	646964	Gelding	8
635592	Gelding	4	592271	Gelding	6
664562	Gelding	6	649239	Gelding	8
666886	Gelding	7	547072	Gelding	7
647895	Gelding	5	648437	Gelding	6
660889	Gelding	8	657792	Gelding	5
651907	Gelding	4	637291	Gelding	8
654776	Gelding	5	664943	Mare	6
666919	Gelding	8	636012	Gelding	9
667462	Gelding	7	636368	Gelding	7
664721	Gelding	4	654915	Gelding	6
657563	Gelding	4	560506	Gelding	7
635475	Gelding	8	653991	Gelding	9
649117	Gelding	5	610481	Gelding	5
645179	Gelding	5	650624	Gelding	5
666932	Gelding	7	549860	Gelding	8
648468	Gelding	6	613167	Mare	5
665064	Mare	5	612110	Mare	7
651502	Gelding	5	612872	Mare	4
593125	Mare	9	594641	Mare	8
646478	Mare	6	611541	Mare	6
560815	Mare	7	561787	Mare	7
550921	Gelding	4	584987	Mare	5
650891	Gelding	5	611251	Gelding	8
611013	Gelding	4	647626	Mare	6
587007	Mare	6	600361	Mare	8
551316	Mare	5	566232	Gelding	9
600725	Gelding	8	613160	Mare	7
646026	Gelding	4	649674	Gelding	6
647039	Mare	5	592004	Gelding	9
613824	Gelding	4	560182	Mare	6




 Veterinary Services

 National Center for
 Import and Export

Health Certificate No. 10
 (Valid only if the USDA Veterinary Seal
 Appears over the Certificate Number)

W F H

Microchip number / Número de microchip	Sex/Sexo	Approximate age/Edad aproximada	Microchip Number / Número de microchip	Sex / Sexo	Approximate age/ Edad aproximada
610499	Gelding	6	649962	Gelding	7
661369	Mare	7	646138	Gelding	9
646615	Gelding	5	594476	Gelding	8
649279	Gelding	8	592726	Gelding	5
650362	Mare	6	646338	Gelding	8
644769	Mare	7	593905	Mare	5
560303	Gelding	5	648400	Gelding	6
651372	Gelding	5	646996	Mare	5
560198	Mare	6	646581	Mare	6
560001	Mare	4	612280	Gelding	8
560428	Mare	7	611051	Gelding	5
647800	Gelding	4	592962	Mare	5
612474	Gelding	4	593126	Mare	7
648106	Gelding	6	611740	Gelding	4
600406	Gelding	8	612169	Gelding	8
650586	Mare	4	666195	Gelding	5
656714	Gelding	4	666673	Gelding	9
560327	Gelding	7	649234	Mare	7
609576	Mare	5	657355	Mare	5
560218	Mare	4	646832	Gelding	8
561641	Mare	8	560728	Gelding	6
555090	Mare	5	611153	Mare	9
656408	Mare	7	667053	Mare	7
666023	Mare	9	648206	Mare	8
647732	Mare	8	643385	Mare	6
644282	Gelding	6	592559	Gelding	8
666894	Gelding	7	651009	Gelding	7
612571	Gelding	9	636634	Gelding	9
649166	Gelding	5	611160	Mare	7
560016	Mare	7	586314	Mare	9
636693	Gelding	5	560414	Mare	7
555511	Mare	7	612700	Gelding	8
612198	Mare	8	593615	Gelding	6
610772	Mare	7	651660	Mare	4
664914	Mare	5	611434	Gelding	6



W F H

Microchip number / Número de microchip	Sex/Sexo	Approximate age/Edad aproximada	Microchip Number / Número de microchip	Sex / Sexo	Approximate age/ Edad aproximada

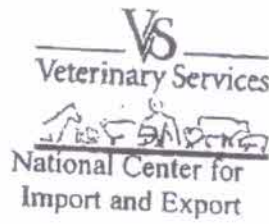
CERTIFICATION STATEMENTS / CERTIFICACIONES

1. Horses originate from the United States.
Los animales son originarios de Estados Unidos.

2. Within 30 days prior to exportation, the animals were inspected by an accredited veterinarian who did not find clinical signs of contagious or infectious diseases.
A la inspección efectuada por un veterinario oficial dentro de los 30 días previos a la exportación, los animales no presentaron signos de enfermedades infectocontagiosas.
Inspection date / *Fecha de inspección* April 21st 2010

3. Prior to shipment the vehicles used to transport the animals to the border were cleaned and disinfected.
Los vehículos utilizados para el transporte de los animales a la frontera fueron sometidos a limpieza y desinfección antes del embarque.

4. During 90 days prior to exportation, the animals have not been on premises where contagious equine metritis was diagnosed, neither have they been in contact with infected animals, nor epidemiologically related to infected premises or animals.
Durante los 90 días previos a la exportación, los animales no han estado en explotaciones afectadas por la metritis equina contagiosa, ni han estado en contacto con animales afectados ni relacionados epidemiológicamente con instalaciones o animales infectados.



Health Certificate No. 10-NM-017
(Valid only if the USDA Veterinary Seal
Appears over the Certificate Number)

WFF

(Delete as appropriate / *Remueva lo que no aplique*)

5. [The animals are free of ectoparasite and originated from areas not under quarantine for *Boophilus* pp ticks.][

[*Los animales están libres de ectoparásitos y provienen de áreas no cuarentenadas por garrapatas Boophilus spp.*][

C.Y Brasmer

Name of Accredited Veterinarian
*Nombre del Médico Veterinario
Acreditado*

(b)(6), (b)(7)(C)

Name of Endorsing Federal Veterinarian
*Nombre del Médico Veterinario
Federal que endosa.*

(b)(6), (b)(7)(C)

4/21/2010

Signature of Accredited Veterinarian and Date
*Firma del Médico Veterinario Acreditado
y Fecha*

(b)(6), (b)(7)(C)

4/22/11

Signature of Endorsing Federal Veterinarian
and Date
*Firma del Médico Veterinario que endosa
y Fecha*

(Valid only if the USDA Veterinary Seal appears over the signature of the Endorsing Federal Veterinarian.) (*Válido Solamente si el sello veterinario del USDA está sobre la firma del Médico Veterinario Federal.*)

I (print) Dennis Chavez declare that the horses included in this shipment have not been fed to or treated within the last one hundred eighty (180) days prior to shipment with the following compounds, plants or drugs.

Por este medio declaro que los caballos en este embarque no han sido alimentados o tratados con ninguno de los siguientes compuestos, plantas o medicamentos durante los ciento ochenta días antes del embarque.

1. *Aristolochia spp* and any other preparation derived of this plant, chloramphenicol, chloroform, chlorpromazine, colchicine, dapsone, dimetridazole, metronidazole, nitrofurans (including furazolidone), and ronidazole.

Aristolochia spp y cualquier otra preparación derivada de esta planta, cloranfenicol, cloroformo, clorpromazina, colchicine, dapsona, demetridazole, metronidazol, nitrofurans (incluyendo furazolidona) y ronidazol.

2. The following compounds were not used as growth promoters: zilpaterol, clenbuterol, raptopamine, and anabolic steroids.

Los siguientes compuestos no se usaron como promotores del crecimiento: zilpaterol, clenbuterol, raptopamine, así como esteroides anabólicos.

3. The following thirosthatatics were not used: thiouracil, methyluracil phenylthiouracil and propylthiouracil.

Que no fueron empleados los siguientes tirostáticos: tiouracilo, metiluracilo, feniltiuracilo y propiltiuracilo.

Date and signature of the exporter
Fecha y firma del exportador

(b) (6), (b) (7) (C)

4/21/2010

Date and signature of the Notary Public
Fecha y firma del Notario Público

(b) (6), (b) (7) (C)

21/2010



OFFICIAL SEAL

LAURA L. CLEM

NOTARY PUBLIC-STATE OF NEW MEXICO

My commission expires: 12/20/2010

**OWNER/SHIPPER CERTIFICATE
FITNESS TO TRAVEL TO A SLAUGHTER FACILITY**

(Please type or print in ink)

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0579-0160. The time required to complete this information collection is estimated to average 5 min. per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

FORM
APPROVED
OMB NO.
0579-0160

10-NM-017

TIME HORSES LOADED ON CONVEYANCE
4:00pm
DATE
4-21-10

CITY AND STATE WHERE HORSES WERE LOADED ON CONVEYANCE
Los Lunas, New Mexico

VEHICLE LICENSE NO. AND DRIVER'S NAME
J3J Trucking-723 322

NAME OF AUCTION/MARKET
Southwest Livestock

CONSIGNOR (OWNER/SHIPPER) NAME
Dennis Chavez

CONSIGNEE (RECEIVER/DESTINATION) NAME
Bertha Ruiz Pacheco

STREET ADDRESS
24 Dalles Rd

STREET ADDRESS
Elida Guleden 7741
Col Independencia 2

CITY, STATE, ZIP CODE
Los Lunas, nm 87031

CITY, STATE, ZIP CODE
Juarez, Chihuahua mx

AREA CODE & TELEPHONE NO.
505-865-4600

AREA CODE & TELEPHONE NO.
656-301-1056

CHECK THE BOX THAT INDICATES THE FOLLOWING IS TRUE FOR ALL THE HORSES ON THIS CERTIFICATE

- Pregnant mares are not likely to foal (give birth) during the trip.
- Foals are older than 6 months of age.
- Horses are able to bear weight on all 4 limbs.
- Horses are not blind in both eyes.
- Horses are able to walk unassisted.

TAG PREFIX	Tag NO.	COLOR DESCRIPTION							BREED/TYPE					SEX			BRANDS Tattoos, etc.	REMARKS include existing conditions	
		Bay	Grey	Blk	Pinto	Chestn	Other	TB	OT	Draft	Pony	Other	Mare	Stal	Geld				
USFH	101		/															/	646758
	02			/														/	560022
	03		/															/	586833
	04	/																/	656982
	05	/																/	593232
	06																	/	610703
	07																	/	650933
	08																	/	637438
	09			/														/	561239
	10	/																/	646494
	11			/														/	588031
	12																	/	577516
	13																	/	645927
	14	/																/	649448
	15																	/	656483

HORSES HAVE HAD ACCESS TO FOOD, WATER, AND REST FOR A MINIMUM OF 6 CONSECUTIVE HOURS IMMEDIATE

SIGNATURE

(b)(6),(b)(7)(C)

I HEREBY AUTHORIZE THE CFIA TO DISCLOSE THIS DOCUMENT AND THE INFORMATION IN IT AS COMPLETED BY THE CFIA OR DGIF TO THE USDA. FALSIFICATION OF THIS FORM OR KNOWINGLY USING A FALSIFIED FORM IS A CRIMINAL OFFENSE AND MAY RESULT IN A FINE OF NOT MORE THAN \$10,000 OR IMPRISONMENT FOR NOT MORE THAN 5 YEARS OR BOTH (18 U.S.C. SECTION 1001).

SIGNATURE OF OWNER/SHIPPER/Lead by that the information contained in this form is true and correct to the best of my

(b)(6),(b)(7)(C)

CANADIAN FOOD INSPECTION AGENCY (CFIA)

EST.

DATE

TIME

DIRECCION GENERAL DE INSPECCION EN FRONTERAS (DGIF)

EST.

DATE

TIME

**OWNER/SHIPPER CERTIFICATE
FITNESS TO TRAVEL TO A SLAUGHTER FACILITY
(CONTINUATION SHEET)**
(Please type or print in ink)

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0579-0160. The time required to complete this information collection is estimated to average 5 min. per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

FORM
APPROVED
OMB NO.
0579-0160

10-N4-01-

TAG PREFIX	Tag NO	COLOR DESCRIPTION							BREED/TYPE					SEX			BRANDS Tattoos, etc.	REMARKS Include precondition
		Bay	Grey	Blk.	Pinto	Chestn	Other	TB	QT	Draft	Pony	Other	Mare	Stal	Geld			
16	USEH 1716	/							/				/				5916499	
17	17	/							/				/				5161697	
18	18						dun		/							/	1667312	
19	19						SR		/							/	1646647	
20	20				/				/							/	1612370	
21	21	/							/							/	1612634	
22	22	/							/				/			/	1667097	
23	23	/							/				/			/	1614935	
24	24	/							/							/	1647793	
25	25	/							/							/	1637502	
26	26	/							/				/			/	1667610	
27	27	/							/				/			/	1651622	
28	28							SR	/							/	1664700	
29	29							SR	/							/	1643287	
30	30	/							/							/	1648986	
31	31	/							/				/			/	1610591	
32	32							SR	/				/			/	1651041	
33	33							SR	/							/	1610504	
34	34							dun	/							/	548883	
35	35							SR	/							/	551135	
36	36							SR	/				/			/	584301	
37	37	/										mule	/			/	586257	
38	38	/							/							/	1664491	
39	39	/							/							/	1647077	
40	40	/							/							/	594734	
41	41	/							/							/	1611509	
42	42	/							/							/	5161786	
43	43							SR	/							/	1664655	
44	44							SR	/							/	587699	
45	45							SR	/							/	1637143	

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SIGNATURE OF OWNER/SHIPPER

(b)(6), (b)(7)(C)

and correct to the best of my knowledge)

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**OWNER/SHIPPER CERTIFICATE
FITNESS TO TRAVEL TO A SLAUGHTER FACILITY
(CONTINUATION SHEET)**

(Please type or print in ink)

FORM
APPROVED
OMB NO.
0579-0160

10-NH-017

TAG PREFIX	Tag NO.	COLOR DESCRIPTION						BREED/TYPE					SEX			BRANDS Tattoos, etc.	REMARKS include precondition		
		Bay	Grey	Blk.	Pinto	Chestn	Other	TB	QT	Draft	Pony	Other	Mare	Stal	Geld				
USFH	776																	654915	
	77				/													657563	
	78				/													560506	
	79																	635475	
	80	/																653991	
	81																	649117	
	82	/																610481	
	83	/																645179	
	84					/												650624	
	85																	666932	
	86			/														549860	
	87			/														648468	
	88																	613167	
	89				/													665064	
	90				/													612110	
	91	/																651502	
	92																	612872	
	93																	593125	
	94																	594641	
	95																	646478	
	96				/													611541	
	97	/																560815	
	98				/													561787	
	99	/																550921	
	1800	/																584987	
	01																	650891	
	02																	611251	
	03				/													611013	
	04	/																647626	
	05	/																587007	

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SIGNATURE OF OWNER/SHIPPER (I certify

(b)(6), (b)(7)(C)

correct to the best of my knowledge)

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FORM
APPROVED
OMB NO.
0579-0160

10-NH-017

**OWNER/SHIPPER CERTIFICATE
FITNESS TO TRAVEL TO A SLAUGHTER FACILITY
(CONTINUATION SHEET)**
(Please type or print in Ink)

TAG PREFIX	Tag NO.	COLOR DESCRIPTION						BREED/TYPE					SEX			BRANDS Tattoos, etc.	REMARKS include precondition
		Bay	Grey	Blk.	Pinto	Chestn	Other	TB	QT	Draft	Pony	Other	Mare	Stal	Geld		
LBFH	1806						SR		/				/			600361	
	07	/							/				/			551316	
	08				/				/						/	566232	
	09						b_sun		/						/	600725	
	10				/				/				/			613160	
	11	/							/						/	646026	
	12	/							/						/	649674	
	13	/							/				/			647039	
	14	/							/						/	592004	
	15						b_sun		/						/	613824	
	16		/						/				/			560182	
	17						p_sun		/						/	610499	
	18			/					/						/	649962	
	19						p_sun		/				/			661369	
	20		/						/						/	646138	
	21	/							/						/	646615	
	22			/					/						/	594476	
	23		/						/						/	649279	
	24	/							/						/	592726	
	25	/							/				/			652362	
	26						SR		/						/	646338	
	27	/							/				/			644769	
	28						SR		/				/			593905	
	29	/							/						/	560303	
	30		/						/						/	648400	
	31						SR		/						/	651372	
	32		/						/				/			646996	
	33						p_sun		/				/			560198	
	34		/						/				/			646581	
	35	/							/				/			560001	

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SIGNATURE OF OWNER/SHIPPER (I certify that the information is true and correct to the best of my knowledge.)
(b) (6), (b) (7) (C)

**OWNER/SHIPPER CERTIFICATE
FITNESS TO TRAVEL TO A SLAUGHTER FACILITY
(CONTINUATION SHEET)**

FORM
APPROVED
OMB NO.
0579-0160

(Please type or print in ink)

10-NM-01

TAG PREFIX	Tag NO	COLOR DESCRIPTION							BREED/TYPE					SEX			BRANDS Tattoos, etc.	REMARKS Include precondition
		Bay	Grey	Blk.	Pinto	Chestn	Other	TB	QT	Draft	Pony	Other	Mare	Stal	Geld			
16	USFH 1836							SE	/						/		612280	
17	37							SE	/					/			560428	
18	38				/				/								611051	
19	39		/						/								647800	
20	40				/				/					/			592962	
21	41							SE	/								612474	
22	42				/				/					/			593126	
23	43		/						/								648106	
24	44							SE	/								611740	
25	45	/							/								600406	
26	46	/							/								612169	
27	47							SE	/					/			650586	
28	48			/					/								666195	
29	49		/						/								656714	
30	50	/							/								666673	
31	52	/							/								560327	
32	53				/				/					/			649234	
33	54	/							/					/			609576	
34	55				/				/					/			657355	
35	56							SE	/					/			560218	
36	57				/				/								646832	
37	58							SE	/					/			561641	
38	59	/							/								560728	
39	60							SE	/					/			555090	
40	61							app	/					/			611153	
41	62				/				/					/			656408	
42	63		/						/					/			667063	
43	64		/						/					/			6666023	
44	65							SE	/					/			648206	
45	66	/							/					/			647732	

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SIGNATURE OF OWNER/SHIPPER (I certify that

to the best of my knowledge)

(b)(6), (b)(7)(C)

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**OWNER/SHIPPER CERTIFICATE
FITNESS TO TRAVEL TO A SLAUGHTER FACILITY
(CONTINUATION SHEET)**

(Please type or print in ink)

FORM
APPROVED
OMB NO.
0579-0160

10-NN-017

TAG PREFIX	Tag NO.	COLOR DESCRIPTION							BREED/TYPE					SEX			BRANDS Tattoos, etc.	REMARKS Include precondition	
		Bay	Grey	Blk.	Pinto	Chestn	Other	TB	QT	Draft	Pony	Other	Mare	Stal	Geld				
16	USFH 867	/												/				643385	
17	68																	644282	
18	69																	592559	
19	70				/													6666894	
20	71																	651009	
21	72																	612571	
22	73																	636634	
23	74			/														649166	
24	75	/												/				611160	
25	76		/											/				560016	
26	77													/				586314	
27	78				/													636693	
28	79													/				560414	
29	80		/											/				555511	
30	81	/																612700	
31	82	/												/				612198	
32	84			/														593615	
33	85		/											/				610772	
34	86	/												/				651660	
35	87	/												/				664914	
36	88			/														611434	
37																			
38																			
39																			
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43																			
44																			
45																			

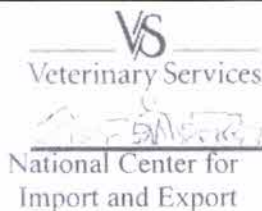
~~4/22/10~~ Today I saw
a paint mare with a new
brown foal beside her - an
apparent violation of the
LAW. I
she had no b...

(b) (6), (b) (7) (C)

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SIGNATURE OF OWNER/SHIPPER (I declare that the information provided is true and correct to the best of my knowledge.)

(b) (6), (b) (7) (C)



WPH

**INTERNATIONAL HEALTH CERTIFICATE FOR SLAUGHTER HORSES EXPORTED
 FROM THE UNITED STATES OF AMERICA TO MEXICO
 CERTIFICADO INTERNACIONAL ZOOSANITARIO PARA EXPORTAR CABALLOS PARA
 SACRIFICIO DE LOS ESTADOS UNIDOS A MEXICO**

Note: Mexico will only accept this shipment if VS Form 10-13 and affidavit for residue are completed and presented at the border with this Health Certificate (HC). VS Form 10-13 must have HC number written in the right upper corner. Mexico will not accept sexually intact males and monorchid animals.

Nota: México aceptará este envío de caballos solamente si la forma VS FORM 10-13 y la declaración jurada están completadas y se presentan en la frontera con este Certificado Zoosanitario (CZ). El número de este CZ debe estar escrito en la parte superior derecha de la forma VS FORM 10-13. México no aceptará machos sin castrar ni monorchídeos.

1. Name and Address of Exporter: **Dennis Chavez**
Nombre y Dirección del Exportador: 24 Dalies Rd
 Los Lunas, NM 87031
2. Name and Address of Importer: **Bertha Ruiz Pacheco**
Nombre y Dirección del Importador: Calle Elisa Griensen 7741
 Col. Independencia 2, Cd. Juarez, Chih
3. Identification of the animals to be exported / *Identificación de los animales a ser exportados.*

Microchip number / Número de microchip	Sex/Sexo	Approximate age/Edad aproximada	Microchip Number / Número de microchip	Sex / Sexo	Approximate age/Edad aproximada
643869	Gelding	7	600410	Mare	7
559381	Mare	4	586130	Mare	4
583838	Gelding	4	560857	Mare	5
619773	Gelding	6	657166	Gelding	4
550526	Mare	8	585675	Mare	8
646799	Mare	4	610974	Mare	4
637696	Gelding	5	566139	Mare	7
578522	Gelding	8	584180	Mare	4
587260	Gelding	3	551283	Mare	6
610548	Mare	8	549446	Gelding	7
550475	Gelding	4	560804	Mare	4
610597	Gelding	7	598499	Mare	6
559731	Mare	5	610363	Mare	9
635371	Mare	4	588178	Mare	6
600490	Mare	1	583517	Mare	6
557809	Gelding	3	614419	Mare	7



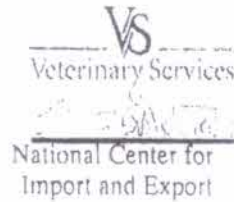
VS
Veterinary Services

Health Certificate No. 10-NM-015
(Valid only if the USDA Veterinary Seal
Appears over the Certificate Number)

National Center for
Import and Export

WFB

Microchip number / Número de microchip	Sex/Sexo	Approximate age/Edad aproximada	Microchip Number / Número de microchip	Sex / Sexo	Approximate age/Edad aproximada
651037	Mare	7			
585507	Mare	6	613665	Gelding	7
647192	Mare	8	594630	Mare	5
583903	Gelding	4	599569	Mare	8
610398	Mare	7	339488	Mare	7
615973	Mare	6	516756	Gelding	6
637160	Mare	8	655827	Mare	8
611218	Mare	7	645948	Gelding	7
655859	Mare	6	565210	Mare	6
4b13355315	Mare	7	600115	Gelding	7
646103	Mare	5	549188	Mare	6
600791	Gelding	8	368943	Gelding	7
644572	Gelding	7	635068	Mare	5
588741	Gelding	8	608498	Gelding	7
646603	Gelding	6	329454	Mare	7
554518	Mare	6	551927	Gelding	6
587362	Mare	7	646194	Gelding	8
593745	Gelding	7	610607	Mare	7
599993	Mare	4	561081	Mare	9
611916	Mare	8	471519	Mare	7
664407	Mare	6	598493	Gelding	6
586085	Mare	5	642828	Mare	7
645343	Mare	7	588201	Mare	6
656614	Mare	5	610313	Gelding	7
612919	Mare	8	666015	Mare	5
651514	Mare	4	585535	Gelding	6
647216	Mare	4	666581	Gelding	6
566448	Gelding	7	635594	Mare	6
592840	Gelding	8	611872	Mare	5
612414	Mare	5	558323	Gelding	4
588515	Mare	7	587692	Gelding	8
566611	Mare	5	549670	Mare	5
558314	Mare	8	565264	Mare	5
637727	Mare	7	650012	Gelding	7
649470	Gelding	4	661324	Gelding	5
560061	Mare	6	611096	Mare	7
592264	Mare	8	560540	Gelding	9
611093	Mare	7	614213	Mare	7
566367	Mare	5	579790	Mare	5
561213	Mare	7	594394	Gelding	6



Health Certificate No. 10-NM-015
(Valid only if the USDA Veterinary Seal
Appears over the Certificate Number)

WFD

Microchip number / Número de microchip	Sex/Sexo	Approximate age/Edad aproximada	Microchip Number / Número de microchip	Sex / Sexo	Approximate age/ Edad aproximada
560582	Mare	6	645763	Mare	7
588575	Gelding	8	592389	Gelding	6
646795	Mare	5	560448	Mare	8
550733	Mare	4	561319	Mare	5
649081	Gelding	7	613065	Gelding	4
646734	Mare	6	611575	Mare	7
561779	Mare	9	655850	Gelding	4
647838	Gelding	4	592150	Mare	6
592859	Mare	4	649781	Gelding	9
649345	Mare	8	647220	Mare	7
592487	Mare	7	643066	Gelding	6
547728	Mare	5	647082	Mare	9
648972	Gelding	4	644440	Mare	5
594328	Gelding	5	611518	Mare	4
650680	Mare	6	593172	Mare	7
592449	Mare	7	561588	Gelding	8
593781	Mare	8	593587	Gelding	5
611780	Mare	5	594819	Mare	7
560847	Gelding	5	650575	Gelding	4
593607	Mare	5	649590	Mare	7
593495	Gelding	5	647858	Mare	6
560279	Mare	7	646394	Gelding	3
586854	Mare	5	656258	Gelding	4
666296	Mare	9	550278	Gelding	7
478764	Gelding	6			



VS
 Veterinary Services
 National Center for
 Import and Export

Health Certificate No. LO-NM-015 Page 41 of 136
 (Valid only if the USDA Veterinary Seal
 Appears over the Certificate Number)

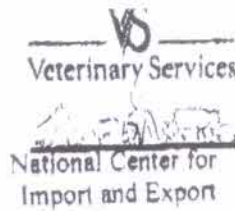
WFX

Microchip number / Número de microchip	Sex/Sexo	Approximate age/Edad aproximada	Microchip Number / Número de microchip	Sex / Sexo	Approximate age/Edad aproximada

CERTIFICATION STATEMENTS / CERTIFICACIONES

- Horses originate from the United States.
Los animales son originarios de Estados Unidos
- Within 30 days prior to exportation, the animals were inspected by an accredited veterinarian who did not find clinical signs of contagious or infectious diseases.
A la inspección efectuada por un veterinario oficial dentro de los 30 días previos a la exportación, los animales no presentaron signos de enfermedades infectocontagiosas.
 Inspection date / Fecha de inspección April 14th 2010
- Prior to shipment the vehicles used to transport the animals to the border were cleaned and disinfected.
Los vehículos utilizados para el transporte de los animales a la frontera fueron sometidos a limpieza y desinfección antes del embarque
- During 90 days prior to exportation, the animals have not been on premises where contagious equine metritis was diagnosed, neither have they been in contact with infected animals, nor epidemiologically related to infected premises or animals.
Durante los 90 días previos a la exportación, los animales no han estado en explotaciones afectadas por la metritis equina contagiosa, ni han estado en contacto con animales afectados ni relacionados epidemiológicamente con instalaciones o animales infectados.

Mexico, Slaughter horse HC



WFF

(Delete as appropriate / *Remueva lo que no aplique*)

5. [Within 5 days prior to export, the animals were dipped in coumaphos at 400 ppm. Spraying of the product is only authorized using a motor pump with coumaphos at 400 ppm.]
(NOM-019-ZOO-1994)] (b)(6), (b)(7)(C) 4/10/2010

[Los animales fueron tratados dentro de los 5 días previos al embarque con un baño de inmersión con coumaphos a concentración de 400 ppm. Solamente se autoriza el haño de aspersión cuando se utilice bomba de motor y se aplique una dosis de 400 ppm de coumaphos.]
(NOM-019-ZOO-1994)] (b)(6), (b)(7)(C) 4/10/2010

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

C. Y. Brasmer
Name of Accredited Veterinarian
*Nombre del Médico Veterinario
Acreditado*

(b)(6), (b)(7)(C)
Name of Endorsing Federal Veterinarian
*Nombre del Médico Veterinario
Federal que endosa.*

(b)(6), (b)(7)(C) 4/14/2010
Signature of Accredited Veterinarian and Date
*Firma del Médico Veterinario Acreditado
y Fecha*

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C) 4/15/2010
Signature of Endorsing Federal Veterinarian
and Date
Firma del Médico Veterinario que endosa

(Valid only if the USDA Veterinary Seal appears over the signature of the Endorsing Federal Veterinarian.) (*Válido Solamente si el sello veterinario del USDA está sobre la firma del Médico Veterinario Federal.*)

Mexico, Slaughter horse HC



Health Certificate No. 10-NN-015
(Valid only if the USDA Veterinary Seal
Appears over the Certificate Number)

WFA

**AFFIDAVIT
DECLARACIÓN JURADA**

I, Dennis Chavez declare that, to my best knowledge horses included in this shipment and accompanied by the health certificate # 10-NN-015 have not been fed or treated within the last one hundred and eighty days (180) prior to shipment with the follow compounds, plants or drugs.

Por este medio declaro que a mi saber y entender los caballos en este embarque, acompañados por el certificado sanitario número 10-NN-015 no han sido alimentados o tratados con ninguno de los siguientes compuestos, plantas o medicamentos durante los ciento ochenta (180) días ante del embarque.

1. Aristolochia spp and any other preparation derived of this plant, chloramphenicol, chloroform, chlorpromazine, colchicine, dapsone, dimetridazole, metronidazole, nitrofurans (included furazolidone), ronidazol.

Aristolochia spp y cualquier otra preparación derivada de esta planta, cloranfenicol, cloroformo, clorpromazina, colchicine, dapsona, demetridazole, metronidazol, nitrofurans (incuding furazolidona) y ronidazole.

2. The following compounds were not used as growth promoters: zilpaterol, clenbuterol and raptopamine.

Los siguientes compuestos no se usaron como promotores del crecimiento: zilpaterol, clenbuterol y raptopamina.

3. The following thyrostatics substances were not used: thiouracil, methylthiouracil phenylthiouracil and propylthiouracil

Que no fueron empleados los sigulentes tirostáticos: tiouracilo, metiluracilo, feniltiuracilo y propiltiuracilo.

(b) (6), (b) (7) (C)

Date and signature of the exporter
Fecha y firma del exportador

4/14/2010

(b) (6), (b) (7) (C)

Date and signature of the Notary
Fecha y firma del Notario Publ

4/14/2010



Mexico. Slaughter horse HC

**OWNER/SHIPPER CERTIFICATE
FITNESS TO TRAVEL TO A SLAUGHTER FACILITY**
(Please type or print in ink)

10-NM-01

TIME HORSES LOADED ON CONVEYANCE 3:00pm	DATE 4-14 10	CITY AND STATE WHERE HORSES WERE LOADED ON CONVEYANCE Los Lunas, New Mexico
VEHICLE LICENSE NO. AND DRIVER'S NAME J3J - 22 3 723		NAME OF AUCTION/MARKET Southwest Livestock
CONSIGNOR (OWNER/SHIPPER) NAME Dennis Chavez		CONSIGNEE (RECEIVER/DESTINATION) NAME Bertha Ruiz Pacheco
STREET ADDRESS 24 Dallas Rd		STREET ADDRESS E. La Grapes 7741
CITY, STATE, ZIP CODE Los Lunas, nm 87031		CITY, STATE, ZIP CODE Juarez, Chihuahua, mx
AREA CODE & TELEPHONE NO. 505-865-4600		AREA CODE & TELEPHONE NO. 915-252-6614

CHECK THE BOX THAT INDICATES THE FOLLOWING IS TRUE FOR ALL THE HORSES ON THIS CERTIFICATE

- Pregnant mares are not likely to foal (give birth) during the trip.
- Foals are older than 6 months of age.
- Horses are able to bear weight on all 4 limbs.
- Horses are not blind in both eyes.
- Horses are able to walk unassisted.

TAG PREFIX	Tag NO	COLOR DESCRIPTION							BREED/TYPE					SEX		BRANDS Tattoos, etc.	REMARKS Include existing conditions	
		Bay	Grey	Blk	Pinto	Chestn	Other	TB	QT	Draft	Pony	Other	Mare	Stal	Geld			
USFH	1501						SR		/							/		643869
	02		/						/							/		559381
	03		/						/							/		583838
	04						SR		/							/		610773
	05						Rain		/							/		550526
	06				/				/							/		646799
	07						Rain		/							/		637696
	08				/				/							/		578522
	09				/				/							/		587200
	10	/							/							/		610548
	12						SR		/							/		610597
	13		/						/							/		559731
	14	/							/							/		635371
	15	/					div		/							/		601490
	16						Rain		/							/		557809

HORSES HAVE HAD ACCESS TO FOOD, WATER, AND REST FOR A MINIMUM OF 6 CONSECUTIVE HOURS IMMEDIATELY BEFORE LOADING INTO CONVEYANCE.

SIGNATURE (b)(6),(b)(7)(C)

I HEREBY AUTHORIZE THE CFIA TO DISCLOSE THIS DOCUMENT AND THE INFORMATION IN IT AS COMPLETED BY THE CFIA OR DGIF TO THE USDA. FALSIFICATION OF THIS FORM OR KNOWINGLY USING A FALSIFIED FORM IS A CRIMINAL OFFENSE AND MAY RESULT IN A FINE OF NOT MORE THAN \$10,000 OR IMPRISONMENT FOR NOT MORE THAN 5 YEARS OR BOTH (18 U.S.C. SECTION 1001).

SIGNATURE the best of my (b)(6),(b)(7)(C)

CANADIAN FOOD INSPECTION AGENCY (CFIA)

EST. _____
DATE _____
TIME _____

DIRECCION GENERAL DE INSPECCION EN FRONTERAS (DGIF)

EST. _____
DATE _____
TIME _____

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0579-0160. The time required to complete this information collection is estimated to average 5 min. per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

**OWNER/SHIPPER CERTIFICATE
FITNESS TO TRAVEL TO A SLAUGHTER FACILITY
(CONTINUATION SHEET)**
(Please type or print in ink)

10-NN-01

TAG PREFIX	Tag NO	COLOR DESCRIPTION								BREED/TYPE				SEX			BRANDS Tattoos, etc	REMARKS Include precondition
		Bay	Grey	Blk	Pinto	Chestn	Other	TB	QT	Draft	Pony	Other	Mare	Stal	Geld			
16	WSSH	17	/							/							557869	
17		18	/							/				/			600410	
18		19								/				/			336130	
19		20								/				/			560857	
20		21								/					/		657166	
21		22								/				/			585675	
22		23								/				/			610974	
23		24								/				/			566139	
24		25								/				/			581180	
25		26	/							/					/		551283	
26		27								/				/			549446	
27		28								/				/			560804	
28		29	/							/				/			548499	
29		30								/				/			588178	
30		31	/							/				/			583517	
31		32	/							/				/			614419	
32		33	/							/				/			651057	
33		34								/				/			585507	
34		35								/				/			613665	
35		36	/							/				/			647192	
36		37	/							/				/			544630	
37		38	/							/				/			583903	
38		39	/							/				/			599569	
39		40	/							/				/			610398	
40		41	/							/				/			334488	
41		42	/							/				/			615973	
42		43								/				/			516754	
43		44								/				/			637160	
44		45								/				/			655877	
45		46	/							/				/				

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SIGNATURE OF OWNER/SHIPPER (I certify that _____ and correct to the best of my knowledge.)

(b) (6), (b) (7) (C)

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0579-0160. The time required to complete this information collection is estimated to average 5 min per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

**OWNER/SHIPPER CERTIFICATE
FITNESS TO TRAVEL TO A SLAUGHTER FACILITY
(CONTINUATION SHEET)**
(Please type or print in ink)

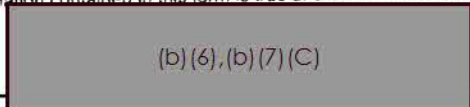
10-NM-015

TAG PREFIX	Tag NO	COLOR DESCRIPTION							BREED/TYPE					SEX			BRANDS Tattoos, etc	REMARKS Include precondition	
		Bay	Grey	Blk	Pinto	Chestn	Other	TB	QT	Draft	Pony	Other	Mare	Stal	Geid				
16	USEH 1550																	4813355315	
17	51		/															600115	
18	52																	646103	
19	53				/													549188	
20	54			/														600791	
21	55				/													368943	
22	57	/																635068	
23	58		/															588741	
24	59				/													608498	
25	60	/																646603	
26	61				/													329454	
27	62																	554518	
28	63																	551927	
29	64	/																587362	
30	65		/															646194	
31	66																	593745	
32	67			/														610607	
33	68																	599993	
34	70		/															611916	
35	71				/													471519	
36	73																	598493	
37	74	/																586085	
38	75																	642328	
39	76																	645343	
40	77	/																588201	
41	78																	656614	
42	79	/																610313	
43	80	/																612919	
44	81																	666015	
45	82	/																605154	

Handwritten note:
This horse has
abused Real
has my cut of h
we use
need to
get st/c

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SIGNATURE OF OWNER/SHIPPER (I certify that the information contained in this form is true and correct to the best of my knowledge.)



(b) (6), (b) (7) (C)

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**OWNER/SHIPPER CERTIFICATE
FITNESS TO TRAVEL TO A SLAUGHTER FACILITY
(CONTINUATION SHEET)**
(Please type or print in ink)

FORM
APPROVED
OMB NO.
0579-0160

10-NN-01

TAG PREFIX	Tag NO	COLOR DESCRIPTION							BREED/TYPE						SEX			BRANDS Tattoos, etc	REMARKS Include precondition	
		Bay	Grey	Blk	Pinto	Chestn	Other	TB	QT	Draft	Pony	Other	Mare	Stal	Geld					
16	USFH 1583								SR	/									583535	
17	84								SR	/									647216	
18	86	/								/								/	566448	
19	87				/					/									635594	
20	88								APP	/								/	592840	
21	89	/	/							/									611872	
22	90	/								/									612414	
23	91								SR	/								/	558323	
24	92		/							/									588515	
25	94								SR	/									566611	
26	95				/					/									549670	
27	97				/					/									565264	
28	98	/	/							/								/	650012	
29	99	/	/							/								/	649470	
30	1000	/								/								/	661324	
31	01				/					/									649700	
32	02								OWN	/								/	560061	
33	03	/								/								/	611096	
34	04				/					/									592264	
35	05								SR	/								/	560540	
36	06								SR	/								/	611093	
37	07								SR	/								/	614213	
38	08	/								/									566367	
39	09				/					/								/	579790	
40	10				/					/								/	561213	
41	11	/								/								/	594394	
42	12								SR	/								/	560582	
43	13	/								/								/	645763	
44	14				/					/								/	583575	
45	15								SR	/								/	592389	

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SIGNATURE OF OWNER/SHIPPER (I certify that the information is true to the best of my knowledge)

(b) (6), (b) (7) (C)

**OWNER/SHIPPER CERTIFICATE
FITNESS TO TRAVEL TO A SLAUGHTER FACILITY
(CONTINUATION SHEET)**

(Please type or print in ink)

FORM APPROVED
OMB NO 0579-0160

10-NM-01

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		Bay	Grey	Blk.	Pinto	Chestn	Other	TB	QT	Draft	Pony	Other	Mare	Stal	Geld				
USFH	16								SR	/					/			646795	
	17								awn	/					/			560448	
	18								SR	/					/			550733	
	19								SR	/					/			501319	
	20								cup	/						/		649081	
	21	/								/						/		613065	
	22		/							/					/			611575	
	23		/							/					/			561779	
	24								cup	/						/		655850	
	25	/								/						/		647838	
	26								awn	/					/			592150	
	27	/								/					/			592859	
	28		/							/					/			649781	
	29		/							/					/			649345	
	30								awn	/					/			647220	
	31								SR	/					/			592487	
	32		/							/						/		643066	
	33								SR	/					/			547728	
	34								awn	/					/			647082	
	35								SR	/						/		648972	
	36								SR	/					/			644440	
	37	/								/						/		594328	
	38		/							/					/			611518	
	39	/								/					/			650680	
	40	/								/					/			593172	
	41	/								/					/			592449	
	42				/					/						/		561588	
	43								/	/						/		593587	
	44								SR	/					/			611780	
	45								awn	/					/			594819	

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SIGNATURE OF OWNER/SHIPPER (I certify that the information contained in this document is true and correct to the best of my knowledge and belief.)

(b)(6), (b)(7)(C)

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**OWNER/SHIPPER CERTIFICATE
FITNESS TO TRAVEL TO A SLAUGHTER FACILITY
(CONTINUATION SHEET)**

(Please type or print in ink)

FORM
APPROVED
OMB NO.
0579-0160

10-NM-015

TAG PREFIX	Tag NO	COLOR DESCRIPTION							BREED/TYPE					SEX		BRANDS Tattoos, etc	REMARKS include precondition	
		Bay	Grey	Blk	Pinto	Chestn	Other	TB	QT	Draft	Pony	Other	Mare	Stal	Geld			
16	USFH11648							SR	/							/	560347	
17	49							SR	/							/	650575	
18	50				/				/				/			/	593207	
19	51	/							/				/			/	649590	
20	52	/							/							/	593495	
21	53	/							/				/			/	647858	
22	55	/							/							/	646394	
23	56	/							/				/			/	586854	
24	57							SR	/							/	656258	
25	58			/					/				/			/	646296	
26	59	/							/							/	550278	
27	9720	/							/							/	478764	
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SIGNATURE OF OWNER/SHIPPER (I certify that the information contained

(b)(6), (b)(7)(C)

is true and correct (I certify)

Mail to:
USDA, APHIS
PO Box 979043
St. Louis, MO 63197-9000

From:
USDA, APHIS, IES
4700 River Road, Unit 85
Riverdale, MD 20737

The enclosed payment is for a Docket or Stipulation. Apply to:

Stipulation Case # NM10031
Check # 1744
Amount 375
Tax Identification # _____
Violator Name Dennis Chavez

Docket # _____
Check # _____
Amount _____
Tax Identification # _____
Violator Name _____

Crystal Brock
Sender Signature

07/27/2011
Date

301-734-6491
Phone Number



Dennis H. Chavez L.L.C.
P.O. Box 700 Ph. 505-865-4600
Los Lunas, NM 87031

1744

EDIM[®] PLUS Check Fraud
Protection & ID Restoration

95-660-1070

Date 7/22/11

Pay to the
order of

USDA
Three Thousand Seven Hundred Eighty

\$3750.00

Dollars

BANK OF ALBUQUERQUE
(800) 583-0709
WWW.BANKOFALBUQUERQUE.COM

(b)(6), (b)(7)(C)

For

(b)(4)

⑈001744⑈

TO REORDER: 1-800-667-2439 • www.ChecksUnlimited.com

NM 10031 → VS

CASE # NM.100,31-VS

Therds. D.C.

(b)(6),(b)(7)(C) cell

Dennis Chavez
Po Box 700

RECEIVED
JUL 25 2011



United States Department of Agriculture

Animal and Plant Health Inspection Service

Marketing & Regulatory Programs Business Services

4700 River Road Riverdale, MD 20737

Dennis V. Chavez 24 Dalies Rd 700 Los Lunas, NM 87031

CERTIFIED MAIL RETURN RECEIPT

Dear Mr. Chavez:

Animal and Plant Health Inspection Service (APHIS) enforces regulations to protect the health and care of animals, plants, and agricultural industry. Violations of these regulations jeopardize the animal and plant health systems that are vital to protect American agriculture.

Our investigation shows that you have violated the United States Code of Federal Regulations as described on the enclosed Stipulation. APHIS laws and regulations provide for administrative and criminal penalties to enforce these regulatory requirements, including civil penalties of up to \$5,000 for each violation documented in our investigation.

The Secretary of Agriculture may, at a hearing, impose civil penalties and the right to a hearing and signing the enclosed Stipulation.

Please pay the \$3,750 civil penalty to the Treasurer of the United States, Case Number NM10031-VS, signed Stipulation to:

If we do not receive your payment, we wish to exercise your right to a hearing. Forwarded to the Department of Justice. Please know that the payment of the civil penalty APHIS may seek should we refer this matter to OGC. You may contact our office at telephone number (301) 734-8684 if you have any questions.

Signed: [Redacted] Sarah L. Conant Chief, Animal Health and Welfare Enforcement Investigative and Enforcement Services

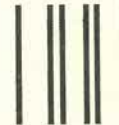
Enclosure

cc: P. Scigliabaglio, AVIC, NM J. Astling, ETP, NM E. Nickerson, IES, WR E. Kelpis, IES, WR APHIS:IES: [Redacted] 6/16/11[07/15/11]:E:DCS:NM100



Safeguarding American Agriculture APHIS is an agency of USDA's Marketing and Regulatory Program An Equal Opportunity Provider and Employer

U.S. POSTAL SERVICE



First-Class Mail Postage & Fees Paid USPS Permit No. G-10

• Sender: Please print name, address, and ZIP+4 in this box •

USDA/APHIS/IES 4700 River Rd, Unit 85 Riverdale, MD 20737-1234

NM10031-VS /STIP

U.S. Postal Service CERTIFIED MAIL RECEIPT (Domestic Mail Only; No Insurance Coverage Provided)

For delivery information visit our website at www.usps.com

OFFICIAL USE

Table with 2 columns: Fee Type, Amount. Rows include Postage, Certified Fee, Return Receipt Fee, Restricted Delivery Fee, Total Postage & Fees.

Postmark Here

Sent To: Street, Apt. No. or PO Box No. City, State, ZIP+4 NM10031-VS

PS Form 3800, August 2006

See Reverse for Instructions

Investigative and Enforcement Services

Settlement Agreement

USDA, APHIS, IES
 4700 River Road, Unit 85
 Riverdale, MD 20737
 Phone: (301) 734-8684
 Fax: (301) 734-4328

CASE NUMBER NM10031-VS
DUE ON OR BEFORE August 29, 2011
CONTACT PHONE (b)(6),(b)(7)(C)

RESPONDENT:
 Dennis V. Chavez
 24 Dalies Road
 Los Lunas, NM 87031

DATE	CITATION	DESCRIPTION
On or about 4/8/2010	9 CFR § 88.4(c)	<p>Requirements for transport. The respondent shipped 159 equines from Los Lunas, New Mexico destined for slaughter in Chihuahua, Mexico; however, the respondent failed to handle the equines in commercial transportation as expeditiously and carefully as possible so as to avoid causing unnecessary discomfort, stress, physical harm or trauma, in that one horse (USFH 1576) was unfit to travel as it foaled during the trip.</p> <p style="text-align: right;"><i>(Continued on page 2)</i></p>

PENALTY \$3,750 **TERMS**

Titles 7, 15, 19, and 21 of the United States Code authorize the Secretary of Agriculture, after providing notice and opportunity for a hearing, to impose civil penalties and other sanctions to resolve violations.

Prior to the issuance of a formal complaint seeking sanctions under the Act, The Department may enter into a stipulation to resolve the above-described violations. Your payment constitutes a waiver of your right to a hearing, a finding that the violations of law have occurred, and settlement of such violations.

If you do not pay the specified penalty within the designated time or wish to exercise your right to a hearing, this matter will be forwarded to the Office of the General Counsel for litigation. The penalty offered in this stipulation is not relevant to the sanctions the Department may seek, or that will be assessed, upon issuance of a formal complaint.

Signature of Respondent: _____ **Date:** _____

PAYMENT RECORD - FOR IES USE ONLY

Payment Type	Date	Amount	Signature of IES Representative

T04 – Settlement Agreement
 Revised 11/15/05

DATE	CITATION	DESCRIPTION
On or about 4/14/2008	9 CFR § 88.4(c)	<p>Requirements for transport. The respondent shipped 147 equines from Los Lunas, New Mexico destined for slaughter in Chihuahua, Mexico; however, the respondent failed to handle the equines in commercial transportation as expeditiously and carefully as possible so as to avoid causing unnecessary discomfort, stress, physical harm or trauma, in that one horse (USFH 1582) was unfit to travel as it foaled during the trip.</p>
On or about 4/21/2010	9 CFR § 88.4(c)	<p>Requirements for transport. The respondent shipped 186 equines from Los Lunas, New Mexico destined for slaughter in Chihuahua, Mexico; however, the respondent failed to handle the equines in commercial transportation as expeditiously and carefully as possible so as to avoid causing unnecessary discomfort, stress, physical harm or trauma, in that one horse was unfit to travel as it foaled during the trip.</p> <p><i>“Handling of all equines in commercial transportation to a slaughtering facility shall be done as expeditiously and carefully as possible in a manner that does not cause unnecessary discomfort, stress, physical harm, or trauma.”</i></p>



JUN 20 2011

**CERTIFIED MAIL
RETURN RECEIPT**

United States
Department of
Agriculture

Dennis V. Chavez
24 NW 102nd Ave., Suite 1
Doral, FL 33172-2225

Animal and
Plant Health
Inspection
Service

Dear Mr. Chavez:

Marketing &
Regulatory
Programs Business
Services

Animal and Plant Health Inspection Service (APHIS) enforces regulations to protect the health and care of animals, plants, and agricultural industry. Violations of these regulations jeopardize the animal and plant health systems that are vital to protect American agriculture.

4700 River Road
Riverdale, MD
20737

Our investigation shows that you have violated the United States Code of Federal Regulations as described on the enclosed Stipulation. APHIS laws and regulations provide for administrative and criminal penalties to enforce these regulatory requirements, including civil penalties of up to \$5,000 for each of the violations documented in our investigation.

The Secretary of Agriculture may, after providing notice and opportunity for a hearing, impose civil penalties or other sanctions. You may, however, waive your right to a hearing and settle this matter by paying \$3,750 by July 25, 2011, and signing the enclosed Stipulation.

Please pay the \$3,750 penalty by certified check or money order made payable to the Treasurer of the United States or go to www.pay.gov to pay by credit card. Write the Case Number NM10031-VS on your check or money order and mail it with the signed Stipulation to:

USDA, APHIS, (General) (NM10031-VS)
P. O. Box 979043
St. Louis, MO 63197-9000

If we do not receive your signed stipulation agreement and payment or notice that you wish to exercise your right to a hearing by July 25, 2011, this matter will be forwarded to the Department's Office of the General Counsel (OGC) for litigation. Please know that the penalty offered in this Stipulation represents a fraction of the civil penalty APHIS may seek should we refer this matter to OGC. You may contact our office at telephone number (301) 734-8684 if you have any questions.

Sincerely,

(b) (6), (b) (7) (C)

Sarah L. Conant
Chief, Animal Health and Welfare Enforcement Branch
Investigative and Enforcement Services

Enclosure



Safeguarding American Agriculture
APHIS is an agency of USDA's Marketing and Regulatory Program
An Equal Opportunity Provider and Employer



Investigative and Enforcement Services

Settlement Agreement

USDA, APHIS, IES
 4700 River Road, Unit 85
 Riverdale, MD 20737
 Phone: (301) 734-8684
 Fax: (301) 734-4328

RESPONDENT:

Dennis V. Chavez
 24 Dalies Road
 Los Lunas, NM 87031

CASE NUMBER NM10031-VS
DUE ON OR BEFORE July 25, 2011
CONTACT PHONE (b)(6).(b)(7)(C)

DATE	CITATION	DESCRIPTION
On or about 4/8/2010	9 CFR § 88.4(c)	<p>Requirements for transport. The respondent shipped 159 equines from Los Lunas, New Mexico destined for slaughter in Chihuahua, Mexico; however, the respondent failed to handle the equines in commercial transportation as expeditiously and carefully as possible so as to avoid causing unnecessary discomfort, stress, physical harm or trauma, in that one horse (USFH 1576) was unfit to travel as it foaled during the trip.</p> <p style="text-align: right;"><i>(Continued on page 2)</i></p>

PENALTY \$3,750**TERMS**

Titles 7, 15, 19, and 21 of the United States Code authorize the Secretary of Agriculture, after providing notice and opportunity for a hearing, to impose civil penalties and other sanctions to resolve violations.

Prior to the issuance of a formal complaint seeking sanctions under the Act, The Department may enter into a stipulation to resolve the above-described violations. Your payment constitutes a waiver of your right to a hearing, a finding that the violations of law have occurred, and settlement of such violations.

If you do not pay the specified penalty within the designated time or wish to exercise your right to a hearing, this matter will be forwarded to the Office of the General Counsel for litigation. The penalty offered in this stipulation is not relevant to the sanctions the Department may seek, or that will be assessed, upon issuance of a formal complaint.

Signature of Respondent:**Date:****PAYMENT RECORD - FOR IES USE ONLY**

Payment Type	Date	Amount	Signature of IES Representative

T04 – Settlement Agreement
 Revised 11/15/05

DATE	CITATION	DESCRIPTION
On or about 4/14/2008	9 CFR § 88.4(c)	<p>Requirements for transport. The respondent shipped 147 equines from Los Lunas, New Mexico destined for slaughter in Chihuahua, Mexico; however, the respondent failed to handle the equines in commercial transportation as expeditiously and carefully as possible so as to avoid causing unnecessary discomfort, stress, physical harm or trauma, in that one horse (USFH 1582) was unfit to travel as it foaled during the trip.</p>
On or about 4/21/2010	9 CFR § 88.4(c)	<p>Requirements for transport. The respondent shipped 186 equines from Los Lunas, New Mexico destined for slaughter in Chihuahua, Mexico; however, the respondent failed to handle the equines in commercial transportation as expeditiously and carefully as possible so as to avoid causing unnecessary discomfort, stress, physical harm or trauma, in that one horse was unfit to travel as it foaled during the trip.</p> <p><i>“Handling of all equines in commercial transportation to a slaughtering facility shall be done as expeditiously and carefully as possible in a manner that does not cause unnecessary discomfort, stress, physical harm, or trauma.”</i></p>

Smootz, Ember M (APHIS)

From: Smootz, Ember M (APHIS)
Sent: Monday, June 20, 2011 9:24 AM
To: Nickerson, Eric S (APHIS); Scigliabaglio, Paul (APHIS); Astling, Joseph T (APHIS); Kelpis, Elizabeth D (APHIS); (b) (6), (b) (7) (C) (APHIS); Fordahl, Timothy R (APHIS)
Subject: NM10031-VS
Attachments: NM10031-VS Chavez sag.doc; NM10031-VS Dennis V. Chavez, Inc. Sag Ltr.docx; NM10031-VS Arballo 7060.doc; NM10031-VS Arballo Cover Ltr.doc

Dennis V. Chavez has been sent a Stip for \$3,750. Also, Joseph Arballo has been sent an Official Warning. Attached are copies of the Settlement Agreement, APHIS 7060, and Cover Letters. If you have any questions, please contact Sarah King, 301-734-0012.

Thank You,
Ember Smootz
Compliance Assistant
USDA/APHIS/MRPBS/IES
301-734-0863
Ember.smootz@aphis.usda.gov



JUN 20 2011

United States Department of Agriculture

Animal and Plant Health Inspection Service

Marketing & Regulatory Programs Business Services

4700 River Road Riverdale, MD 20737

Joseph Arballo d/b/a J & J Trucking 7 Taska Road Peralta, NM 87042

CERTIFIED MAIL RETURN RECEIPT

Dear Mr. Arballo:

The Animal and Plant regulations to protect livestock. APHIS has Transportation of Equ regulations issued ther

The CTESA authorize This is to notify you, th may impose in connec provided that you com in the future. If you ag may, if warranted, see in abeyance in addition violations.

If you have any questi (301) 734-8684.

Sincerely,

(b)(6),(b)(7)(C)

Sarah L. Conant Chief, Animal Health and Welfare Enforcement Investigative and Enforcement Services

Enclosure

- cc: P. Scigliabaglio, AVIC J. Astling, Equine Transportation Prograr T. Fordahl, Regional Director, IES E. Nickerson, Deputy Regional Director, E. Kelpis, IES Area Manager

(b)(6),(b)(7)(C)

APHIS: IE (b)(6),(b)(7)(C)

:6/16/11:I:DCS 7060s NM10031-VS Aballo Cover ltr T02 APHIS 7060 Cover Letter, Rev 6 (4-10)

UNITED STATES POSTAL SERVICE First-Class Mail Postage & Fees Paid USPS Permit No. G-10 Sender: Please print your name, address, and ZIP+4 in this box • USDA/APHIS/IES 4700 River Rd, Unit 85 Riverdale, MD 20737-1234 (b)(6),(b)(7)(C) NM10031-VS/7060

U.S. Postal Service CERTIFIED MAIL RECEIPT (Domestic Mail Only; No Insurance Coverage Provided) For delivery information visit our website at www.usps.com OFFICIAL USE JUN 20 2011 Postage \$ JUN 20 2011 Certified Fee Return Receipt Fee (Endorsement Required) Restricted Delivery Fee (Endorsement Required) Total Postage & Fees \$ Sent To (b)(6),(b)(7)(C) Street, Apt. No.; or PO Box No. NM10031-VS/7060 City, State, ZIP+4 PS Form 3800, August 2006 See Reverse for Instructions



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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NO.

NM10031-VS

VIOLATOR

Joseph Arballo d/b/a
J & J Trucking

ADDRESS (Street, City, State, Zip Code)

7 Taska Road
Peralta, NM 87042

The Department of Agriculture has evidence that on or about 4/8/10, 4/14/10 & 4/21/10, you or your organization committed the following violation of Federal Regulations:

On or about April 8, 2010**9 C.F.R. § 88.4(c) Requirements for transport.**

J & J Trucking transported 159 equines from Los Lunas, New Mexico destined for slaughter in Chihuahua, Mexico; however, J & J Trucking failed to handle the equines in commercial transportation as expeditiously and carefully as possible so as to avoid causing unnecessary discomfort, stress, physical harm or trauma, in that one horse (USFH 1576) was unfit to travel as it foaled during the trip.

On or about April 14, 2010**9 C.F.R. § 88.4(c) Requirements for transport.**

J & J Trucking transported 147 equines from Los Lunas, New Mexico destined for slaughter in Chihuahua, Mexico; however, J & J Trucking failed to handle the equines in commercial transportation as expeditiously and carefully possible so as to avoid causing unnecessary discomfort, stress, physical harm or trauma, in that one horse (USFH 1582) was unfit to travel as it foaled during the trip.

On or about April 21, 2010**9 C.F.R. § 88.4(c) Requirements for transport.**

J & J Trucking transported 186 equines from Los Lunas, New Mexico destined for slaughter in Chihuahua, Mexico; however, J & J Trucking failed to handle the equines in commercial transportation as expeditiously and carefully as possible so as to avoid causing unnecessary discomfort, stress, physical harm or trauma, in that one horse was unfit to travel as it foaled during the trip.

"Handling or all equines in commercial transportation to a slaughtering facility shall be done as expeditiously and carefully as possible in a manner that does not cause unnecessary discomfort, stress, physical harm, or trauma."

Titles 7 & 9 Code of Federal Regulations were promulgated to help prevent the spread of animal and plant pests and diseases and assure the humane treatment of animals. Since the violations of the regulations can have serious and costly impact detrimental to the public interest, you are warned of this violation. Any further violation of these regulations may result in the assessment of a civil penalty or criminal prosecution. If you have any questions concerning this warning or violation, please contact the listed APHIS official.

APHIS OFFICIAL (Name, Title)

Sarah L. Conant
Chief, Animal Health and Welfare Enforcement Branch
Investigative and Enforcement Services

OFFICE ADDRESS:

4700 River Road, Unit 85
Riverdale, MD 20737

SIGNATURE

(b)(6), (b)(7)(C)

DATE ISSUED

6/16/2011

TELEPHONE NO.

(301) 734-8684

FOR PERSONAL SERVICE - RECEIVED BY: (Name and signature)

DATE RECEIVED:

FOR CERTIFIED MAIL - RECEIPT NO:



JUN 20 2011

United States Department of Agriculture

Animal and Plant Health Inspection Service

Marketing & Regulatory Programs Business Services

4700 River Road Riverdale, MD 20737

Dennis V. Chavez 24 NW 102nd Ave., Suite 1 Doral, FL 33172-2225

CERTIFIED MAIL RETURN RECEIPT

Dear Mr. Chavez:

Animal and Plant Health Inspection Service (APHIS) enforces regulations to protect the health and care of animals, plants, and agricultural industry. Violations of these regulations jeopardize the animal and plant health systems that are vital to protect American agriculture.

Our investigation shows that you have violated the United States Code of Federal Regulations as described on the enclosed Stipulation. APHIS laws and regulations provide for administrative and criminal penalties to enforce these regulatory requirements, including civil penalties of up to \$5,000 for each of the violations documented in our investigation.

The Secretary of Agriculture may, after providing notice and opportunity for a hearing, impose civil penalties or other sanctions. You may, however, waive your right to a hearing and settle this matter by paying \$3,750 by July 25, 2011, and signing the enclosed Stipulation.

Please pay the \$3,750 penalty by certified check or money order made payable to the Treasurer of the United States or go to www.pay.gov to pay by credit card. Write the Case Number NM10031-VS on your check or money order and mail it with the signed Stipulation to:

USDA, APHIS, (General) (NM10031-VS) P. O. Box 979043 St. Louis, MO 63197-9000

If we do not receive your signed stipulation agreement and payment or notice that you wish to exercise your right to a hearing by July 25, 2011, this matter will be forwarded to the Department's Office of the General Counsel (OGC) for litigation. Please know that the penalty offered in this Stipulation represents a fraction of the civil penalty APHIS may seek should we refer this matter to OGC. You may contact our office at telephone number (301) 734-8684 if you have any questions.

Sincerely,

(b) (6), (b) (7) (C) Sarah L. Conant Chief, Animal Health and Welfare Enforcement Investigative and Enforcement Services

Enclosure

cc: P. Scigliabaglio, AVIC, NM J. Astling, ETP, NM E. Nickerson, IES, WR E. Kelpis, IES, WR APHIS:IES:SKing:ldh:734-0012:6/16/11:I:DCS:NM10031-VS I



Safeguarding American Agriculture APHIS is an agency of USDA's Marketing and Regulatory Program An Equal Opportunity Provider and Employer

U.S. Postal Service CERTIFIED MAIL RECEIPT (Domestic Mail Only; No Insurance Coverage Provided) For delivery information visit our website at www.usps.com OFFICIAL USE Postage JUN 20 2011 Certified Fee Return Receipt Fee (Endorsement Required) Restricted Delivery Fee (Endorsement Required) Total Postage & Fees \$ Sent To Dennis V. Chavez NM10031-VS/STIP PS Form 3800, August 2005 See Reverse for Instructions

Investigative and Enforcement Services

Settlement Agreement

USDA, APHIS, IES
 4700 River Road, Unit 85
 Riverdale, MD 20737
 Phone: (301) 734-8684
 Fax: (301) 734-4328

RESPONDENT:
 Dennis V. Chavez
 24 Dalies Road
 Los Lunas, NM 87031

CASE NUMBER NM10031-VS
DUE ON OR BEFORE July 25, 2011
CONTACT PHONE (b)(6).(b)(7)(C)

DATE	CITATION	DESCRIPTION
On or about 4/8/2010	9 CFR § 88.4(c)	<p>Requirements for transport. The respondent shipped 159 equines from Los Lunas, New Mexico destined for slaughter in Chihuahua, Mexico; however, the respondent failed to handle the equines in commercial transportation as expeditiously and carefully as possible so as to avoid causing unnecessary discomfort, stress, physical harm or trauma, in that one horse (USFH 1576) was unfit to travel as it foaled during the trip.</p> <p style="text-align: right;"><i>(Continued on page 2)</i></p>

PENALTY \$3,750

TERMS

Titles 7, 15, 19, and 21 of the United States Code authorize the Secretary of Agriculture, after providing notice and opportunity for a hearing, to impose civil penalties and other sanctions to resolve violations.

Prior to the issuance of a formal complaint seeking sanctions under the Act, The Department may enter into a stipulation to resolve the above-described violations. Your payment constitutes a waiver of your right to a hearing, a finding that the violations of law have occurred, and settlement of such violations.

If you do not pay the specified penalty within the designated time or wish to exercise your right to a hearing, this matter will be forwarded to the Office of the General Counsel for litigation. The penalty offered in this stipulation is not relevant to the sanctions the Department may seek, or that will be assessed, upon issuance of a formal complaint.

Signature of Respondent: _____ **Date:** _____

PAYMENT RECORD - FOR IES USE ONLY

Payment Type	Date	Amount	Signature of IES Representative

T04 – Settlement Agreement
 Revised 11/15/05

DATE	CITATION	DESCRIPTION
On or about 4/14/2008	9 CFR § 88.4(c)	<p>Requirements for transport. The respondent shipped 147 equines from Los Lunas, New Mexico destined for slaughter in Chihuahua, Mexico; however, the respondent failed to handle the equines in commercial transportation as expeditiously and carefully as possible so as to avoid causing unnecessary discomfort, stress, physical harm or trauma, in that one horse (USFH 1582) was unfit to travel as it foaled during the trip.</p>
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King, Sarah A (APHIS)

From: Sciglibaglio, Paul (APHIS)
Sent: Monday, June 13, 2011 9:23 AM
To: King, Sarah A (APHIS)
Cc: Astling, Joseph T (APHIS)
Subject: Re: NM10031-VS
Attachments: NM10031-VS Chavez PW.doc; NM10031-VS Arballo 7060.doc; NM10031-VS Chavez sag.doc

Ms. King:

I concur.

Paul Sciglibaglio, D.V.M.
 Area Veterinarian in Charge
 New Mexico & Arizona
 USDA - APHIS - Veterinary Services
 505.761.3160

▼ Sarah King---06/07/2011 02:48:15 PM---Hi Dr. Sciglibaglio, I've completed my review of NM10031-VS, which documents that Dennis Chavez ship

From: Sarah King/MD/APHIS/USDA@MSOCOEX
 To: Paul Sciglibaglio/NM/APHIS/USDA
 Cc: Joseph T Astling/TX/APHIS/USDA
 Date: 06/07/2011 02:48 PM
 Subject: NM10031-VS

Hi Dr. Sciglibaglio,
 I've completed my review of NM10031-VS, which documents that Dennis Chavez shipped three loads of horses from New Mexico destined for slaughter in Mexico with a pregnant mare in each shipment that foaled during the trip. I recommend the attached stipulation in the amount \$3,750 for Dennis Chavez as has been involved in a prior case with alleged violations of the SHTP regulations resulting in a \$2,700 civil penalty. However, I recommend an official warning for the trucking company, J&J Trucking, as we have no prior cases involving this company. Please let me know if you concur with the recommended enforcement actions, or whether you have any additional mitigating or aggravating factors that I have not considered.

Thanks,
 Sarah King
 Compliance Investigation Specialist
 Animal Health and Welfare Enforcement Branch

USDA APHIS MRPBS IES
(301) 734-0012

CONFIDENTIALITY NOTE: This message is intended only for the named recipient and may contain confidential, proprietary, or legally privileged information. Unauthorized individuals or entities are not permitted access to this information. Any unauthorized dissemination, distribution, or copying of this information is strictly prohibited. If you have received this message in error, please advise the sender by reply e-mail, and delete this message and any attachments. (See attached file: NM10031-VS Chavez sag.doc) (See attached file: NM10031-VS Chavez PW.doc) (See attached file: NM10031-VS Arballo 7060.doc)

EQUINE TO SLAUGHTER PENALTY WORKSHEET
September 2005

OFFENSE PART	STIPULATION	OGC	Penalty Totals
(b) (5)			

EQUINE TO SLAUGHTER PENALTY WORKSHEET
September 2005

(b)(5)

Chavez			TOTALS=\$3,750
---------------	--	--	-----------------------



Re: Request for business information . (VS) 
 Ashley E Smith to: Sarah King

05/17/2011 03:22 PM

History:

This message has been replied to.

Sarah,

Here is the information you requested.

There was only one J&J Trucking in New Mexico and it was not listed at the address you provided or associated with Mr. Arballo.

I did find Mr. Arballo at the address you provided below however.

Also, there was not a report on the SOS website for Dennis Chavez LLC.

 j&j trucking ra.docx  southwest livestock auction ra.docx  j&j trucking.pdf  jose arballo.pdf
 dennis chavez llc.pdf  southwest livestock auction.pdf

Ashley Smith
 Investigative Analyst
 Intelligence Analysis Unit
 USDA
 Investigative and Enforcement Services
 Riverdale, Maryland
 (301) 851-2825

Sarah King

Hi Ashley, Can you verify the following addresse...

05/17/2011 11:32:06 AM

From: Sarah King/MD/APHIS/USDA
 To: Ashley E Smith/MD/APHIS/USDA@USDA
 Date: 05/17/2011 11:32 AM
 Subject: Request for business information. (VS)

Hi Ashley,
 Can you verify the following addresses for me?

Jose Arballo d/b/a J&J Trucking: 1919 Labera Drive NW Apt. 2112, Albuquerque, NM 87120
 Dennis Chavez d/b/a Dennis Chavez L.L.C. and Southwest Livestock Auction: 24 Dalies Road, Lon Lunas, NM.

Please include registered agents, if available.

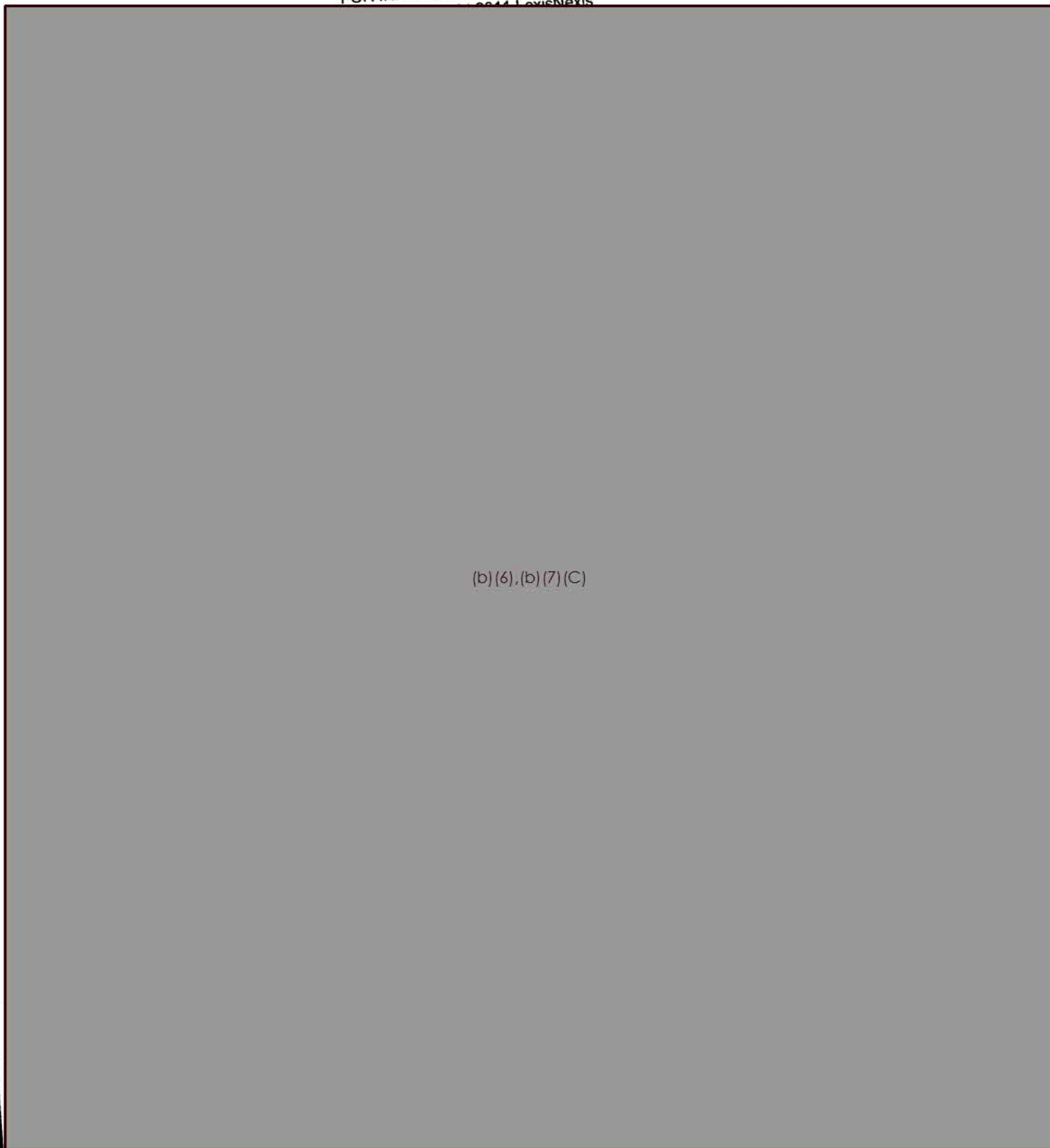
Thanks,
 Sarah King
 (301) 734-0012

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information is strictly prohibited. If you have received this message in error, please advise the sender by reply e-mail, and delete this message and any attachments.

Person Report

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(b)(6), (b)(7)(C)

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE
INVESTIGATIVE AND ENFORCEMENT SERVICES

REPORT OF INVESTIGATION

Case Number: NM10031-VS

Subject(s): Dennis Chavez
(Contact Information) 24 Dalies Road
Los Lunas, NM 87031
(505) 362-7117

Jose Arballo
dba J&J Trucking
1919 Labera Drive NW APT 2112
Albuquerque, NM 87120
(505) 269-1254

Investigator: (b) (6), (b) (7) (C)
(Contact Information) 2150 Centre Ave., Bldg B-3W10
Fort Collins, CO 80532
(303) 518-0170

Date of Report: 01/24/2011

- Substantiated allegation(s)**
- No violation(s)**
- Insufficient evidence**
- Fact finding**
- Contains Confidential Business Information**

FOR OFFICIAL USE ONLY

This document and its contents are not to be distributed outside your agency, or duplicated, without prior consent from USDA APHIS Investigative and Enforcement Services.

Reviewer(s) _____

Case Number: NM10031-VS

Date: 01/24/2011

SYNOPSIS

Dennis Chavez (CHAVEZ) transported late term pregnancy mares to slaughter in Mexico on 04/09/10, 04/15/10, and 04/22/10. The mares foaled in holding pens at the Union Ganadera Stockyards, Santa Teresa, NM.

Case Number: NM10031-VS

Date: 01/24/2011

BACKGROUND**Subject(s) Information**

CHAVEZ is the owner of Southwest Livestock Auction, Los Lunas, NM.

ARBALLO is the owner of J&J Trucking, Albuquerque, NM.

Subject(s) Previous History*Prior Enforcement Actions*

CHAVEZ was given a stipulation penalty in the amount of \$2700.00 on May 20, 2010 for horses that were injured and/or died while being transported to slaughter, case number TX06045-VS.

Prior Adjudications

N/A

Case Number: NM10031-VS

Date: 01/24/2011

NARRATIVE

Basis for Investigation

This investigation was requested by Dr. Paul Scigliabaglio, Veterinary Services (VS), Area Veterinarian In Charge (AVIC), NM, after it was discovered CHAVEZ transported late term pregnancy mares to slaughter that foaled in the holding pens at the Santa Teresa port (Exhibit 1).

Investigative Findings

On April 09, 2010 CHAVEZ exported 159 horses to Mexico for slaughter. The horses were accompanied by International Health Certificate number 10-NM-014, dated 04/09/10 (Exhibit 2) and VS Form 10-13, dated 04/08/10 (Exhibit 3). While conducting an inspection of CHAVEZ's horses at the Union Ganadera Stockyards, Santa Teresa, NM, USDA, VS, Veterinary Medical Officer (VMO), Dr. Walter Howe discovered a Sorrel mare, USDA back tag number USER 1576, had a dead foal hanging from her vulva area (Exhibit 4). The mare, dead foal, and back tag were photographed by civilian employee Daniel Manzanares (Exhibit 5).

On April 15, 2010 CHAVEZ exported 160 horses to Mexico for slaughter. The horses were accompanied by International Health Certificate number 10-NM-015, dated 04/15/10 (Exhibit 6) and VS Form 10-13, dated 04/14/10 (Exhibit 7). While conducting an inspection of CHAVEZ's horses at the Union Ganadera Stockyards, Santa Teresa, NM, USDA, VS, VMO, Dr. Walter Howe discovered a bay mare, USDA back tag number USER 1582, had a dead foal hanging from her vulva area (Exhibit 8). The mare, dead foal, and back tag were photographed by Santa Teresa port civilian employee Daniel Manzanares (Exhibit 9).

On April 22, 2010 CHAVEZ exported 186 horses to Mexico for slaughter. The horses were accompanied by International Health Certificate number 10-NM-017, dated 04/22/10 (Exhibit 10) and VS Form 10-13, dated 04/21/10 (Exhibit 11). While conducting an inspection of CHAVEZ's horses at the Union Ganadera Stockyards, Santa Teresa, NM, USDA, VS, VMO, Dr. Walter Howe discovered a paint mare with no back tag had a live new born foal standing by her side (Exhibit 12). The mare and her foal were photographed by Santa Teresa port civilian employee Daniel Manzanares (Exhibit 13).

On November 17, 2010 IES Investigator [REDACTED] [REDACTED] conducted an interview with CHAVEZ and obtained an affidavit (Exhibit 14). During the interview CHAVEZ stated he reviewed VS Forms 10-13 numbers 10-NM-014, dated 04/08/09; 10-NM-015, dated 04/14/09; and 10-NM-017, dated 04/21/09. According to CHAVEZ he remembered the above mentioned shipments of horses and signed the VS Forms 10-13 but did not notice any bred mares in these shipments. Investigator [REDACTED] showed CHAVEZ the photographs of the two dead and one live foal and their mothers and CHAVEZ stated that these mares did not look heavily bred. CHAVEZ stated that when mares are malnourished and thin it is hard to tell if they are bred or not. CHAVEZ said the mares were sold at his auction but he does not get paid for them until after they are slaughtered. CHAVEZ stated he arranged and paid for the trucking by J&J Trucking located in Albuquerque, NM. CHAVEZ stated he would provide the payment records from the slaughter plant in Mexico and the payment records for the trucking to Investigator [REDACTED] but failed to do so.

On January 10, 2011 IES Investigator [REDACTED] [REDACTED] conducted a telephone interview with the owner of J&J Trucking, Jose Arballo (ARBALLO), and prepared an interview log (Exhibit 15). During the interview it was learned ARBALLO hauled slaughter horses to the Santa Teresa port for CHAVEZ in April, 2009. ARBALLO stated he was present when the horses were loaded on the trailers but did not remember seeing any mares that looked like they might foal during the trip. ARBALLO said he was aware that three of the mares that he transported foaled in the holding pens at the Santa Teresa port. ARBALLO admitted he was familiar with the USDA's regulations regarding the transportation of equines to slaughter. ARBALLO agreed to meet with Investigator [REDACTED] on 01/13/11 and give a statement but would not return any further phone calls from Investigator [REDACTED].

Investigator [REDACTED] provided a statement regarding his contacts with CHAVEZ and ARBALLO (Exhibit 16).

On March 10, 2011 I conducted a telephone interview with Daniel Manzanares and completed an interview log (Exhibit 17). During the interview it was learned Mr. Manzanres has been employed with the Chihuahua Cattlemen's Association as the Director of the Santa Teresa Import/Export Livestock Crossing since November 2007. According to Mr. Manzanares, Veterinary Services, Veterinary Medical Officer, Dr. Walter Howe, asked him to photograph mares that foaled in the holding pens at the Santa Teresa Port on 04/09/10, 04/15/10, and 04/22/10. Mr. Manzanares stated he took the photographs with his Blackberry, uploaded them to his computer, and gave Dr. Howe a copy. Mr. Manzanares stated the horses were owned by Dennis Chavez and were awaiting inspection from Mexican Secretaria de Agricultura, Livestock, Rural Development, and Fish (SAGARPA) veterinarians. According to Mr. Manzanares the horses are penned separately by owner and/or lot, while waiting to be inspected by SAGARPA veterinarians. If the horses are rejected for import they are not allowed into Mexico and the owners/consignors transport the horses out of the import/export center.

These findings substantiate the following alleged violation(s):

9 CFR 88.4 (a) (3) (vii) Requirements for transport.

On 04/09/10, 04/15/10, and 04/22/10 CHAVEZ and ARBALLO transported late term pregnancy mares to slaughter that foaled while in the hold pens at the Santa Teresa port. This regulation specifies a fitness of travel statement, which includes the mares are not likely to foal during the trip, shall be completed at the time the horses are loaded on conveyance.

9 CFR 88.4 (a) (3) (vi) Requirements for transport.

On 04/09/10 and 04/15/10 CHAVEZ completed VS Forms 10-13 numbers 10-NM-014 and 10-NM-015 and listed USDA back tag prefix USFH. However, photographs taken of the mares that foaled show they were tagged with USDA back tags with a prefix of USER. This regulation specifies the VS Form 10-13 shall include the back tag applied to each horse.

These findings indicate that the evidence does not support a violation of the following section(s):

Case Number: NM10031-VS

Date: 01/24/2011

MITIGATING AND/OR AGGRAVATING FACTORS

ADDITIONAL INFORMATION

Case Number: NM10031-VS

Date: 01/24/2011

<u>WITNESS LIST</u>		
<i>Provide First, MI and Last Name with Complete Address</i>		
Name	Title	Address / Phone Number
(b) (6), (b) (7) (C)	Investigator	2150 Centre Ave., Bldg. B-W10 Fort Collins, CO 80526 (b) (6), (b) (7) (C)
(b) (6), (b) (7) (C)	Investigator	2150 Centre Ave., Bldg. B-W10 Fort Collins, CO 80526 (b) (6), (b) (7) (C)
Dr. Walter Howe	VS, VMO	6200 Jefferson Street NE Suite 117 Albuquerque, NM 87109 (505) 761-3160

Case Number: NM10031-VS

Date: 01/24/2011

<u>CASE REPORT DISTRIBUTION (cc)</u>

Program Official(s)

Dr. Paul Scigliabaglio
 USDA-APHIS-VS-AVIC
 6200 Jefferson Street NE, Suite 117
 Albuquerque, NM 87109
 (505) 761-3160

IES Manager(s)

Elizabeth Kelpis
 USDA-APHIS-IES Area Manager
 2150 Centre Ave., BLDG. B 3W10
 Fort Collins, CO 80526
 (303) 241-0932

<u>CASE UPDATES</u>

Program Official(s)

Dr. Paul Scigliabaglio
 USDA-APHIS-VS-AVIC, NM

Primary Investigator

(b) (6) (b) (6), (b) (7) (C)

USDA-APHIS-IES Investigator, CO

IES Area Manager

Elizabeth Kelpis
 USDA-APHIS-IES Area Manager, CO

IES Deputy Regional Director

Eric Nickerson
 USDA-APHIS-IES Western Regional Deputy Director, CO

IES Regional Director

Tim Fordhal
 USDA-APHIS-IES Western Regional Director, CO

Case Number: NM10031-VS

Date: 01/24/2011

<u>EXHIBIT LIST</u>			
Exhibit #	Description	Date	Total Pages
1	Request for Investigation	05/04/10	1
2	International Health Certificate Number 10-NM-014	04/08/10	6
3	VS Form 10-13 Number 10-NM-014	04/08/10	6
4	Statement, Dr. Walter Howe	04/09/10	1
5	Photographs of Mare With Dead Foal	04/09/10	5
6	International Health Certificate Number 10-NM-015	04/15/10	6
7	VS Form 10-13 Number 10-NM-015	04/14/10	6
8	Statement, Dr. Walter Howe	04/15/10	1
9	Photographs of Mare With Dead Foal	04/15/10	4
10	International Health Certificate Number 10-NM-017	04/22/10	6
11	VS Form 10-NM-017	04/21/10	7
12	Statement, Dr. Walter Howe	04/22/10	1
13	Photographs of Mare With Live Foal	04/21/10	3
14	APHIS Form 7162, Dennis Chavez	11/17/10	2
15	Interview Log, Jose Arballo	01/10/11	1
16	Statement, (b)(6),(b)(7)(C)	01/25/11	1
17	Interview Log, Daniel Manzanares	03/10/11	1



United States
Department of
Agriculture

Animal and Plant Health
Inspection Service

Veterinary Services

6200 Jefferson St NE
Suite 117
Albuquerque, NM
87109

Phone: 505-761-3160
Fax: 505-761-3176

May 4, 2010

Elizabeth Kelpis, IES Area Manager
USDA, APHIS, IES
10,278 West Arkansas Ave.
Lakewood, CO 80232

Dear Ms. Kelpis:

To summarize our telephone conversation, I am forwarding the documentation regarding three pregnant mares for export to Mexico for slaughter via the Santa Teresa Port. Please assign them to an IES investigator as discussed.

Sincerely,

(b) (6), (b) (7) (C)

D.V.M.

Paul Scigliabaglio, D.V.M.
Area Veterinarian in Charge
Albuquerque, New Mexico

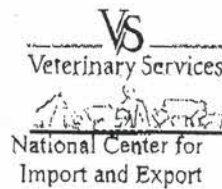
Enclosures



Safeguarding American Agriculture
APHIS is an agency of USDA's Marketing and Regulatory Programs

An Equal Opportunity Provider and Employer

Federal Relay Service
(Voice/TTY/ASCII/Spanish)
1-800-877-8339



Health Certificate No. 10-NM-914
 (Valid only if the USDA Veterinary Seal
 Appears over the Certificate Number)

**INTERNATIONAL HEALTH CERTIFICATE FOR SLAUGHTER HORSES EXPORTED
 FROM THE UNITED STATES OF AMERICA TO MEXICO
 CERTIFICADO INTERNACIONAL ZOOSANITARIO PARA EXPORTAR CABALLOS PARA
 SACRIFICIO DE LOS ESTADOS UNIDOS A MEXICO**

Note: Mexico will only accept this shipment if VS Form 10-13 and affidavit for residue are completed and presented at the border with this Health Certificate (HC). VS Form 10-13 must have HC number written in the right upper corner. Mexico will not accept sexually intact males and monorchid animals.

Nota: México aceptará este envío de caballos solamente si la forma VS FORM 10-13 y la declaración jurada están completadas y se presentan en la frontera con este Certificado Zoosanitario (CZ). El número de este CZ debe estar escrito en la parte superior derecha de la forma VS FORM 10-13. México no aceptará machos sin castrar ni monorchídeos.

- Name and Address of Exporter: Dennis Chavez
 Nombre y Dirección del Exportador: 24 Dalies Rd
 Los Lunas, NM 87031
- Name and Address of Importer: Bertha Ruiz Pacheco
 Nombre y Dirección del Importador: Elisa Grlesen 7741. Col. Independencia 2
 Juarez, Chihuahua MX
- Identification of the animals to be exported / Identificación de los animales a ser exportados.

Microchip number / Número de microchip	Sex/Sexo	Approximate age/Edad aproximada	Microchip Number / Número de microchip	Sex / Sexo	Approximate age/Edad aproximada
643869	Gelding	7	600410	Mare	7
559381	Mare	4	586130	Mare	4
583838	Gelding	6	560857	Mare	5
619773	Gelding	6	657166	Gelding	4
550526	Mare	8	585675	Mare	8
646799	Mare	4	610974	Mare	4
637696	Gelding	5	566139	Mare	7
578522	Gelding	8	584180	Mare	4
587260	Gelding	3	551283	Mare	6
610548	Mare	8	549446	Gelding	7
550475	Gelding	4	560804	Mare	4
610597	Gelding	7	598499	Mare	6
559731	Mare	5	610363	Mare	9
635371	Mare	4	588178	Mare	6
600490	Mare	5	583517	Mare	6
557809	Gelding	3	614419	Mare	4

Mexico, Slaughter horse HC





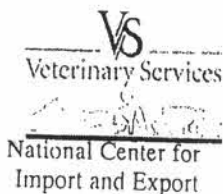
VS
 Veterinary Services
 National Center for
 Import and Export

Health Certificate No. 10-NM-014
 (Valid only if the USDA Veterinary Seal
 Appears over the Certificate Number)

Microchip number / Número de microchip	Sex/Sexo	Approximate age/Edad aproximada	Microchip Number / Número de microchip	Sex / Sexo	Approximate age/ Edad aproximada
651037	Mare	7			
585507	Mare	6	613665	Gelding	7
647192	Mare	8	594630	Mare	5
583903	Gelding	4	599569	Mare	8
610398	Mare	7	339488	Mare	7
615973	Mare	6	516756	Gelding	6
637160	Mare	8	655827	Mare	8
611218	Mare	7	645948	Gelding	7
655859	Mare	6	565210	Mare	6
4b13355315	Mare	7	600115	Gelding	7
646103	Mare	5	549188	Mare	6
600791	Gelding	8	368943	Gelding	7
644572	Gelding	7	635068	Mare	5
588741	Gelding	8	608498	Gelding	7
646603	Gelding	6	329454	Mare	7
554518	Mare	6	551927	Gelding	6
587362	Mare	7	646194	Gelding	8
593745	Gelding	7	610607	Mare	7
599993	Mare	4	561081	Mare	9
611916	Mare	8	471519	Mare	7
664407	Mare	6	598493	Gelding	6
586085	Mare	5	642828	Mare	7
645343	Mare	7	588201	Mare	6
656614	Mare	5	610313	Gelding	7
612919	Mare	8	666015	Mare	5
651514	Mare	4	585535	Gelding	6
647216	Mare	4	666581	Gelding	6
566448	Gelding	7	635594	Mare	6
592840	Gelding	8	611872	Mare	5
612414	Mare	5	558323	Gelding	4
588515	Mare	7	587692	Gelding	8
566611	Mare	5	549670	Mare	5
558314	Mare	8	565264	Mare	5
637727	Mare	7	650012	Gelding	7
649470	Gelding	4	661324	Gelding	5
560061	Mare	6	611096	Mare	7
592264	Mare	8	560540	Gelding	9
611093	Mare	7	614213	Mare	7
566367	Mare	5	579790	Mare	5
561213	Mare	7	594394	Gelding	6

GOVERNMENT
EXHIBIT

Page 2 of 6
APHIS FORM 7070 (MAR 95)



Health Certificate No. 10-NM-014
 (Valid only if the USDA Veterinary Seal
 Appears over the Certificate Number)

Microchip number / Número de microchip	Sex/Sexo	Approximate age/Edad aproximada	Microchip Number / Número de microchip	Sex / Sexo	Approximate age/Edad aproximada
560582	Mare	6	645763	Mare	7
588575	Gelding	8	592389	Gelding	6
646795	Mare	5	560448	Mare	8
550733	Mare	4	561319	Mare	5
649081	Gelding	7	613065	Gelding	4
646734	Mare	6	611575	Mare	7
561779	Mare	9	655850	Gelding	4
647838	Gelding	4	592150	Mare	6
592859	Mare	4	649781	Gelding	9
649345	Mare	8	647220	Mare	7
592487	Mare	7	643066	Gelding	6
547728	Mare	5	647082	Mare	9
648972	Gelding	4	644440	Mare	5
594328	Gelding	5	611518	Mare	4
650680	Mare	6	593172	Mare	7
592449	Mare	7	561588	Gelding	8
593781	Mare	8	593587	Gelding	5
611780	Mare	5	594819	Mare	7
560847	Gelding	5	650575	Gelding	4
593607	Mare	5	649590	Mare	7
593495	Gelding	5	647858	Mare	6
560279	Mare	7	646394	Gelding	3
586854	Mare	5	656258	Gelding	4
666296	Mare	9	550278	Gelding	7

GOVERNMENT EXHIBIT
2
 Page 3 of 6
 APHIS FORM 7070 (MAR 95)



Health Certificate No. 10-NN-014
 (Valid only if the USDA Veterinary Seal
 Appears over the Certificate Number)

Microchip number / Número de microchip	Sex/Sexo	Approximate age/Edad aproximada	Microchip Number / Número de microchip	Sex / Sexo	Approximate age/ Edad aproximada

CERTIFICATION STATEMENTS / CERTIFICACIONES

1. Horses originate from the United States.

Los animales son originarios de Estados Unidos.

2. Within 30 days prior to exportation, the animals were inspected by an accredited veterinarian who did not find clinical signs of contagious or infectious diseases.

A la inspección efectuada por un veterinario oficial dentro de los 30 días previos a la exportación, los animales no presentaron signos de enfermedades infectocontagiosas.

Inspection date / Fecha de inspección April 8th 2010

3. Prior to shipment the vehicles used to transport the animals to the border were cleaned and disinfected.

Los vehículos utilizados para el transporte de los animales a la frontera fueron sometidos a limpieza y desinfección antes del embarque.

4. During 90 days prior to exportation, the animals have not been on premises where contagious equine metritis was diagnosed, neither have they been in contact with infected animals, nor epidemiologically related to infected premises or animals.

Durante los 90 días previos a la exportación, los animales no han estado en explotaciones afectadas por la metritis equina contagiosa, ni han estado en contacto con animales afectados ni relacionados epidemiológicamente con instalaciones o animales infectados.

Mexico, Slaughter horse HC





Health Certificate No. 10-NM-014
(Valid only if the USDA Veterinary Seal
Appears over the Certificate Number)

(Delete as appropriate / *Remueva lo que no aplique*)

5. [The animals are free of ectoparasite and originated from areas not under quarantine for *Boophilus* spp ticks.][

[*Los animales están libres de ectoparásitos y provienen de áreas no cuarentenadas por garrapatas Boophilus spp.*][

C.Y. Brasmer
Name of Accredited Veterinarian
*Nombre del Médico Veterinario
Acreditado*

(b) (6), (b) (7) (C)

Name of Endorsing Federal Veterinarian
*Nombre del Médico Veterinario
Federal que endosa.*

(b) (6), (b) (7) (C) 4/8/2010
Signature of Accredited Veterinarian and Date
*Firma del Médico Veterinario Acreditado
y Fecha*

(b) (6), (b) (7) (C)

4/9/2010
Signature of Endorsing Federal Veterinarian
and Date
*Firma del Médico Veterinario que endosa
y Fecha*

(Valid only if the USDA Veterinary Seal appears over the signature of the Endorsing Federal Veterinarian.) (*Válido Solamente si el sello veterinario del USDA está sobre la firma del Médico Veterinario Federal.*)

Mexico, Slaughter horse HC





Health Certificate No. 10-NN-014
(Valid only if the USDA Veterinary Seal
Appears over the Certificate Number)

**AFFIDAVIT
DECLARACIÓN JURADA**

I, Dennis Chavez declare that, to my best knowledge horses included in this shipment and accompanied by the health certificate # _____ have not been fed or treated within the last one hundred and eighty days (180) prior to shipment with the follow compounds, plants or drugs.

Por este medio declaro que a mi saber y entender los caballos en este embarque, acompañados por el certificado sanitario número _____ no han sido alimentados o tratados con ninguno de los siguientes compuestos, plantas o medicamentos durante los ciento ochenta (180) días antes del embarque.

1. Aristolochia spp and any other preparation derived of this plant, chloramphenicol, chloroform, chlorpromazine, colchicine, dapsone, dimetridazole, metronidazole, nitrofurans (included furazolidone), ronidazol.

Aristolochia spp y cualquier otra preparación derivada de esta planta, cloranfenicol, cloroformo, clorpromazina, colchicine, dapsona, demetridazole, metronidazol, nitrofurans (including furazolidona) y rodinazol.

2. The following compounds were not used as growth promoters: zilpaterol, clenbuterol and raptopamine. All Animals are free of steroids. *g*

Los siguientes compuestos no se usaron como promotores del crecimiento: zilpaterol, clenbuterol y raptopamina. As I como esteroids anabolicos. g

3. The following thyrostatics substances were not used: thiouracil, methylthiouracil phenylthiouracil and propylthiouracil.

Que no fueron empleados los siguientes tirostáticos: tiouracilo, metiluracilo, feniltiuracilo y propiltiuracilo.

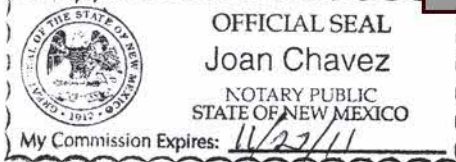
(b) (6), (b) (7) (C)

Date and signature of the exporter
Fecha y firma del exportador

4/8/2010

(b) (6), (b) (7) (C)

Date and signature of the Notary Public
Fecha y firma del Notario Publico



Mexico, Slaughter horse HC



U.S. DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

**OWNER/SHIPPER CERTIFICATE
FITNESS TO TRAVEL TO A SLAUGHTER FACILITY**

(Please type or print in ink)

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0579-0160. The time required to complete this information collection is estimated to average 5 min. per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

FORM
APPROVED
OMB NO.
0579-0160

10-NY-014

TIME HORSES LOADED ON CONVEYANCE <u>3:00pm</u>	DATE <u>4-8-2010</u>	CITY AND STATE WHERE HORSES WERE LOADED ON CONVEYANCE <u>LOO Lunas, New Mexico</u>
VEHICLE LICENSE NO. AND DRIVER'S NAME <u>J3J Trucking-723322</u>	NAME OF AUCTION/MARKET <u>Southwest Livestock</u>	
CONSIGNOR (OWNER/SHIPPER) NAME <u>Dennis Chavez</u>	CONSIGNEE (RECEIVER/DESTINATION) NAME <u>Bertha Ruiz Pacheco</u>	
STREET ADDRESS <u>24 Dalies Rd</u>	STREET ADDRESS <u>Elsa Erlesen 7741</u>	
CITY, STATE, ZIP CODE <u>LOO Lunas, nm 87031</u>	CITY, STATE, ZIP CODE <u>Juarez, Chihuahua mx</u>	
AREA CODE & TELEPHONE NO. <u>505-865-4600</u>	AREA CODE & TELEPHONE NO. <u>01152 656 130 11056</u>	

CHECK THE BOX THAT INDICATES THE FOLLOWING IS TRUE FOR ALL THE HORSES ON THIS CERTIFICATE

- Pregnant mares are not likely to foal (give birth) during the trip.
- Foals are older than 6 months of age.
- Horses are able to bear weight on all 4 limbs.
- Horses are not blind in both eyes.
- Horses are able to walk unassisted.

TAG PREFIX	Tag NO.	COLOR DESCRIPTION						BREED/TYPE					SEX			BRANDS Tattoos, etc.	REMARKS Include existing conditions	
		Bay	Grey	Blk.	Pinto	Chestn	Other	TB	QT	Draft	Pony	Other	Mare	Stal	Geld			
1 USEFH	1501						SR		/						/		643869	
2	02		/						/					/			559381	
3	03		/						/								583838	
4	04						SR		/								610773	
5	05						nan		/				/				550526	
6	06				/				/				/				646799	
7	07						nan		/								637696	
8	08				/				/								573522	
9	09				/				/								587260	
10	10	/							/				/				610548	
11	11				/				/								550475	
12	12						SR		/								610597	
13	13		/						/				/				559731	
14	14	/							/				/				635371	
15	15						nan		/				/				600490	

HORSES HAVE HAD ACCESS TO FOOD, WATER, AND REST FOR A MINIMUM OF 6 CONSECUTIVE HOURS IMMEDIATELY BEFORE TRAVEL.

SIGNATURE

(b)(6), (b)(7)(C)

I HEREBY AUTHORIZE THE CFIA TO DISCLOSE THIS DOCUMENT AND THE INFORMATION IN IT AS COMPLETED BY THE CFIA OR DGIF TO THE USDA. FALSIFICATION OF THIS FORM OR KNOWINGLY USING A FALSIFIED FORM IS A CRIMINAL OFFENSE AND MAY RESULT IN A FINE OF NOT MORE THAN \$10,000 OR IMPRISONMENT FOR NOT MORE THAN 5 YEARS OR BOTH (18 U.S.C. SECTION 1001).

SIGNATURE OF OWNER/SHIPPER (I certify that the information contained in this form is true and correct to the best of my knowledge)

(b)(6), (b)(7)(C)

CANADIAN FOOD INSPECTION AGENCY (CFIA)

EST. _____
DATE _____
TIME _____

DIRECCION GENERAL DE INSPECCION EN FRONTERAS (DGIF)

EST. _____
DATE _____
TIME _____



U.S. DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

**OWNER/SHIPPER CERTIFICATE
FITNESS TO TRAVEL TO A SLAUGHTER FACILITY
(CONTINUATION SHEET)**
(Please type or print in ink)

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0579-0160. The time required to complete this information collection is estimated to average 5 min. per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

FORM
APPROVED
OMB NO.
0579-0160

10-NM-014

	TAG PREFIX	Tag NO.	COLOR DESCRIPTION							BREED/TYPE					SEX			BRANDS Tattoos, etc.	REMARKS Include precondition
			Bay	Grey	Blk.	Pinto	Chestn	Other	TB	QT	Draft	Pony	Other	Mare	Stal	Geld			
16	USEH	15116							rcan	/						/	557809		
17		17		/						/					/		600410		
18		18	/							/					/		586130		
19		19							SR	/					/		560357		
20		20				/				/						/	657166		
21		21		/						/					/		585675		
22		22				/				/					/		610974		
23		23			/					/					/		566139		
24		24							SR	/					/		584180		
25		25				/				/					/		551283		
26		26	/							/						/	549446		
27		27							dun	/					/		560804		
28		28			/					/					/		598499		
29		29	/							/					/		610363		
30		30		/						/					/		533178		
31		31							dun	/					/		533517		
32		32	/							/					/		614419		
33		33		/						/					/		651037		
34		34							dun	/					/		585507		
35		35							SR				mule		/	613665			
36		36		/						/					/	647192			
37		37				/				/					/	594630			
38		38	/							/					/	583903			
39		39		/						/					/	599569			
40		40				/				/					/	610398			
41		41	/							/					/	339488			
42		42							SR	/					/	615973			
43		43							APP	/					/	516756			
44		44				/				/					/	637160			
45		45							b skin	/					/	655827			

I HEREBY AUTHORIZE THE CFIA TO DISCLOSE THIS DOCUMENT AND THE INFORMATION IN IT AS COMPLETED BY THE CFIA TO THE USDA. FALSIFICATION OF THIS FORM OR KNOWINGLY USING A FALSIFIED FORM IS A CRIMINAL OFFENSE AND MAY RESULT IN A FINE OF NOT MORE THAN \$10,000 OR IMPRISONMENT FOR NOT MORE THAN 5 YEARS OR BOTH (18 U.S.C. SECTION 1001).

SIGNATURE OF OWNER/SHIPPER
[Redacted Signature]

Use and correct to the best of my knowledge

GOVERNMENT EXHIBIT
3

Page 2 of 6
APHIS FORM 7070 (MAR 95)

U.S. DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0579-0160. The time required to complete this information collection is estimated to average 5 min. per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

FORM APPROVED
OMB NO.
0579-0160

**OWNER/SHIPPER CERTIFICATE
FITNESS TO TRAVEL TO A SLAUGHTER FACILITY
(CONTINUATION SHEET)**
(Please type or print in ink)

10-NM-014

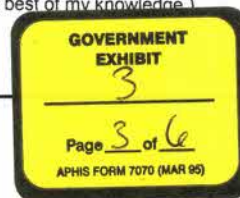
TAG PREFIX	Tag NO.	COLOR DESCRIPTION						BREED/TYPE					SEX			BRANDS Tattoos, etc.	REMARKS Include precondition	
		Bay	Grey	Blk.	Pinto	Chestn	Other	TB	QT	Draft	Pony	Other	Mare	Stal	Geld			
16	USEFH 1546						own		/				/				611218	
17	47						SR		/							/	645948	
18	48	/							/				/				655859	
19	49	/							/				/				565210	
20	50						own		/				/				4B13355315	
21	51		/						/							/	600115	
22	52						own		/				/				646103	
23	53				/				/				/				549188	
24	54			/					/							/	600791	
25	55				/				/							/	368943	
26	56				/				/							/	644572	
27	57	/							/				/				635068	
28	58		/						/							/	588741	
29	59				/				/							/	608498	
30	60	/							/							/	646603	
31	61				/				/				/				329454	
32	62						SR		/				/				654518	
33	63						SR		/							/	551927	
34	64	/							/				/				587362	
35	65		/						/							/	646194	
36	66						SR		/							/	593745	
37	67			/					/				/				610607	
38	68						SR		/				/				599993	
39	69			/					/				/				561081	
40	70		/						/				/				611916	
41	71				/				/				/				471519	
42	72				/				/				/				664407	
43	73						SR		/							/	598493	
44	74	/							/				/				586085	
45	75						own		/				/				642828	

I HEREBY AUTHORIZE THE CFIA TO DISCLOSE THIS DOCUMENT AND THE INFORMATION IN IT AS COMPLETED BY THE CFIA TO THE USDA. FALSIFICATION OF THIS FORM OR KNOWINGLY USING A FALSIFIED FORM IS A CRIMINAL OFFENSE AND MAY RESULT IN A FINE OF NOT MORE THAN \$10,000 OR IMPRISONMENT FOR NOT MORE THAN 5 YEARS OR BOTH (18 U.S.C. SECTION 1001).

SIGNATURE OF OWNER/SHIPPER(I certify

(b) (6), (b) (7) (C)

and correct to the best of my knowledge.)



U.S. DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

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FORM APPROVED
OMB NO.
0579-0160

10-NM-014

**OWNER/SHIPPER CERTIFICATE
FITNESS TO TRAVEL TO A SLAUGHTER FACILITY
(CONTINUATION SHEET)**

(Please type or print in ink)

TAG PREFIX	Tag NO.	COLOR DESCRIPTION						BREED/TYPE					SEX			BRANDS Tattoos, etc.	REMARKS Include precondition
		Bay	Grey	Blk.	Pinto	Chestn	Other	TB	QT	Draft	Pony	Other	Mare	Stal	Geld		
16	USEH 1576						SR		/				/			645343	
17	77	/							/				/			588201	
18	78						awn		/				/			656614	
19	79	/							/						/	610313	
20	80	/							/				/			612919	
21	81						SR		/				/			6666015	
22	82	/							/				/			651514	
23	83						SR				mule				/	585535	
24	84						SR		/				/			647216	
25	85				/				/						/	6666581	
26	86		/		/				/						/	5166448	
27	87			/					/				/			635594	
28	88						app		/						/	592840	
29	89		/						/				/			611872	
30	90	/							/				/			612414	
31	91						SR		/						/	558323	
32	92		/						/				/			588515	
33	93						SR				mule				/	587692	
34	94						SR		/				/			566611	
35	95				/				/				/			549670	
36	96	/							/				/			558314	
37	97				/				/				/			565264	
38	98		/						/				/			637727	
39	99		/						/						/	650012	
40	1600	/							/						/	649470	
41	01			/					/						/	6661324	
42	02						awn		/				/			560061	
43	03		/						/				/			611096	
44	04			/					/				/			592264	
45	05						SR		/						/	560540	

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SIGNATURE OF OWNER/SHIPPER (I certify)

(b) (6), (b) (7) (C)

correct to the best of my knowledge.)



U.S. DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

**OWNER/SHIPPER CERTIFICATE
FITNESS TO TRAVEL TO A SLAUGHTER FACILITY
(CONTINUATION SHEET)**
(Please type or print in ink)

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FORM APPROVED
OMB NO.
0579-0160

10-NM-014

TAG PREFIX	Tag NO.	COLOR DESCRIPTION						BREED/TYPE					SEX			BRANDS Tattoos, etc.	REMARKS Include precondition	
		Bay	Grey	Blk.	Pinto	Chestn	Other	TB	QT	Draft	Pony	Other	Mare	Stal	Geld			
16	ISEFH 110066						SR	/					/				611093	
17	07						SR	/					/				6014213	
18	08	/						/					/				5166367	
19	09				/			/					/				579790	
20	10				/			/					/				561213	
21	11	/						/							/		594394	
22	12						SR	/					/				560582	
23	13		/					/					/				645763	
24	14				/			/							/		588575	
25	15						SR	/							/		592389	
26	16						SR	/					/				646795	
27	17						clwn	/					/				5160448	
28	18						SR	/					/				550733	
29	19						SR	/					/				5161319	
30	20						app	/							/		649081	
31	21	/						/							/		613065	
32	22						SR	/					/				646734	
33	23		/					/					/				611575	
34	24		/					/					/				5161779	
35	25						app	/							/		655850	
36	26	/						/							/		647838	
37	27						clwn	/					/				592150	
38	28	/						/					/				592859	
39	29		/					/					/				649781	
40	30		/					/					/				649345	
41	31						pale	/					/				647220	
42	32						SR	/					/				592487	
43	33		/					/							/		643066	
44	34						SR	/					/				547728	
45	35						med	/					/				647082	

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SIGNATURE OF OWNER/SHIPPER (I certify that the information is true and correct to the best of my knowledge)

(b) (6), (b) (7) (C)



U.S. DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

**OWNER/SHIPPER CERTIFICATE
FITNESS TO TRAVEL TO A SLAUGHTER FACILITY
(CONTINUATION SHEET)**

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FORM
APPROVED
OMB NO.
0579-0160

10-NN-014

TAG PREFIX	Tag NO.	COLOR DESCRIPTION							BREED/TYPE					SEX			BRANDS Tattoos, etc.	REMARKS Include precondition
		Bay	Grey	Blk.	Pinto	Chestn	Other	TB	QT	Draft	Pony	Other	Mare	Stal	Geld			
16	1636						SR		/						/	648972		
17	37						SR		/				/			644440		
18	38	/							/						/	594328		
19	39		/						/				/			611518		
20	40	/							/				/			650680		
21	41	/							/				/			593172		
22	42	/							/				/			592449		
23	43				/				/						/	566588		
24	44						SR		/				/			593781		
25	45					/			/						/	593587		
26	46						SR		/				/			611780		
27	47						TD		/				/			594819		
28	48						SR		/						/	560847		
29	49						SR				male				/	650575		
30	50				/				/				/			593607		
31	51		/						/				/			649590		
32	52	/							/						/	593495		
33	53	/							/				/			647858		
34	54	/							/				/			560279		
35	55	/							/						/	646394		
36	56	/							/				/			586854		
37	57						SR		/						/	656258		
38	58			/					/				/			666296		
39	59	/							/						/	550278		
40	60																	
41																		
42																		
43																		
44																		
45																		



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SIGNATURE OF OWNER/SHIPPER (I

(b) (6), (b) (7) (C)

correct to the best of my knowledge.)



United States
Department of
Agriculture

Animal and
Plant Health
Inspection
Service

Veterinary
Services

Date: 4-9-10

To: Dr. Scigliabaglio

From: Walter F. Howe

Subject: Report of Apparent Violation in the Movement of Slaughter Horses

Today as I was pen inspecting slaughter horses at the Union Ganadera Stockyards at Santa Teresa, NM, I observed a sorrel mare with the green back tag number 1576 with a dead foal hanging out of her vulva area. This mare was described on page 4 of the VS Form 10-13 that accompanied the International Health Certificate number 10-NM014. A copy of the 10-13 is attached as well as a copy of the International Health Certificate described above. The time that I found this mare with the partially delivered foal was 0700 on the date of this letter. I have emailed you the photographs that Daniel Manzanares took today and I asked him and he said that he would try and take the profile shots of the left and right side and a face shot. Especially important would be one with the back tag on it and he said he would do his best. As soon as I have those I'll forward them to you.

I'll remain vigilant and ready to respond to your direction.

(b) (6), (b) (7) (C)

Walter F. Howe DVM
Santa Teresa Port Veterinarian



APHIS—Protecting American Agriculture



THIS IMAGE FAIRLY AND ACCURATELY DEPICTS THE CONDITIONS ON THE DAY THE PHOTOGRAPH WAS TAKEN.
CASE NO: NM10031-VS



LOCATION: Union Ganadera Stockyards

DESCRIPTION OF PHOTOGRAPH: Sorrel mare with dead foal hanging from vulva area

DATE OF PHOTOGRAPH: 04/09/10

PRINTED NAME AND TITLE: Daniel Manzanares

SIGNATURE OF PHOTOGRAPHER: _____ **DATE:** _____

GOVERNMENT
EXHIBIT
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Page 1 of 5
APHIS FORM 7070 (MAR 95)

THIS IMAGE FAIRLY AND ACCURATELY DEPICTS THE CONDITIONS ON THE DAY THE PHOTOGRAPH WAS TAKEN.
CASE NO: NM10031-VS



LOCATION: Union Ganadera Stockyards

DESCRIPTION OF PHOTOGRAPH: Sorrel mare with dead foal hanging from vulva area

DATE OF PHOTOGRAPH: 04/09/10

PRINTED NAME AND TITLE: Daniel Manzanares

SIGNATURE OF PHOTOGRAPHER: _____ **DATE:** _____



**THIS IMAGE FAIRLY AND ACCURATELY DEPICTS THE CONDITIONS ON THE DAY THE PHOTOGRAPH WAS TAKEN.
CASE NO: NM10031-VS**



LOCATION: Union Ganadera Stockyards

DESCRIPTION OF PHOTOGRAPH: Sorrel mare with back tag number USER 1576

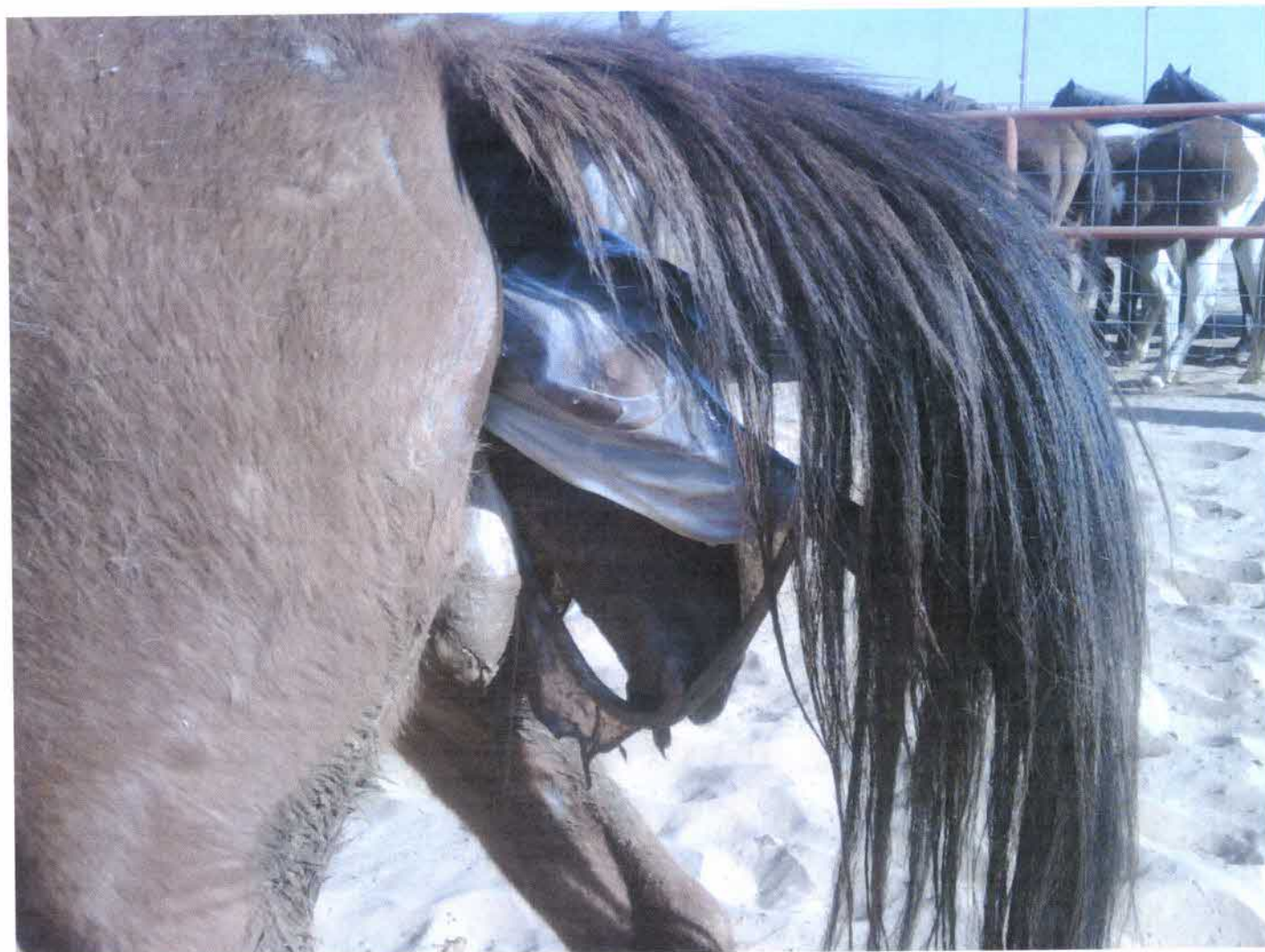
DATE OF PHOTOGRAPH: 04/09/10

PRINTED NAME AND TITLE: Daniel Manzanares

SIGNATURE OF PHOTOGRAPHER: _____ **DATE:** _____



THIS IMAGE FAIRLY AND ACCURATELY DEPICTS THE CONDITIONS ON THE DAY THE PHOTOGRAPH WAS TAKEN.
CASE NO: NM10031-VS



LOCATION: Union Ganadera Stockyards

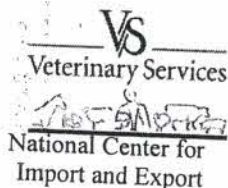
DESCRIPTION OF PHOTOGRAPH: Sorrel mare with dead foal hanging from vulva area

DATE OF PHOTOGRAPH: 04/09/10

PRINTED NAME AND TITLE: Daniel Manzanares

SIGNATURE OF PHOTOGRAPHER: _____ **DATE:** _____





Health Certificate No. 10-NN-015
 (Valid only if the USDA Veterinary Seal
 Appears over the Certificate Number)

WFA

**INTERNATIONAL HEALTH CERTIFICATE FOR SLAUGHTER HORSES EXPORTED
 FROM THE UNITED STATES OF AMERICA TO MEXICO
 CERTIFICADO INTERNACIONAL ZOOSANITARIO PARA EXPORTAR CABALLOS PARA
 SACRIFICIO DE LOS ESTADOS UNIDOS A MEXICO**

Note: Mexico will only accept this shipment if VS Form 10-13 and affidavit for residue are completed and presented at the border with this Health Certificate (HC). VS Form 10-13 must have HC number written in the right upper corner. Mexico will not accept sexually intact males and monorchid animals.

Nota: México aceptará este envío de caballos solamente si la forma VS FORM 10-13 y la declaración jurada están completadas y se presentan en la frontera con este Certificado Zoosanitario (CZ). El número de este CZ debe estar escrito en la parte superior derecha de la forma VS FORM 10-13. México no aceptará machos sin castrar ni monorchideos.

- Name and Address of Exporter: Dennis Chavez
 Nombre y Dirección del Exportador: 24 Dalies Rd
 Los Lunas, NM 87031
- Name and Address of Importer: Bertha Ruiz Pacheco
 Nombre y Dirección del Importador: Calle Elisa Griensen 7741
 Col. Independencia 2, Cd. Juarez, Chih
- Identification of the animals to be exported / Identificación de los animales a ser exportados.

Microchip number / Número de microchip	Sex/Sexo	Approximate age/Edad aproximada	Microchip Number / Número de microchip	Sex / Sexo	Approximate age/Edad aproximada
643869	Gelding	7	600410	Mare	7
559381	Mare	4	586130	Mare	4
583838	Gelding	4	560857	Mare	5
619773	Gelding	6	657166	Gelding	4
550526	Mare	8	585675	Mare	8
646799	Mare	4	610974	Mare	4
637696	Gelding	5	566139	Mare	7
578522	Gelding	8	584180	Mare	4
587260	Gelding	3	551283	Mare	6
610548	Mare	8	549446	Gelding	7
550475	Gelding	4	560804	Mare	4
610597	Gelding	7	598499	Mare	6
559731	Mare	5	610363	Mare	9
635371	Mare	4	588178	Mare	6
600490	Mare	1	583517	Mare	6
557809	Gelding	3	614419	Mare	7

Mexico, Slaughter horse HC





VS
 Veterinary Services
 National Center for
 Import and Export

Health Certificate No. 10-NM-015
 (Valid only if the USDA Veterinary Seal
 Appears over the Certificate Number)

WFB

Microchip number / Número de microchip	Sex/Sexo	Approximate age/Edad aproximada	Microchip Number / Número de microchip	Sex / Sexo	Approximate age/Edad aproximada
651037	Mare	7			
585507	Mare	6	613665	Gelding	7
647192	Mare	8	594630	Mare	5
583903	Gelding	4	599569	Mare	8
610398	Mare	7	339488	Mare	7
615973	Mare	6	516756	Gelding	6
637160	Mare	8	655827	Mare	8
611218	Mare	7	645948	Gelding	7
655859	Mare	6	565210	Mare	6
4b13355315	Mare	7	600115	Gelding	7
646103	Mare	5	549188	Mare	6
600791	Gelding	8	368943	Gelding	7
644572	Gelding	7	635068	Mare	5
588741	Gelding	8	608498	Gelding	7
646603	Gelding	6	329454	Mare	7
554518	Mare	6	551927	Gelding	6
587362	Mare	7	646194	Gelding	8
593745	Gelding	7	610607	Mare	7
599993	Mare	4	561081	Mare	9
611916	Mare	8	471519	Mare	7
664407	Mare	6	598493	Gelding	6
586085	Mare	5	642828	Mare	7
645343	Mare	7	588201	Mare	6
656614	Mare	5	610313	Gelding	7
612919	Mare	8	666015	Mare	5
651514	Mare	4	585535	Gelding	6
647216	Mare	4	666581	Gelding	6
566448	Gelding	7	635594	Mare	6
592840	Gelding	8	611872	Mare	5
612414	Mare	5	558323	Gelding	4
588515	Mare	7	587692	Gelding	8
566611	Mare	5	549670	Mare	5
558314	Mare	8	565264	Mare	5
637727	Mare	7	650012	Gelding	7
649470	Gelding	4	661324	Gelding	5
560061	Mare	6	611096	Mare	7
592264	Mare	8	560540	Gelding	9
611093	Mare	7	614213	Mare	7
566367	Mare	5	579790	Mare	5
561213	Mare	7	594394	Gelding	6

GOVERNMENT
EXHIBIT

Page 2 of 6
APHIS FORM 7070 (MAR 05)



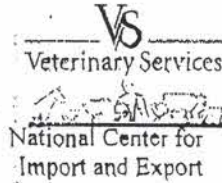
VS
 Veterinary Services
 National Center for
 Import and Export

Health Certificate No. 10-NM-015
 (Valid only if the USDA Veterinary Seal
 Appears over the Certificate Number)

WFD

Microchip number / Número de microchip	Sex/Sexo	Approximate age/Edad aproximada	Microchip Number / Número de microchip	Sex / Sexo	Approximate age/ Edad aproximada
560582	Mare	6	645763	Mare	7
588575	Gelding	8	592389	Gelding	6
646795	Mare	5	560448	Mare	8
550733	Mare	4	561319	Mare	5
649081	Gelding	7	613065	Gelding	4
646734	Mare	6	611575	Mare	7
561779	Mare	9	655850	Gelding	4
647838	Gelding	4	592150	Mare	6
592859	Mare	4	649781	Gelding	9
649345	Mare	8	647220	Mare	7
592487	Mare	7	643066	Gelding	6
547728	Mare	5	647082	Mare	9
648972	Gelding	4	644440	Mare	5
594328	Gelding	5	611518	Mare	4
650680	Mare	6	593172	Mare	7
592449	Mare	7	561588	Gelding	8
593781	Mare	8	593587	Gelding	5
611780	Mare	5	594819	Mare	7
560847	Gelding	5	650575	Gelding	4
593607	Mare	5	649590	Mare	7
593495	Gelding	5	647858	Mare	6
560279	Mare	7	646394	Gelding	3
586854	Mare	5	656258	Gelding	4
666296	Mare	9	550278	Gelding	7
478764	Gelding	6			

GOVERNMENT EXHIBIT
6
 Page 3 of 6
APHIS FORM 7070 (MAR 95)



Health Certificate No. 10-NM-015
(Valid only if the USDA Veterinary Seal
Appears over the Certificate Number)

WFD

Microchip number / Número de microchip	Sex/Sexo	Approximate age/Edad aproximada	Microchip Number / Número de microchip	Sex / Sexo	Approximate age/ Edad aproximada

CERTIFICATION STATEMENTS / CERTIFICACIONES

1. Horses originate from the United States.
Los animales son originarios de Estados Unidos.

2. Within 30 days prior to exportation, the animals were inspected by an accredited veterinarian who did not find clinical signs of contagious or infectious diseases.
A la inspección efectuada por un veterinario oficial dentro de los 30 días previos a la exportación, los animales no presentaron signos de enfermedades infectocontagiosas.
Inspection date / Fecha de inspección April 14th 2010

3. Prior to shipment the vehicles used to transport the animals to the border were cleaned and disinfected.
Los vehículos utilizados para el transporte de los animales a la frontera fueron sometidos a limpieza y desinfección antes del embarque.

4. During 90 days prior to exportation, the animals have not been on premises where contagious equine metritis was diagnosed, neither have they been in contact with infected animals, nor epidemiologically related to infected premises or animals.
Durante los 90 días previos a la exportación, los animales no han estado en explotaciones afectadas por la metritis equina contagiosa, ni han estado en contacto con animales afectados ni relacionados epidemiológicamente con instalaciones o animales infectados.

Mexico, Slaughter horse HC





WPH

(Delete as appropriate / Remueva lo que no aplique)

5.

[Within 5 days prior to export, the animals were dipped in coumaphos at 400 ppm. Spraying of the product is only authorized using a motor pump (NOM-019-ZOO-1994)]
(b)(6), (b)(7)(C) 4/10/2010

[Los animales fueron tratados dentro de los 5 días previos al embarque con un baño de inmersión con coumaphos a concentración de 400 ppm. Solamente se autoriza el baño de aspersión cuando se utilice bomba de motor y se aplique una dosis de 400 ppm de coumaphos.]
(NOM-019-ZOO-1994)]
(b)(6), (b)(7)(C) 4/10/2010

C. Y. Brasmer
Name of Accredited Veterinarian
Nombre del Médico Veterinario
Acreditado

(b)(6), (b)(7)(C)

Name of Endorsing Federal Veterinarian
Nombre del Médico Veterinario
Federal que endosa.

(b)(6), (b)(7)(C) 4/14/2010
Signature of Accredited Veterinarian and Date
Firma del Médico Veterinario Acreditado
y Fecha

(b)(6), (b)(7)(C)

and Date
Firma del Médico Veterinario que endosa

4/15/2010

(Valid only if the USDA Veterinary Seal appears over the signature of the Endorsing Federal Veterinarian.) (Válido Solamente si el sello veterinario del USDA está sobre la firma del Médico Veterinario Federal).

Mexico, Slaughter horse HC





Health Certificate No. 10-NN-015
(Valid only if the USDA Veterinary Seal
Appears over the Certificate Number)

WFA

**AFFIDAVIT
DECLARACIÓN JURADA**

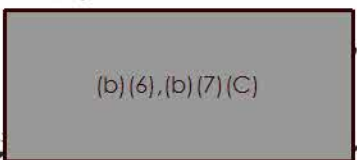
I, Dennis Chavez declare that, to my best knowledge horses included in this shipment and accompanied by the health certificate # 10-NN-015 have not been fed or treated within the last one hundred and eighty days (180) prior to shipment with the follow compounds, plants or drugs.

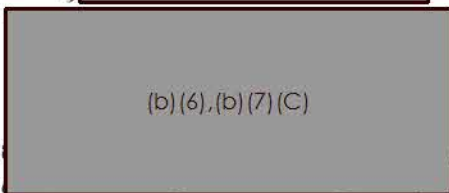
Por este medio declaro que a mi saber y entender los caballos en este embarque, acompañados por el certificado sanitario número 10-NN-015 no han sido alimentados o tratados con ninguno de los siguientes compuestos, plantas o medicamentos durante los ciento ochenta (180) días antes del embarque.

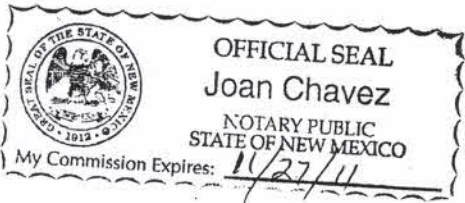
1. Aristolochia spp and any other preparation derived of this plant, chloramphenicol, chloroform, chlorpromazine, colchicine, dapsone, dimetridazole, metronidazole, nitrofurans (included furazolidone), ronidazol.
Aristolochia spp y cualquier otra preparación derivada de esta planta, cloranfenicol, cloroformo, clorpromazina, colchicine, dapsona, demetridazole, metronidazol, nitrofurans (including furazolidona) y rodinazole.

2. The following compounds were not used as growth promoters: zilpaterol, clenbuterol and raptopamine.
Los siguientes compuestos no se usaron como promotores del crecimiento: zilpaterol, clenbuterol y raptopamina.

3. The following thyrostatics substances were not used: thiouracil, methylthiouracil phenylthiouracil and propylthiouracil.
Que no fueron empleados los siguientes tirostáticos: tiouracilo, metiluracilo, feniltiuracilo y propiltiuracilo.

Date and signature of the exporter  4/14/2010
Fecha y firma del exportador

Date and signature of the Notary Public  4/14/2010
Fecha y firma del Notario Público



Mexico, Slaughter horse HC



U.S. DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

**OWNER/SHIPPER CERTIFICATE
FITNESS TO TRAVEL TO A SLAUGHTER FACILITY**
(Please type or print in ink)

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0579-0160. The time required to complete this information collection is estimated to average 5 min. per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

FORM APPROVED
OMB NO.
0579-0160

10-NN-015

TIME HORSES LOADED ON CONVEYANCE <u>3:00pm</u>	DATE <u>4-14-10</u>	CITY AND STATE WHERE HORSES WERE LOADED ON CONVEYANCE <u>Las Lunas, New Mexico</u>
VEHICLE LICENSE NO. AND DRIVER'S NAME <u>J3J-223723</u>	NAME OF AUCTION/MARKET <u>Southwest Livestock</u>	CONSIGNEE (RECEIVER/DESTINATION) NAME <u>Bertha Ruiz Pacheco</u>
CONSIGNOR (OWNER/SHIPPER) NAME <u>Dennis Chavez</u>	STREET ADDRESS <u>Elisa Arlesen 7741</u>	CITY, STATE, ZIP CODE <u>Juarez, Chihuahua mx</u>
STREET ADDRESS <u>24 Dalies Rd</u>	CITY, STATE, ZIP CODE <u>Col. Independencia 2</u>	AREA CODE & TELEPHONE NO. <u>915-252-6614</u>
CITY, STATE, ZIP CODE <u>Las Lunas, nm 87031</u>	AREA CODE & TELEPHONE NO. <u>505-865-4600</u>	

CHECK THE BOX THAT INDICATES THE FOLLOWING IS TRUE FOR ALL THE HORSES ON THIS CERTIFICATE

- Pregnant mares are not likely to foal (give birth) during the trip. Horses are able to bear weight on all 4 limbs.
 Foals are older than 6 months of age. Horses are not blind in both eyes. Horses are able to walk unassisted.

	TAG PREFIX	Tag NO.	COLOR DESCRIPTION					BREED/TYPE					SEX			BRANDS Tattoos, etc.	REMARKS Include existing conditions	
			Bay	Grey	Blk.	Pinto	Chestn	Other	TB	QT	Draft	Pony	Other	Mare	Stal			Geld
1	USFH	1501						SR	/						/			643869
2		02		/					/					/				559381
3		03		/					/					/				583838
4		04						SR	/					/				610773
5		05						Rain	/					/				550526
6		06				/			/					/				646799
7		07						Rain	/					/				637696
8		08				/			/					/				578522
9		09				/			/					/				587200
10		10	/						/					/				610548
11		12						SR	/					/				610597
12		13		/					/					/				559731
13		14							/					/				635371
14		15	/					dun	/					/				600490
15		16						Rain	/					/				557809

HORSES HAVE HAD ACCESS TO FOOD, WATER, AND REST FOR A MINIMUM OF 6 CONSECUTIVE HOURS IMMEDIATELY

SIGNATURE

(b)(6), (b)(7)(C)

I HEREBY AUTHORIZE THE CFIA TO DISCLOSE THIS DOCUMENT AND THE INFORMATION IN IT AS COMPLETED BY THE CFIA OR DGIF TO THE USDA. FALSIFICATION OF THIS FORM OR KNOWINGLY USING A FALSIFIED FORM IS A CRIMINAL OFFENSE AND MAY RESULT IN A FINE OF NOT MORE THAN \$10,000 OR IMPRISONMENT FOR NOT MORE THAN 5 YEARS OR BOTH (18 U.S.C. SECTION 1001).

SIGNATURE OF OWNER/SHIPPER/ I certify that the information contained in this form is true and correct to the best of my

(b)(6), (b)(7)(C)

CANADIAN FOOD INSPECTION AGENCY (CFIA)

EST. _____

DATE _____

TIME _____

DIRECCION GENERAL DE INSPECCION EN FRONTERAS (DGIF)

EST. _____

DATE _____

TIME _____



**OWNER/SHIPPER CERTIFICATE
FITNESS TO TRAVEL TO A SLAUGHTER FACILITY
(CONTINUATION SHEET)**

(Please type or print in ink)

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0579-0160. The time required to complete this information collection is estimated to average 5 min. per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

FORM APPROVED
OMB NO.
0579-0160

10-NN-015

	TAG PREFIX	Tag NO.	COLOR DESCRIPTION						BREED/TYPE					SEX			BRANDS Tattoos, etc.	REMARKS Include precondition	
			Bay	Grey	Blk.	Pinto	Chestn	Other	TB	QT	Draft	Pony	Other	Mare	Stal	Geld			
16	WSPH	17		/														557829	
17		18	/											/				600410	
18		19						SR						/				586130	
19		20												/				560857	
20		21			/											/		657164	
21		22			/									/				585675	
22		23			/									/				610974	
23		24						SR						/				506139	
24		25			/									/				584180	
25		26	/													/		551283	
26		27						dun						/				549446	
27		28			/									/				560804	
28		30		/										/				598499	
29		31						dun						/				588178	
30		32	/											/				583517	
31		33		/										/				614419	
32		34						dun						/				651037	
33		35						SR					mdk			/		585507	
34		36		/										/				613665	
35		37			/									/				647192	
36		38	/													/		594630	
37		39		/										/				583903	
38		40			/									/				599569	
39		41	/											/				610398	
40		42	/					SR						/				339488	
41		43						APP						/				615973	
42		44			/									/				516754	
43		45			/			BSEW						/				637160	
44		47						SR						/				655827	
45	✓	49	/											/					

I HEREBY AUTHORIZE THE CFIA TO DISCLOSE THIS DOCUMENT AND THE INFORMATION IN IT AS COMPLETED BY THE CFIA TO THE USDA. FALSIFICATION OF THIS FORM OR KNOWINGLY USING A FALSIFIED FORM IS A CRIMINAL OFFENSE AND MAY RESULT IN A FINE OF NOT MORE THAN \$10,000 OR IMPRISONMENT FOR NOT MORE THAN 5 YEARS OR BOTH (18 U.S.C. SECTION 1001).

SIGNATURE OF OWNER/SHIPPER (I certify that _____ and correct to the best of my knowledge.)

(b)(6), (b)(7)(C)



U.S. DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

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FORM APPROVED
OMB NO. 0579-0160

10-NM-015

**OWNER/SHIPPER CERTIFICATE
FITNESS TO TRAVEL TO A SLAUGHTER FACILITY
(CONTINUATION SHEET)**

(Please type or print in ink)

TAG PREFIX	Tag NO.	COLOR DESCRIPTION							BREED/TYPE					SEX			BRANDS Tattoos, etc.	REMARKS Include precondition
		Bay	Grey	Blk.	Pinto	Chestn	Other	TB	QT	Draft	Pony	Other	Mare	Stal	Geld			
USEFH	1550						ROAN		/					/			4813355315	
	51		/						/								600115	
	52						ROAN		/					/			6046103	
	53				/				/					/			549188	
	54			/					/								600791	
	55				/				/								368943	
	57	/							/								635068	
	58		/						/					/			588741	
	59				/				/								608498	
	60	/							/								646603	
	61				/				/					/			329454	
	62							SR	/					/			554518	
	63							SR	/								551927	
	64	/							/					/			587362	
	65		/						/								646194	
	66							SR	/								593745	
	67			/					/					/			610607	
	68							SR	/					/			599993	
	70		/						/					/			611916	
	71				/				/					/			471519	
	73							SR	/								598493	
	74	/							/					/			586085	
	75							ROAN	/					/			642328	
	76							SR	/					/			645343	
	77	/							/					/			588201	
	78							ROAN	/					/			656614	
	79	/							/					/			610313	
	80	/							/					/			612919	
	81							SR	/					/			666015	
	82	/							/					/			651514	

This horse had about 20% of his legs removed

I HEREBY AUTHORIZE THE CFIA TO DISCLOSE THIS DOCUMENT AND THE INFORMATION IN IT AS COMPLETED BY THE CFIA TO THE USDA. FALSIFICATION OF THIS FORM OR KNOWINGLY USING A FALSIFIED FORM IS A CRIMINAL OFFENSE AND MAY RESULT IN A FINE OF NOT MORE THAN \$10,000 OR IMPRISONMENT FOR NOT MORE THAN 5 YEARS OR BOTH (18 U.S.C. SECTION 1001).

SIGNATURE OF OWNER/SHIPPER (I certify that the information is true and correct to the best of my knowledge.)

(b) (6), (b) (7) (C)

GOVERNMENT EXHIBIT
7
Page 3 of 6
APHIS FORM 7070 (MAR 99)

U.S. DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

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FORM APPROVED
OMB NO. 0579-0160

10-NN-015

**OWNER/SHIPPER CERTIFICATE
FITNESS TO TRAVEL TO A SLAUGHTER FACILITY
(CONTINUATION SHEET)**

(Please type or print in ink)

	TAG PREFIX	Tag NO.	COLOR DESCRIPTION						BREED/TYPE					SEX			BRANDS Tattoos, etc.	REMARKS Include precondition	
			Bay	Grey	Blk.	Pinto	Chestn	Other	TB	QT	Draft	Pony	Other	Mare	Stal	Geld			
16	USFH	1583							SR	/								583535	
17		84							SR	/					/			647216	
18		86		/						/							/	566448	
19		87			/					/				/				635594	
20		88							APP	/							/	592840	
21		89		/						/				/				611872	
22		90	/							/				/				612414	
23		91							SR	/							/	558323	
24		92		/						/				/				588515	
25		94							SR	/				/				566611	
26		95				/				/				/				549670	
27		97				/				/				/				565264	
28		98		/						/							/	650012	
29		99		/						/							/	649470	
30		1000	/							/							/	661324	
31		01			/					/								649700	
32		02							OWN	/				/				560061	
33		03		/						/				/				611096	
34		04			/					/				/				592264	
35		05							SR	/							/	560540	
36		06							SR	/				/				611093	
37		07							SR	/				/				614213	
38		08	/							/				/				566367	
39		09				/				/				/				579790	
40		10				/				/				/				561213	
41		11	/							/							/	594394	
42		12							SR	/				/				560582	
43		13		/						/				/				645763	
44		14				/				/							/	588575	
45		15							SR	/				/			/	592389	

I HEREBY AUTHORIZE THE CFIA TO DISCLOSE THIS DOCUMENT AND THE INFORMATION IN IT AS COMPLETED BY THE CFIA TO THE USDA. FALSIFICATION OF THIS FORM OR KNOWINGLY USING A FALSIFIED FORM IS A FALSIFIED OFFENSE AND MAY RESULT IN A FINE OF NOT MORE THAN \$10,000 OR IMPRISONMENT FOR NOT MORE THAN 5 YEARS OR BOTH (18 U.S.C. SECTION 1001).

SIGNATURE OF OWNER/SHIPPER (I certify that the information contained in this form is true and correct to the best of my knowledge.)

(b) (6), (b) (7) (C)



U.S. DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

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FORM APPROVED
OMB NO. 0579-0160

**OWNER/SHIPPER CERTIFICATE
FITNESS TO TRAVEL TO A SLAUGHTER FACILITY
(CONTINUATION SHEET)**

(Please type or print in ink)

10-NM-015

	TAG PREFIX	Tag NO.	COLOR DESCRIPTION						BREED/TYPE					SEX			BRANDS Tattoos, etc.	REMARKS Include precondition
			Bay	Grey	Blk.	Pinto	Chestn	Other	TB	QT	Draft	Pony	Other	Mare	Stal	Geld		
16	USEFH	1616						SR	/					/			646795	
17		17						dun	/					/			560448	
18		18						SR	/					/			550733	
19		19						SR	/					/			501319	
20		20						app	/							/	649081	
21		21	/						/							/	613065	
22		23		/					/					/			611575	
23		24		/					/					/			561779	
24		25						app	/							/	655850	
25		26	/						/							/	647838	
26		27						dun	/					/			592150	
27		28	/						/					/			592859	
28		29		/					/							/	649781	
29		30		/					/					/			649345	
30		31						Red	/					/			647220	
31		32						SR	/					/			592487	
32		33		/					/							/	643066	
33		34						SR	/					/			547728	
34		35						Red	/					/			647082	
35		36						SR	/							/	648972	
36		37						SR	/					/			644440	
37		38	/						/							/	594328	
38		39		/					/					/			611518	
39		40	/						/					/			650680	
40		41	/						/					/			593172	
41		42	/						/					/			592449	
42		43				/			/							/	561588	
43		45					/		/							/	593587	
44		46						SR	/					/			611780	
45		47						Red	/					/			594819	

I HEREBY AUTHORIZE THE CFIA TO DISCLOSE THIS DOCUMENT AND THE INFORMATION IN IT AS COMPLETED BY THE CFIA TO THE USDA. FALSIFICATION OF THIS FORM OR KNOWINGLY USING A FALSIFIED FORM IS A CRIMINAL OFFENSE AND MAY RESULT IN A FINE OF NOT MORE THAN \$10,000 OR IMPRISONMENT FOR NOT MORE THAN 5 YEARS OR BOTH (18 U.S.C. SECTION 1001).

SIGNATURE OF OWNER/SHIPPER(I certify that the information contained in this form is true and correct to the best of my knowledge and belief.)



(b)(6), (b)(7)(C)

**OWNER/SHIPPER CERTIFICATE
FITNESS TO TRAVEL TO A SLAUGHTER FACILITY
(CONTINUATION SHEET)**
(Please type or print in ink)

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FORM APPROVED
OMB NO.
0579-0160

10-NH-015

	TAG PREFIX	Tag NO.	COLOR DESCRIPTION						BREED/TYPE					SEX			BRANDS Tattoos, etc.	REMARKS Include precondition	
			Bay	Grey	Blk.	Pinto	Chestn	Other	TB	QT	Draft	Pony	Other	Mare	Stal	Geld			
16	USEFH	11648							SR	/							/	560847	
17		49							SR	/							/	650575	
18		50				/				/				/				593207	
19		51		/						/				/				649590	
20		52	/							/							/	593495	
21		53	/							/				/				647858	
22		55	/							/							/	646394	
23		56	/							/				/				586854	
24		57							SR	/							/	656258	
25		58			/					/				/				666296	
26		59	/							/							/	550278	
27		9720	/							/							/	478764	
28																			
29																			
30																			
31																			
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SIGNATURE OF OWNER/SHIPPER (I certify that the information contained

(b) (6), (b) (7) (C)

knowledge.)





United States
Department of
Agriculture

Animal and
Plant Health
Inspection
Service

Veterinary
Services

Date: 4-15-10

To: Dr. Sciglibaglio

From: Walter F. Howe

Subject: Report of Apparent Violation in the Movement of Slaughter Horses

Today as I was pen inspecting slaughter horses at the Union Ganadera Stockyards at Santa Teresa, NM, I observed a bay mare with the green back tag number 1582 with a dead foal hanging out of her vulva area. This mare was described on page 3 of the VS Form 10-13 that accompanied the International Health Certificate number 10-NM015. A copy of the 10-13 is attached as well as a copy of the International Health Certificate described above. The time that I found this mare with the partially delivered foal was 0700 on the date of this letter. I have emailed you the photographs that Daniel Manzanares took today and I asked him and he said that he would try and take the profile shots of the left and right side and a face shot.

I'll remain vigilant and ready to respond to your direction.

Respectfully,

(b) (6), (b) (7) (C)

Walter F. Howe DVM
Santa Teresa Port Veterinarian



APHIS—Protecting American Agriculture



THIS IMAGE FAIRLY AND ACCURATELY DEPICTS THE CONDITIONS ON THE DAY THE PHOTOGRAPH WAS TAKEN.
CASE NO: NM10031-VS
DISK/CD NO:
FILE NO:



GOVERNMENT
EXHIBIT
9
Page 1 of 4
APHIS FORM 7070 (MAR 95)

LOCATION: Union Ganadera Stockyards
DESCRIPTION: Back tag number USER 1582 on bay mare that had dead foal hanging from her vulva area
DATE OF PHOTOGRAPH: 04/15/10
PRINTED NAME AND TITLE: Daniel Manzanares
SIGNATURE OF PHOTOGRAPHER: _____ **DATE:** _____

THIS IMAGE FAIRLY AND ACCURATELY DEPICTS THE CONDITIONS ON THE DAY THE PHOTOGRAPH WAS TAKEN.

CASE NO: NM10031-VS

DISK/CD NO:

FILE NO:



LOCATION: Union Ganadera Stockyards
DESCRIPTION: Dead foal after being pulled out of bay mare
DATE OF PHOTOGRAPH: 04/15/10
PRINTED NAME AND TITLE: Daniel Manzanares
SIGNATURE OF PHOTOGRAPHER: _____



DATE: _____

THIS IMAGE FAIRLY AND ACCURATELY DEPICTS THE CONDITIONS ON THE DAY THE PHOTOGRAPH WAS TAKEN.

CASE NO: NM10031-VS

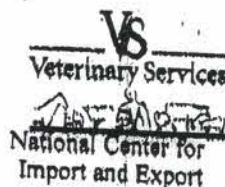
DISK/CD NO:

FILE NO:



LOCATION: Union Ganadera Stockyards
DESCRIPTION: Bay mare with dead foal hanging from her vulva
DATE OF PHOTOGRAPH: 04/15/10
PRINTED NAME AND TITLE: Daniel Manzanares
SIGNATURE OF PHOTOGRAPHER: _____ **DATE:** _____





Health Certificate No. 10-NM-017
 (Valid only if the USDA Veterinary Seal
 Appears over the Certificate Number)

WFH

**INTERNATIONAL HEALTH CERTIFICATE FOR SLAUGHTER HORSES EXPORTED
 FROM THE UNITED STATES OF AMERICA TO MEXICO
 CERTIFICADO INTERNACIONAL ZOOSANITARIO PARA EXPORTAR CABALLOS PARA
 SACRIFICIO DE LOS ESTADOS UNIDOS A MEXICO**

Note: Mexico will only accept this shipment if VS Form 10-13 and affidavit for residue are completed and presented at the border with this Health Certificate (HC). VS Form 10-13 must have HC number written in the right upper corner. Mexico will not accept sexually intact males and monorchid animals.

Nota: México aceptará este envío de caballos solamente si la forma VS FORM 10-13 y la declaración jurada están completadas y se presentan en la frontera con este Certificado Zoosanitario (CZ). El número de este CZ debe estar escrito en la parte superior derecha de la forma VS FORM 10-13. México no aceptará machos sin castrar ni monorchideos.

1. Name and Address of Exporter: Dennis Chavez
 Nombre y Dirección del Exportador: 24 Dalies Rd
 Los Lunas, NM 87031
2. Name and Address of Importer: Bertha Ruiz Pacheco
 Nombre y Dirección del Importador: Elisa Grlesen 7741 Col. Independencia 2
 Juarez, Chihuahua MX
3. Identification of the animals to be exported / Identificación de los animales a ser exportados.

Microchip number / Número de microchip	Sex/Sexo	Approximate age/Edad aproximada	Microchip Number / Número de microchip	Sex / Sexo	Approximate age/Edad aproximada
646758	Gelding	7	560022	Gelding	7
586833	Gelding	5	656982	Gelding	6
593232	Gelding	8	610703	Gelding	9
650933	Gelding	5	637438	Gelding	7
561239	Gelding	5	646494	Gelding	5
588031	Gelding	5	577516	Mare	6
645927	Gelding	7	649448	Gelding	9
656483	Mare	9	596499	Mare	5
561697	Mare	8	667312	Gelding	7
646647	Gelding	6	612370	Gelding	6
612634	Gelding	5	667097	Mare	8
614935	Mare	7	647793	Gelding	9
637502	Gelding	5	667610	Mare	7
656622	Mare	5	664700	Gelding	6
643287	Gelding	7	648986	Gelding	6
610591	Mare	5	651041	Mare	9

Mexico, Slaughter horse HC





Health Certificate No. 10-NM-017
(Valid only if the USDA Veterinary Seal
Appears over the Certificate Number)

W F H

Microchip number / Número de microchip	Sex/Sexo	Approximate age/Edad aproximada	Microchip Number / Número de microchip	Sex / Sexo	Approximate age/ Edad aproximada
610504	Gelding	5	548883	Gelding	6
551135	Gelding	8	584301	Mare	8
586257	Mare	6	664491	Gelding	9
647077	Gelding	5	594734	Gelding	7
611509	Gelding	5	561786	Gelding	6
664655	Gelding	4	587699	Gelding	9
637143	Gelding	4	647453	Gelding	5
561129	Gelding	7	666196	Gelding	9
654789	Gelding	6	665043	Gelding	7
561419	Gelding	7	593144	Gelding	6
647411	Gelding	5	664960	Gelding	9
611222	Gelding	4	646964	Gelding	8
635592	Gelding	4	592271	Gelding	6
664562	Gelding	6	649239	Gelding	8
666886	Gelding	7	547072	Gelding	7
647895	Gelding	5	648437	Gelding	6
660889	Gelding	8	657792	Gelding	5
651907	Gelding	4	637291	Gelding	8
654776	Gelding	5	664943	Mare	6
666919	Gelding	8	636012	Gelding	9
667462	Gelding	7	636368	Gelding	7
664721	Gelding	4	654915	Gelding	6
657563	Gelding	4	560506	Gelding	7
635475	Gelding	8	653991	Gelding	9
649117	Gelding	5	610481	Gelding	5
645179	Gelding	5	650624	Gelding	5
666932	Gelding	7	549860	Gelding	8
648468	Gelding	6	613167	Mare	5
665064	Mare	5	612110	Mare	7
651502	Gelding	5	612872	Mare	4
593125	Mare	9	594641	Mare	8
646478	Mare	6	611541	Mare	6
560815	Mare	7	561787	Mare	7
550921	Gelding	4	584987	Mare	5
650891	Gelding	5	611251	Gelding	8
611013	Gelding	4	647626	Mare	6
587007	Mare	6	600361	Mare	8
551316	Mare	5	566232	Gelding	9
600725	Gelding	8	613160	Mare	7
646026	Gelding	4	649674	Gelding	6
647039	Mare	5	592004	Gelding	9
613824	Gelding	4	560182	Mare	6

GOVERNMENT
EXHIBIT

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APHIS FORM 7070 (MAR 95)



VS
Veterinary Services

National Center for
Import and Export

Health Certificate No. 10-NM-017
(Valid only if the USDA Veterinary Seal
Appears over the Certificate Number)

W F H

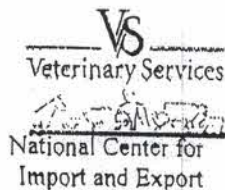
Microchip number / Número de microchip	Sex/Sexo	Approximate age/Edad aproximada	Microchip Number / Número de microchip	Sex / Sexo	Approximate age/ Edad aproximada
610499	Gelding	6	649962	Gelding	7
661369	Mare	7	646138	Gelding	9
646615	Gelding	5	594476	Gelding	8
649279	Gelding	8	592726	Gelding	5
650362	Mare	6	646338	Gelding	8
644769	Mare	7	593905	Mare	5
560303	Gelding	5	648400	Gelding	6
651372	Gelding	5	646996	Mare	5
560198	Mare	6	646581	Mare	6
560001	Mare	4	612280	Gelding	8
560428	Mare	7	611051	Gelding	5
647800	Gelding	4	592962	Mare	5
612474	Gelding	4	593126	Mare	7
648106	Gelding	6	611740	Gelding	4
600406	Gelding	8	612169	Gelding	8
650586	Mare	4	666195	Gelding	5
656714	Gelding	4	666673	Gelding	9
560327	Gelding	7	649234	Mare	7
609576	Mare	5	657355	Mare	5
560218	Mare	4	646832	Gelding	8
561641	Mare	8	560728	Gelding	6
555090	Mare	5	611153	Mare	9
656408	Mare	7	667053	Mare	7
666023	Mare	9	648206	Mare	8
647732	Mare	8	643385	Mare	6
644282	Gelding	6	592559	Gelding	8
666894	Gelding	7	651009	Gelding	7
612571	Gelding	9	636634	Gelding	9
649166	Gelding	5	611160	Mare	7
560016	Mare	7	586314	Mare	9
636693	Gelding	5	560414	Mare	7
555511	Mare	7	612700	Gelding	8
612198	Mare	8	593615	Gelding	6
610772	Mare	7	651660	Mare	4
664914	Mare	5	611434	Gelding	6

GOVERNMENT
EXHIBIT

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Page 3 of 6

APHIS FORM 7070 (MAR 95)



Health Certificate No. 10-NM-017
 (Valid only if the USDA Veterinary Seal
 Appears over the Certificate Number)

W F J

Microchip number / Número de microchip	Sex/Sexo	Approximate age/Edad aproximada	Microchip Number / Número de microchip	Sex / Sexo	Approximate age/ Edad aproximada

CERTIFICATION STATEMENTS / CERTIFICACIONES

1. Horses originate from the United States.

Los animales son originarios de Estados Unidos.

2. Within 30 days prior to exportation, the animals were inspected by an accredited veterinarian who did not find clinical signs of contagious or infectious diseases.

A la inspección efectuada por un veterinario oficial dentro de los 30 días previos a la exportación, los animales no presentaron signos de enfermedades infectocontagiosas.

Inspection date / Fecha de inspección April 21st 2010

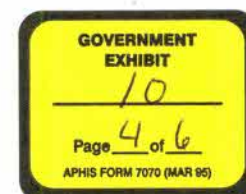
3. Prior to shipment the vehicles used to transport the animals to the border were cleaned and disinfected.

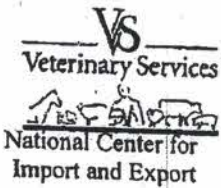
Los vehículos utilizados para el transporte de los animales a la frontera fueron sometidos a limpieza y desinfección antes del embarque.

4. During 90 days prior to exportation, the animals have not been on premises where contagious equine metritis was diagnosed, neither have they been in contact with infected animals, nor epidemiologically related to infected premises or animals.

Durante los 90 días previos a la exportación, los animales no han estado en explotaciones afectadas por la metritis equina contagiosa, ni han estado en contacto con animales afectados ni relacionados epidemiológicamente con instalaciones o animales infectados.

Mexico, Slaughter horse HC





WFF

(Delete as appropriate / *Remueva lo que no aplique*)

5. [The animals are free of ectoparasite and originated from areas not under quarantine for *Boophilus pp* ticks.][

[*Los animales están libres de ectoparásitos y provienen de áreas no cuarentenadas por garrapatas Boophilus spp.*][

C.Y Brasmer
Name of Accredited Veterinarian
*Nombre del Médico Veterinario
Acreditado*

(b) (6), (b) (7) (C)
Name of Endorsing Federal Veterinarian
*Nombre del Médico Veterinario
Federal que endosa.*

(b) (6),
(b) (7)
(C) 4/21/2010
Signature of Accredited Veterinarian and Date
*Firma del Médico Veterinario Acreditado
y Fecha*

(b) (6), (b) (7) (C) 4/22/10
and Date
*Firma del Médico Veterinario que endosa
y Fecha*

(Valid only if the USDA Veterinary Seal appears over the signature of the Endorsing Federal Veterinarian.) (*Válido Solamente si el sello veterinario del USDA está sobre la firma del Médico Veterinario Federal*).

Mexico, Slaughter horse HC



**AFFIDAVIT
DECLARACIÓN JURADA**

I (print) Dennis Chavez declare that the horses included in this shipment have not been fed to or treated within the last one hundred eighty (180) days prior to shipment with the following compounds, plants or drugs.

Por este medio declaro que los caballos en este embarque no han sido alimentados o tratados con ninguno de los siguientes compuestos, plantas o medicamentos durante los ciento ochenta días antes del embarque.

1. *Aristolochia spp* and any other preparation derived of this plant, chloramphenicol, chloroform, chlorpromazine, colchicine, dapsone, dimetridazole, metronidazole, nitrofurans (including furazolidone), and ronidazole.

Aristolochia spp y cualquier otra preparación derivada de esta planta, cloranfenicol, cloroformo, clorpromazina, colchicine, dapsona, demetridazole, metronidazol, nitrofurans (incluyendo furazolidona) y ronidazol.

2. The following compounds were not used as growth promoters: zilpaterol, clenbuterol, raptopamine, and anabolic steroids.

Los siguientes compuestos no se usaron como promotores del crecimiento: zilpaterol, clenbuterol, raptopamine, así como esteroides anabólicos.

3. The following thirosthatics were not used: thiouracil, methyluracil phenylthiouracil and propylthiouracil.

Que no fueron empleados los siguientes tirostáticos: tiouracilo, metiluracilo, feniltiuracilo y propiltiuracilo.

Date and signature of the exporter
Fecha y firma del exportador

(b) (6), (b) (7) (C)

4/21/2010

Date and signature of the Notary Public
Fecha y firma del Notario Público

(b) (6), (b) (7) (C)

/2010



OFFICIAL SEAL
LAURA L. CLEM
NOTARY PUBLIC - STATE OF NEW MEXICO

My commission expires: 12/20/2010



GOVERNMENT
EXHIBIT

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APHIS FORM 7070 (MAR 99)

U.S. DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

**OWNER/SHIPPER CERTIFICATE
FITNESS TO TRAVEL TO A SLAUGHTER FACILITY**
(Please type or print in ink)

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0579-0160. The time required to complete this information collection is estimated to average 5 min. per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

FORM APPROVED
OMB NO.
0579-0160

10-NN-017

TIME HORSES LOADED ON CONVEYANCE <u>4:00pm</u>	DATE <u>4-21-10</u>	CITY AND STATE WHERE HORSES WERE LOADED ON CONVEYANCE <u>Los Lunas, New Mexico</u>
VEHICLE LICENSE NO. AND DRIVER'S NAME <u>J3J Trucking-723 3/22</u>	NAME OF AUCTION/MARKET <u>Southwest Livestock</u>	
CONSIGNOR (OWNER/SHIPPER) NAME <u>Dennis Chavez</u>	CONSIGNEE (RECEIVER/DESTINATION) NAME <u>Bertha Ruiz Pacheco</u>	
STREET ADDRESS <u>24 Dalies Rd</u>	STREET ADDRESS <u>Elisa Gruesen 7741</u>	
CITY, STATE, ZIP CODE <u>Los Lunas, nm 87031</u>	CITY, STATE, ZIP CODE <u>Juarez, Chihuahua mx</u>	
AREA CODE & TELEPHONE NO. <u>505-865-4600</u>	AREA CODE & TELEPHONE NO. <u>656-301-1056</u>	

CHECK THE BOX THAT INDICATES THE FOLLOWING IS TRUE FOR ALL THE HORSES ON THIS CERTIFICATE

Pregnant mares are not likely to foal (give birth) during the trip. Horses are able to bear weight on all 4 limbs.

Foals are older than 6 months of age. Horses are not blind in both eyes. Horses are able to walk unassisted.

TAG PREFIX	Tag NO.	COLOR DESCRIPTION							BREED/TYPE					SEX			BRANDS Tattoos, etc.	REMARKS Include existing conditions
		Bay	Grey	Blk.	Pinto	Chestn	Other	TB	QT	Draft	Pony	Other	Mare	Stal	Geld			
1	USEH 1701		/						/						/		646758	
2	02		/						/						/		560022	
3	03		/						/						/		586833	
4	04	/							/						/		656982	
5	05	/							/						/		593232	
6	06							2210	/						/		610703	
7	07							SR	/						/		650933	
8	08							SR	/						/		637438	
9	09			/					/						/		561239	
10	10	/							/						/		646494	
11	11			/					/						/		588031	
12	12							SR	/					/			577516	
13	13				/				/					/			645927	
14	14	/							/					/			649448	
15	15							SR	/					/			656483	

HORSES HAVE HAD ACCESS TO FOOD, WATER, AND REST FOR A MINIMUM OF 6 CONSECUTIVE HOURS IMMEDIATELY PRIOR TO LOADING.

SIGNATURE _____ (b)(6), (b)(7)(C)

CANADIAN FOOD INSPECTION AGENCY (CFIA)
EST. _____
DATE _____
TIME _____

I HEREBY AUTHORIZE THE CFIA TO DISCLOSE THIS DOCUMENT AND THE INFORMATION IN IT AS COMPLETED BY THE CFIA OR DGIF TO THE USDA. FALSIFICATION OF THIS FORM OR KNOWINGLY USING A FALSIFIED FORM IS A CRIMINAL OFFENSE AND MAY RESULT IN A FINE OF NOT MORE THAN \$10,000 OR IMPRISONMENT FOR NOT MORE THAN 5 YEARS OR BOTH (18 U.S.C. SECTION 1001).

DIRECCION GENERAL DE INSPECCION EN FRONTERAS (DGIF)
EST. _____
DATE _____
TIME _____

SIGNATURE OF OWNER/SHIPPER _____ (b)(6), (b)(7)(C)
The information contained in this form is true and correct to the best of my knowledge.



U.S. DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0579-0160. The time required to complete this information collection is estimated to average 5 min. per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

FORM APPROVED
OMB NO. 0579-0160

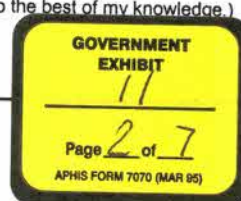
**OWNER/SHIPPER CERTIFICATE
FITNESS TO TRAVEL TO A SLAUGHTER FACILITY
(CONTINUATION SHEET)**
(Please type or print in ink)

10-NM-017

	TAG PREFIX	Tag NO.	COLOR DESCRIPTION						BREED/TYPE					SEX			BRANDS Tattoos, etc.	REMARKS Include precondition	
			Bay	Grey	Blk.	Pinto	Chestn	Other	TB	QT	Draft	Pony	Other	Mare	Stal	Geld			
16	USEH	1716	/							/				/				596499	
17		17	/							/				/				561697	
18		18							dun	/							/	6067312	
19		19							SR	/							/	6046647	
20		20				/				/							/	6012370	
21		21		/						/							/	602634	
22		22	/							/				/				607097	
23		23		/						/				/				604935	
24		24	/							/							/	607793	
25		25	/							/							/	637502	
26		26	/							/				/				607610	
27		27	/							/				/				651622	
28		28							SR	/							/	604700	
29		29							SR	/							/	6043287	
30		30	/							/							/	6048986	
31		31	/							/				/				600591	
32		32							SR	/				/				651041	
33		33							SR	/							/	6010504	
34		34							dun	/							/	548883	
35		35							SR	/							/	551135	
36		36							SR	/								584301	
37		37	/									mule		/				586257	
38		38	/							/							/	604491	
39		39	/							/							/	607077	
40		40	/							/							/	594734	
41		41		/						/							/	6011509	
42		42	/							/							/	561786	
43		43							SR	/							/	6064655	
44		44							SR	/							/	587699	
45		45							SR	/							/	637143	

I HEREBY AUTHORIZE THE CFIA TO DISCLOSE THIS DOCUMENT AND THE INFORMATION IN IT AS COMPLETED BY THE CFIA TO THE USDA. FALSIFICATION OF THIS FORM OR KNOWINGLY USING A FALSIFIED FORM IS A CRIMINAL OFFENSE AND MAY RESULT IN A FINE OF NOT MORE THAN \$10,000 OR IMPRISONMENT FOR NOT MORE THAN 5 YEARS OR BOTH (18 U.S.C. SECTION 1001).

SIGNATURE OF OWNER/SHIPPER (b) (6), (b) (7) (C) true and correct to the best of my knowledge.)



U.S. DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0579-0160. The time required to complete this information collection is estimated to average 5 min. per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

FORM APPROVED
OMB NO.
0579-0160

10-NM-017

OWNER/SHIPPER CERTIFICATE
FITNESS TO TRAVEL TO A SLAUGHTER FACILITY
(CONTINUATION SHEET)

(Please type or print in Ink)

TAG PREFIX	Tag NO.	COLOR DESCRIPTION							BREED/TYPE					SEX			BRANDS Tattoos, etc.	REMARKS Include precondition
		Bay	Grey	Blk.	Pinto	Chestn	Other	TB	QT	Draft	Pony	Other	Mare	Stal	Geld			
16	USEH 1746						app		/							/	6047453	
17	47						b.skin		/							/	561129	
18	48						palo		/							/	6066196	
19	49	/							/							/	654789	
20	50				/				/							/	6065043	
21	51						sr		/							/	561419	
22	52		/						/							/	593144	
23	53						sr		/							/	6047411	
24	54				/				/							/	604960	
25	55						sr		/							/	611222	
26	56						app		/							/	6046964	
27	57		/						/							/	635592	
28	58						app		/							/	592271	
29	59				/				/							/	604562	
30	60						sr		/							/	649239	
31	61						man		/							/	6066884	
32	62						dun		/							/	547072	
33	63						sr		/							/	647895	
34	64				/				/							/	648437	
35	65						sr		/							/	560889	
36	66						sr		/							/	657792	
37	67	/							/							/	151907	
38	68						sr		/							/	637291	
39	69	/							/							/	654776	
40	70				/				/				/				604943	
41	71	/							/							/	6066919	
42	72						sr		/							/	636012	
43	73	/							/							/	6067462	
44	74						sr		/							/	6036368	
45	75						sr		/							/	6064721	

I HEREBY AUTHORIZE THE CFIA TO DISCLOSE THIS DOCUMENT AND THE INFORMATION IN IT AS COMPLETED BY THE CFIA TO THE USDA. FALSIFICATION OF THIS FORM OR KNOWINGLY USING A FALSIFIED FORM IS A CRIMINAL OFFENSE AND MAY RESULT IN A FINE OF NOT MORE THAN \$10,000 OR IMPRISONMENT FOR NOT MORE THAN 5 YEARS OR BOTH (18 U.S.C. SECTION 1001).

SIGNATURE OF OWNER/SHIPPER (I certify)

(b)(6), (b)(7)(C)

and correct to the best of my knowledge.)

GOVERNMENT
EXHIBIT
11
Page 3 of 7
APHIS FORM 7070 (MAR 95)

U.S. DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0579-0160. The time required to complete this information collection is estimated to average 5 min. per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

FORM APPROVED
OMB NO.
0579-0160

10-NM-017

**OWNER/SHIPPER CERTIFICATE
FITNESS TO TRAVEL TO A SLAUGHTER FACILITY
(CONTINUATION SHEET)**

(Please type or print in ink)

TAG PREFIX	Tag NO.	COLOR DESCRIPTION						BREED/TYPE					SEX			BRANDS Tattoos, etc.	REMARKS Include precondition	
		Bay	Grey	Blk.	Pinto	Chestn	Other	TB	QT	Draft	Pony	Other	Mare	Stal	Geld			
USFH	1776						b. skin		/							/	654915	
	77				/				/							/	657563	
	78				/				/							/	560506	
	79						add		/							/	635475	
	80	/							/							/	653991	
	81						b. skin		/							/	649117	
	82	/							/							/	610481	
	83	/							/							/	645179	
	84					/			/							/	650624	
	85						sr		/							/	6666932	
	86			/					/							/	549860	
	87			/					/							/	648468	
	88						sr		/			/				/	613167	
	89				/				/			/				/	665064	
	90				/				/			/				/	612110	
	91	/							/							/	651502	
	92						sr		/			/				/	612872	
	93						sr		/			/				/	593125	
	94						sr		/			/				/	594641	
	95						add		/			/				/	646478	
	96				/				/			/				/	611541	
	97	/							/			/				/	560815	
	98				/				/			/				/	561787	
	99	/							/							/	550921	
	1800	/							/			/				/	584987	
	01						sr		/			/				/	650891	
	02						sr		/			/				/	611251	
	03				/				/			/				/	611013	
	04	/							/			/				/	647626	
	05	/							/			/				/	587007	

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SIGNATURE OF OWNER/SHIPPER (I certify that

(b) (6); (b) (7) (C)

correct to the best of my knowledge.)



U.S. DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0579-0160. The time required to complete this information collection is estimated to average 5 min. per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

FORM APPROVED
OMB NO.
0579-0160

**OWNER/SHIPPER CERTIFICATE
FITNESS TO TRAVEL TO A SLAUGHTER FACILITY
(CONTINUATION SHEET)**

(Please type or print in ink)

10-NH-017

TAG PREFIX	Tag NO.	COLOR DESCRIPTION						BREED/TYPE					SEX			BRANDS Tattoos, etc.	REMARKS Include precondition	
		Bay	Grey	Blk.	Pinto	Chestn	Other	TB	QT	Draft	Pony	Other	Mare	Stal	Geld			
USEH	1806						SR		/				/				6000361	
	07	/							/				/				551316	
	08				/				/						/		566232	
	09						b.skin		/						/		600725	
	10				/				/				/				613160	
	11	/							/						/		646026	
	12	/							/						/		649674	
	13	/							/				/				647039	
	14	/							/						/		592004	
	15						b.skin		/						/		613824	
	16		/						/				/				560182	
	17								/						/		610499	
	18				/				/						/		649962	
	19								/				/				661369	
	20		/						/						/		646138	
	21	/							/						/		646615	
	22				/				/						/		594476	
	23		/						/						/		649279	
	24	/							/						/		592726	
	25	/							/				/				650362	
	26						SR		/						/		646338	
	27	/							/				/				644769	
	28						SR		/				/				593905	
	29	/							/						/		560303	
	30		/						/						/		648400	
	31						SR		/						/		651372	
	32		/						/				/				646996	
	33								/				/				560198	
	34		/						/				/				646581	
	35	/							/				/				560001	

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SIGNATURE OF OWNER/SHIPPER (I certify that)

to the best of my knowledge.)

(b)(6), (b)(7)(C)



U.S. DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

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FORM APPROVED
OMB NO.
0579-0160

10-NM-017

**OWNER/SHIPPER CERTIFICATE
FITNESS TO TRAVEL TO A SLAUGHTER FACILITY
(CONTINUATION SHEET)**

(Please type or print in ink)

Tag NO.	TAG PREFIX	COLOR DESCRIPTION						BREED/TYPE					SEX			BRANDS Tattoos, etc.	REMARKS Include precondition
		Bay	Grey	Blk.	Pinto	Chestn	Other	TB	QT	Draft	Pony	Other	Mare	Stal	Geld		
16	USEH	1836					SR		/						/	612280	
17		37					SR		/					/		560428	
18		38			/				/						/	611051	
19		39	/						/						/	647800	
20		40			/				/				/			592962	
21		41					SR		/						/	612474	
22		42			/				/				/			593126	
23		43	/						/						/	648106	
24		44					SR		/						/	611740	
25		45	/						/						/	600406	
26		46	/						/						/	612169	
27		47					SR		/				/			650586	
28		48			/				/						/	666195	
29		49	/						/						/	656714	
30		50	/						/						/	666673	
31		52	/						/						/	560327	
32		53			/				/				/			649234	
33		54	/						/				/			609576	
34		55			/				/				/			657355	
35		56					SR		/				/			560218	
36		57			/				/						/	646832	
37		58					SR		/				/			561641	
38		59	/						/						/	560728	
39		60					SR		/				/			555090	
40		61					ADD		/				/			611153	
41		62			/				/				/			656408	
42		63	/						/				/			667053	
43		64	/						/				/			6666023	
44		65					SR		/				/			648206	
45		66	/						/				/			647732	

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SIGNATURE OF OWNER/SHIPPER (I certify that the information is true and correct to the best of my knowledge.)

(b) (6), (b) (7) (C)



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FORM APPROVED
OMB NO.
0579-0160

10-NN-017

**OWNER/SHIPPER CERTIFICATE
FITNESS TO TRAVEL TO A SLAUGHTER FACILITY
(CONTINUATION SHEET)**

(Please type or print in Ink)

	TAG PREFIX	Tag NO.	COLOR DESCRIPTION						BREED/TYPE					SEX			BRANDS Tattoos, etc.	REMARKS Include precondition	
			Bay	Grey	Blk.	Pinto	Chestn	Other	TB	QT	Draft	Pony	Other	Mare	Stal	Geld			
16	USFH	1867	/											/				643385	
17		68							dan									644282	
18		69							SR									592559	
19		70				/												666894	
20		71							SR									651009	
21		72							SR									612571	
22		73							b.skin									636634	
23		74			/													649160	
24		75	/											/				61160	
25		76		/										/				560016	
26		77							dan					/				586314	
27		78				/												636693	
28		79							SR					/				560414	
29		80		/										/				555511	
30		81	/															612700	
31		82	/											/				612198	
32		84			/													593615	
33		85		/										/				610772	
34		86	/											/				651660	
35		87	/											/				664914	
36		88			/													611434	
37																			
38																			
39																			
40																			
41																			
42																			
43																			
44																			
45																			

~~4/22/10~~ Today I saw
a paint mare with a new
brown foal beside her - an
apparent violation of the
law
she had no

(b)(6), (b)(7)(C)

I HEREBY AUTHORIZE THE CFIA TO DISCLOSE THIS DOCUMENT AND THE INFORMATION IN IT AS COMPLETED BY THE CFIA TO THE USDA. FALSIFICATION OF THIS FORM OR KNOWINGLY USING A FALSIFIED FORM IS A CRIMINAL OFFENSE AND MAY RESULT IN A FINE OF NOT MORE THAN \$10,000 OR IMPRISONMENT FOR NOT MORE

SIGNATURE OF OWNER/SHIPPER
(b)(6), (b)(7)(C)

to the best of my knowledge.)



Date: 4-22-10

To: Dr. Scigliabaglio

From: Walter F. Howe

Subject: Report of Apparent Violation in the Movement of Slaughter Horses

Today as I was pen inspecting slaughter horses at the Union Ganadera Stockyards at Santa Teresa, NM, I observed a paint mare with the no back tag with her live new born foal standing by her side. This mare was described on the last page of the VS Form 10-13 that accompanied the International Health Certificate number 10-NM017. A copy of the 10-13 is attached as well as a copy of the International Health Certificate described above. The time that I found this mare with the new born foal was 0710 on the date of this letter. I have emailed you the photographs that Daniel Manzanares took today and I asked him and he said that he would try and take the profile shots of the left and right side and a face shot. Especially important would be one which shows that the back tag has been lost. All the photographs have been forwarded to you.

I'll remain vigilant and ready to respond to your direction.

(b) (6), (b) (7) (C)

Santa Teresa Port Veterinarian



THIS IMAGE FAIRLY AND ACCURATELY DEPICTS THE CONDITIONS ON THE DAY THE PHOTOGRAPH WAS TAKEN.

CASE NO: NM10031-VS

DISK/CD NO:

FILE NO:



**GOVERNMENT
EXHIBIT**
13
 Page 1 of 3
 APHIS FORM 7070 (MAR 05)

LOCATION: Union Ganadera Stockyards

DESCRIPTION: Paint mare with live foal born in the holding pen at Union Ganadera Stockyards

DATE OF PHOTOGRAPH: 04/22/10

PRINTED NAME AND TITLE: Daniel Manzanares

SIGNATURE OF PHOTOGRAPHER: _____ **DATE:** _____

THIS IMAGE FAIRLY AND ACCURATELY DEPICTS THE CONDITIONS ON THE DAY THE PHOTOGRAPH WAS TAKEN.

CASE NO: NM10031-VS

DISK/CD NO:

FILE NO:



LOCATION: Union Ganadera Stockyards
DESCRIPTION: Paint mare that foaled at Union Ganadera Stockyards with no back tag
DATE OF PHOTOGRAPH: 04/22/10
PRINTED NAME AND TITLE: Daniel Manzanares
SIGNATURE OF PHOTOGRAPHER: _____



DATE: _____

THIS IMAGE FAIRLY AND ACCURATELY DEPICTS THE CONDITIONS ON THE DAY THE PHOTOGRAPH WAS TAKEN.

CASE NO: NM10031-VS

DISK/CD NO:

FILE NO:



LOCATION: Union Ganadera Stockyards

DESCRIPTION: Paint mare with live foal born in the holding pen at Union Ganadera Stockyards

DATE OF PHOTOGRAPH: 04/22/10

PRINTED NAME AND TITLE: Daniel Manzanares

SIGNATURE OF PHOTOGRAPHER: _____ **DATE:** _____

AFFIDAVIT

I, Dennis Chavez, being duly sworn on oath make the following statement:

I am giving the following voluntary statement without promise of reward to (b)(6),(b)(7)(C) who has identified himself to me as an Investigator of the United States Department of Agriculture, Animal Plant Health Inspection Service, Investigative and Enforcement Services.

I am owner of Dennis V. Chavez LLC. I am owner of the Southwest Livestock Auction in Los Lunas, NM. I have been working in the Livestock auction business for about 35 years.

My address is 24 Dalies Road, Los Lunas, New Mexico. My phone number at home (b)(6),(b)(7)(C) My cell number (b)(6),(b)(7)(C) The phone number for Southwest Livestock Auction is (505) 565-2233.

I have reviewed the VS Forms 10-13 numbers 10-NM014 dated 04/08/2010, 10-NM-015 dated 04/14/2010, and 10-NM-017 dated 04/21/2010. I remember the shipments of these horses and I don't recall seeing any noticeable breed mares on these shipments. If I notice that they are breed mares on any of my shipments I turn them out on a ranch and let them fold. These horses are undernourished and thin and it is very hard to tell if they were breed. I reviewed the pictures that (b)(6),(b)(7)(C) provided and of the two mares that aborted and they did not look like they were heavy breed. The picture of the mare that gave birth also did not look like it was heavy breed.

The VS form 10-13, # 10-NM-017 dated 4-22-2010 was signed by me. I did not notice any heavy breed mares on the shipment.

The VS Form 10-13, # 10-NM-015 dated 4-14-2010 was signed by me. I did not notice any heavy breed mares on this shipment.

The VS Form 10-13, # 10-NM-014-2010 dated 4-8-2010 was signed by me. I did not notice any heavy breed mares on this shipment.

SIGNATURE OF AFFIANT

(b)(6),(b)(7)(C)



Subscribed and sworn to before me at Southwest Livestock Auction, 24 Dalies Road, Los Lunas, NM on this 17th day of November 2010.

APHIS FORM 7162 Replaces VS Form 3-59G which is obsolete. (NOV 92)

ADMINIS

(b)(6),(b)(7)(C)

AFFIDAVIT

I, Dennis Chavez, being duly sworn on oath make the following statement:

These horses were technically sold at my auction but I don't get paid for them until after slaughter. I take responsibility for the shipments of these horses and I signed the VS Form 10-13 and I verify that that is my signature.

I arranged and pay for the shipment of these horses by J&J trucking located at in Albuquerque, NM. The number of J&J trucking is (505) 269-1254. The owner of J&J trucking is Joe Arballo. I will work on getting copies of the payments for these horses from the slaughter plant and provide them to (b)(6),(b)(7)(C) I will also provide proof of payment for the shipping to J&J Trucking.

I have read all two pages of this statement, made any necessary changes, and swear it is true to the best of my knowledge.

(b)(6),(b)(7)(C)

(b)(6),(b)(7)(C)

SIGNATURE OF AFFIANT

Subscribed and sworn to before me at Southwest Livestock Auction, 24 Dales Road, Los Lunas, NM, on this 17th day of November 2010.

APHIS FORM 7162 Replaces VS Form 3-59G which is obsolete. (NOV 92)

(b)(6),(b)(7)(C)

DESIGNATED PURSUANT TO 7 U.S.C. 2217 (b) ADMINISTER OATHS, AFFIDAVITS, AND AFFIRMATIONS, AUTHORITY N

(b)(6),(b)(7)(C)



<h1>INTERVIEW LOG</h1> <h2>USDA, APHIS, IES</h2>	NAME AND ADDRESS OF PERSON(S) CONTACTED: Jose Arballo J&J Trucking Albuquerque, NM	DATE: January 10, 2011
--	--	---------------------------

TYPE OF INTERVIEW (BY PHONE OR IN PERSON): Phone	ORGANIZATION: J & J Trucking	TELEPHONE NUMBERS: (505) 269-1254
SUBJECT: Horses to Slaughter Shipments		LOCATION OF INTERVIEW: By Phone

SUMMARY:
 On 01/01/11, I called Jose Arballo the owner of J&J Trucking in Albuquerque, NM. He said he was the owner of J&J Trucking. I asked him if he hauled slaughter horses for Dennis Chaves to Santa Teresa, NM POE and he stated he did. He said that Dennis Chaves paid him for the trucking. I asked him if he remembered hauling loads for him in April in which some horses had fold or aborted during the trip or at the time they were unloaded in Santa Teresa, NM. He said that he did remember that some loads had mares that had fold or aborted during the trip. I asked if he was present during loading of the horses at Los Lunas and he said that he was. I asked if he had noticed any horses at the time that he thought could fold during the trip. He stated that he did not notice any. I asked him how familiar he was with the horse to slaughter regulations. He said he was familiar with them and started naming some of the requirements like the horses have to be able to see and be able to walk unassisted. I asked Jose Arballo if I could meet with him that Thursday, 01/13/11 to get a statement from him and he said he could but calls to him that day, the next day 01/14/11 were not answered and were unreturned after I had left messages both times.

I called again on 01/10/11, to talk to Mr. Arballo so I could finish getting some information from him but the call went unanswered.

NAME OF PERSON DOCUMENTING INTERVIEW: (b)(6), (b)(7)(C) Investigator	SIGNATURE: (b)(6), (b)(7)(C)	DATE: 01/16/2011 <i>bc</i>
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UNITED STATES DEPARTMENT
OF
AGRICULTURE

USDA, APHIS, IES
WESTERN REGION
2150 CENTRE AVENUE
BLDG. B-3W10
FORT COLLINS, CO 80526-8117
(970) 494-7485

January 25, 2011

On November 17, 2010, I requested payment records from Dennis Chavez, owner of Southwest Livestock Auction, for his payments to J&J Trucking and his payments he received for the horses that he had shipped to slaughter on the dates of 04/08/10, 04/14/10, and 04/22/10. He said he would work on getting those for me.

I called Dennis Chavez on 12/27/10 to ask if I could come pick up the records I had requested. I told him I would be by on 12/28/10 to pick them up and he said that would be fine. When I arrived the morning of 12/28/10, Mr. Chaves did not have any records for me. He asked then what records I had wanted. I told him again and reminded him I had included it on the affidavit we had written on 11/17/2010. He said he would be able to provide the slaughter plant payment to me but he took down the dates again on the VS Form 10-13 and said he would fax the trucking payments/records to me.

I did not hear from Dennis Chaves about the records so I called him again on 01/10/11 and 01/14/11 and asked for the records and both times he said he would try to get them and fax them to me. As of today I have not received any of the records I had requested from him.

(b) (6), (b) (7) (C)

USDA, APHIS, IES Investigator
New Mexico and West Texas

(b) (6), (b) (7) (C)



INTERVIEW LOG

USDA, APHIS, IES

 NAME AND ADDRESS OF PERSON(S)
CONTACTED:

Daniel Manzanares

DATE:

03/10/11

 TYPE OF INTERVIEW (BY PHONE OR IN
PERSON):

Telephone

ORGANIZATION:

Chihuahua Cattle Growers

TELEPHONE NUMBER:

(575) 589-1620

SUBJECT:

Pictures taken of late term pregnancy mares at the Santa Teresa Port

LOCATION OF INTERVIEW:

Duty Station

SUMMARY:

On 03/10/11 I conducted a telephone interview with Daniel Manzanares, Director, of the Santa Teresa Import/Export Livestock Crossing located at 100 Fronterra Blvd., Santa Teresa, NM 88008. During the interview it was learned Mr. Manzanares has been employed as the Director of the Santa Teresa Import/Export Livestock Crossing, owned by the Chihuahua Cattle Growers Association, since November 2007. According to Mr. Manzanares Veterinary Services, Veterinary Medical Officer, Dr. Walter Howe, asked him to photograph mares that foaled in the holding pens at the Santa Teresa Port on 04/09/10, 04/15/10, and 04/22/10. Mr. Manzanares stated he took the photographs with his Blackberry, uploaded them to his computer, and gave Dr. Howe a copy. Mr. Manzanares stated the horses were owned by Dennis Chavez and were awaiting inspection from Mexican Secretaria de Agricultura, Livestock, Rural Development, and Fish (SAGARPA) veterinarians. According to Mr. Manzanares the horses are penned separately by owner and/or lot, while waiting inspection by SAGARPA veterinarians. If the horses are rejected for import they are not allowed into Mexico and the owners/consignors transport the horses out of the import/export center.

 NAME OF PERSON DOCUMENTING
INTERVIEW:

(b) (6), (b) (7) (C)

Senior Investigator

SIGNATURE:

(b) (6), (b) (7) (C)

DATE:

03/10/11

